

JÁNOS POSTA, ISTVÁN KOMLÓSI and SÁNDOR MIHÓK

Principal component analysis of performance test traits in Hungarian Sporthorse mares

Abstract

The analysis utilized data on performance traits recorded between 1993 and 2004 on 3 and 4 year old Hungarian Sporthorse mares. Traits were categorized in three groups, chosen to describe conformation, free jumping and movement. Low to moderate correlations were found among traits within each of those groups. There were high correlations between type and frame for both ages; and within free jumping performance traits, jumping style and jumping ability were highly correlated as well. In principal component analyses of test results for 3 and 4 year old mares, 9 factors (ratio of variance = 80.935) and 7 factors (ratio of variance = 74.115) were identified, respectively. Dendograms based upon cluster analysis verified the separation of trait groups. The trait of "impulsion in elasticity of movement" could be assigned to movement traits in 3-year-old; but as a probable consequence of training, it could be assigned to conformation traits, especially to overall impression, when horses were 4 years old.

Key Words: principal component analysis, performance traits, Sporthorse mare, Hungary

Zusammenfassung

Titel der Arbeit: **Hauptkomponentenanalyse der Eigenleistungsprüfung von Stuten einer ungarischen Sportpferdepopulation**

Die Analyse basiert auf den Ergebnissen der Eigenleistungsprüfung von drei- bzw. vierjährigen Stuten einer ungarischen Sportpferdepopulation aus den Jahren 1993 bis 2004. Die Merkmale werden den drei Merkmalsgruppen „Typ und Körperform“, „freies Springverhalten“ sowie „Gangverhalten“ zugeordnet. Die Datenanalyse zeigt niedrige bis mittlere Korrelationen innerhalb der Merkmalsgruppen. In beiden Altersgruppen bestehen enge Korrelationen zwischen dem Typ und dem Rahmen sowie den freien Springmerkmalen, wie dem Springstil und dem Springvermögen. Für die Hauptkomponentenanalyse der dreijährigen Stuten werden 9 (Ratio der Varianz=80,935), bei den vierjährigen Stuten 7 Faktoren (Ratio der Varianz=74,115) bestimmt. Die auf den Ergebnissen der Clusteranalyse beruhenden Dendogramme zeigen die Trennung der einzelnen Merkmalsgruppen. Danach kann bei den dreijährigen Stuten das Merkmal „Impulsivität und Elastizität der Bewegung“ aus der Gruppe Exterieurbeurteilung den Bewegungsmerkmalen, jedoch bei den vierjährigen, als vermutliche Konsequenz aus dem Training, der ersten Merkmalsgruppe zugeordnet werden.

Schlüsselwörter: Hauptkomponentenanalyse, Eigenleistungsprüfung, Sportpferde, Stuten, Ungarn

Introduction

Conformation traits in sport horses are not difficult to define and evaluate, but satisfactory identification of traits that influence performance and safety during sporting events has yet to be adequately achieved. Such performance has mostly been defined by general aptitude to movement, i.e., the regularity, clear rhythm and springiness of basic strides, as well as the ability to move in a naturally balanced manner (MIHÓK, 2005).

Analysis of variance and product moment correlations are widely used to characterize phenotypic and genetic relationships among traits in a horse breeding program (PETZOLD, 1991; DIETL et al., 2004, 2005). Although used less frequently, principal

component analysis is a valuable refinement. It examines covariation among any number of variables, allowing them to be assigned to groups that characterize different properties of the system, to quantify variation attributable to each such group and to identify relationships among traits within groups. Explanation and identification of trait groups can be used for development and verification of performance test and genetic evaluation systems.

There is a substantial German literature on genetic parameters of various performance traits of the horse (BUGISLAUS et al., 2004). For clear understanding of and the improvement of horse breeding programs, clear definitions of performance test traits are required. International review of these traits has been reported by KOENEN (2002). Objectivity and effectiveness of horse performance test programs were evaluated by SCHWARK et al. (1992) and Lengerken and SCHWARK (2002).

The Hungarian Sporthorse is a noble riding and harness horse with a good constitution and an aesthetic and functional conformation (MSLT, 2000). The overall objective of the present work is to improve performance testing and genetic evaluation procedures for Hungarian Sporthorse mares. In this report, we use principal component analysis to assign traits recorded on 3 and 4 year old mares to classes representing conformation, free jumping ability and movement and to determine whether such classes are age dependent

Materials and methods

Traits evaluated on each mare in the national performance recording system are meant to evaluate conformation, free jumping and movement analysis as follows:

Conformation traits: type, head, neck, saddle region, frame, forelimbs, hindlimbs, regulation of movement, impulsion and elasticity of movement, overall impression.

Free jumping: jumping style, jumping ability–sense of distance; observation during training.

Movement analysis: walk, trot, canter, overall impression, test rider's score.

Scores for free jumping and movement analysis traits are on a 0–10 scale. Conformation traits are judged on different scales since 1. January 2000. Weighted traits are neck, forelimbs, hindlimbs and impulsion and elasticity of movement with scores between 0 and 12. Type (0–6), head (0–8) and frame (0–8) are judged with less weight. Before 2000, the conformation traits were scored in the range of 0 and 10. Observation during training, a free jumping trait, is scored by the trainer based upon cumulative impressions throughout training. The test rider's score is given by the test rider based upon subjective judgment of the rideability of the horse. Other traits are scored by an invited judge. The final score for the mare test is the sum of the mean of the conformation score, the mean of free jumping performance scores and the mean of movement analysis scores multiplied by 1, 1.5 and 2, respectively (MSLT, 2000).

Data used for the analysis were supplied by MSLT. Test records of 3 and 4 year old mares from 1993 to 2004 were analyzed. Data from a mare were utilized only if she completed all exercises successfully. There were 396 records from 3 year old and 233 records from 4 year old mares, respectively, and 69 mares were tested at both ages.

Data were analysed using the SAS (SAS INSTITUT, 1999) software package. Multivariate analysis of variance (MANOVA), which is the generalization of analysis of variance for multivariate cases, was used to compare records from the two ages. Relationships among traits were examined using principal component analysis. This

method was used to determine the relative contribution of factors to total variation of the traits and which traits belongs to the each identified factor. Eigenvalues of factors show the contribution of each component to total variation. During the evaluation, factors were rotated with Varimax rotation of H. F. Kaiser. The aim of the Varimax method is to maximize the sum of variances of a_{ij}^2 quadratic weights (SVÁB, 1979). The rotation method identifies what is thought to be a valid and biologically reasonable solution, because it accounts for the correlation among background variables. In this case, background variables are not independent from one another. The 'eigenvalue 1.0 criterion', whereby only components with eigenvalues exceeding unity were considered significant and hence retained in the analysis, was used (SZELÉNYI, 1993). Cluster analysis we used for visual representation of the grouping of traits (PODNAI, 1997).

Results

Means and standard deviations

Table 1 shows the mean and standard deviation for each of the recorded traits. In consideration of differences among traits in the range of possible scores, type, head and frame had higher means than other conformation traits in both age-groups. Standard deviations were high in the cases of neck, hindlimbs and impulsion and elasticity of movement. High standard deviations also existed for walk and canter, but the standard deviation for trot was relatively low.

Table 1

Means and standard deviations of traits evaluated in 3 year old (n=396) and 4 year old (n=233) mares (Mittelwerte und Standardabweichung erfasster Merkmale 3- bzw. 4- jähriger Stuten)

Trait	3 year old mares		4 year old mares	
	Mean	St. dev.	Mean	St. dev.
Type	4.73	0.879	4.71	0.931
Head	6.34	0.944	6.36	0.994
Neck	8.52	1.236	8.31	1.206
Saddle region	7.72	1.181	7.53	1.144
Frame	6.22	1.040	6.22	1.131
Forelimbs	8.41	1.171	8.14	1.121
Hindlimbs	8.05	1.239	7.86	1.207
Regularity of movement	7.56	1.064	7.54	1.083
Impulsion and elasticity of movement	8.05	1.454	7.73	1.342
Overall impression	7.17	0.963	7.00	0.883
Jumping style	7.18	0.965	7.36	1.116
Jumping ability–sense of distance	7.11	1.130	7.42	1.262
Observation during training	6.87	0.791	7.02	0.820
Walk	6.83	1.057	6.78	1.111
Trot	6.32	0.868	6.33	0.824
Canter	6.79	1.025	6.96	0.973
Overall impression	6.90	0.881	6.95	0.924
Test rider's score	7.16	1.146	7.34	1.154

Multivariate analysis of variance

Effect of age at evaluation on the results of mare tests was estimated with multivariate analysis of variance. Age groups differed ($P < 0.05$) for the following traits: neck, saddle region, forelimbs, impulsion and elasticity of movement, overall impression, jumping style, jumping ability–sense of distance, observation during training and canter. Scores for conformation traits were lower in the older group.

Correlation analysis

Correlations among traits are shown in Table 2 and Table 3 for 3-year and 4-year-old test data, respectively.

From the evaluation of data recorded on 3 year old mares ($n=396$), low correlations ($P<0.01$) were found among conformation traits and free jumping traits. Correlations among conformation traits and movement analysis traits were in the range of 0.17 to 0.48 ($P<0.01$). Higher correlation coefficients were found between overall impression and conformation scores. Free jumping performance and movement analysis traits were lowly correlated. Correlations between jumping style and walk and trot were not significant. High correlations were found between type and head and between type and frame. There were low to moderate correlations among other conformation traits. Within free jumping performance traits, there was a high correlation ($P<0.01$) between jumping style and jumping ability–sense of distance. High correlations ($P<0.01$) also were found between canter and overall impression through the evaluation of movement analysis traits. Low and moderate correlations were observed among other movement components.

Significant correlations did not exist among conformation traits and observation during training except overall impression for 4 year old mares ($n=233$). Correlations were not significant among walk and free jumping performance traits. Low and moderate, significant ($P<0.01$, or 0.05) correlations were found among further conformation and movement analysis traits. Correlations among free jumping and movement analysis traits were in the range of 0.15 and 0.39 ($P<0.01$). High correlations were found between type and head and between type and frame. Correlations among further conformation traits were moderate to low ($P<0.01$). Evaluation of free jumping performance was highly correlated with jumping style and jumping ability–sense of distance. Correlations among movement analysis traits were in the range of 0.41 to 0.61 ($P<0.01$).

Principal component matrix

Using principal component analysis, traits were aggregated into groups. In this analysis, only traits with correlation coefficients ≥ 0.5 were used in the factor description.

After Varimax rotation, 9 factors with the ratio of variance equal to 80.935 were identified based on test results of 3 year old mares. Factor pattern coefficients of the rotated factors are shown in Table 4, with factors ordered according to the proportion of variation that they account for. These coefficients show the relative contribution of traits to the particular factor. Factor I was determined by some conformation traits (a type, head, saddle region, frame) and by overall impression. Factor II was related to movement analysis traits, determined by trot, canter, overall impression and test rider's score. Factor III was determined by the free jumping performance traits, jumping style and jumping ability–sense of distance. Factor IV was determined primarily by a single trait, impulsion and elasticity of movement. Factor V was determined primarily by walk, from movement analysis. Factor VI was affected primarily by the forelimbs trait from conformation; whereas Factor VII was dependent upon hindlimbs. Factor VIII was influenced primarily by the neck trait from conformation analysis. Factor IX was dependent upon observation during training from free jumping performance.

Table 2

Correlations among traits evaluated on 3-year-old mares (Korrelationskoeffizienten zwischen Merkmalen 3-jähriger Stuten)

Trait	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1 Type	1																	
2 Head	0.71**	1																
3 Neck	0.47**	0.41**	1															
4 Saddle region	0.61**	0.49**	0.47**	1														
5 Frame	0.77**	0.52**	0.44**	0.60**	1													
6 Forelimbs	0.49**	0.35**	0.36**	0.49**	0.46**	1												
7 Hindlimbs	0.42**	0.28**	0.32**	0.36**	0.42**	0.44**	1											
8 Regularity of movement	0.56**	0.48**	0.33**	0.41**	0.49**	0.41**	0.40**	1										
9 Impulsion and elasticity of movement	0.40**	0.24**	0.39**	0.23**	0.33**	0.30**	0.34**	0.45**	1									
10 Overall impression	0.69**	0.51**	0.56**	0.56**	0.64**	0.53**	0.47**	0.48**	0.65**	1								
11 Jumping style	0.16**	0.14**	0.23**	0.20**	0.24**	0.15**	0.22**	0.18**	0.22**	0.29**	1							
12 Jumping ability—sense of distance	0.29**	0.22**	0.28**	0.31**	0.34**	0.22**	0.25**	0.31**	0.30**	0.40**	0.77**	1						
13 Observation during training	0.23**	0.17**	0.18**	0.17**	0.25**	0.12*	0.15**	0.14**	0.24**	0.29**	0.31**	0.52**	1					
14 Walk	0.37**	0.38**	0.18**	0.31**	0.34**	0.24**	0.21**	0.39**	0.37**	0.36**	0.07	0.22**	0.18**	1				
15 Trot	0.29**	0.19**	0.23**	0.20**	0.27**	0.18**	0.16**	0.22**	0.47**	0.38**	0.08	0.21**	0.22**	0.36**	1			
16 Canter	0.36**	0.29**	0.30**	0.28**	0.36**	0.21**	0.17**	0.29**	0.39**	0.38**	0.21**	0.31**	0.30**	0.39**	0.58**	1		
17 Overall impression	0.48**	0.42**	0.34**	0.42**	0.45**	0.32**	0.26**	0.43**	0.41**	0.47**	0.19**	0.36**	0.26**	0.55**	0.56**	0.70**	1	
18 Test rider's score	0.35**	0.28**	0.28**	0.30**	0.37**	0.19**	0.20**	0.29**	0.29**	0.36**	0.26**	0.34**	0.28**	0.40**	0.38**	0.49**	0.62**	1

*= $P < 0.05$, **= $P < 0.01$

Table 3

Correlations among traits evaluated on 4 year old mares (Korrelationskoeffizienten zwischen Merkmalen 4- jähriger Stuten)

Trait	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1 Type	1																	
2 Head	0.76**	1																
3 Neck	0.36**	0.25**	1															
4 Saddle region	0.66**	0.49**	0.48**	1														
5 Frame	0.80**	0.57**	0.41**	0.61**	1													
6 Forelimbs	0.44**	0.34**	0.49**	0.49**	0.46**	1												
7 Hindlimbs	0.38**	0.28**	0.44**	0.39**	0.42**	0.48**	1											
8 Regularity of movement	0.64**	0.50**	0.32**	0.56**	0.64**	0.52**	0.42**	1										
9 Impulsion and elasticity of movement	0.31**	0.23**	0.45**	0.28**	0.33**	0.46**	0.39**	0.46**	1									
10 Overall impression	0.69**	0.55**	0.58**	0.56**	0.64**	0.56**	0.54**	0.48**	0.61**	1								
11 Jumping style	0.15*	0.03	0.17**	0.21**	0.09	0.27**	0.21**	0.21**	0.17**	0.13*	1							
12 Jumping ability–sense of distance	0.23**	0.09	0.08	0.22**	0.15*	0.26**	0.14*	0.28**	0.18**	0.15*	0.84**	1						
13 Observation during training	0.08	0.06	0.06	0.08	0.07	0.09	0.02	0.09	0.09	0.11*	0.47**	0.55**	1					
14 Walk	0.31**	0.31**	0.11*	0.25**	0.27**	0.22**	0.12*	0.41**	0.27**	0.24**	0.05	0.11	0.10	1				
15 Trot	0.24**	0.21**	0.19**	0.17**	0.27**	0.23**	0.12*	0.21**	0.46**	0.35**	0.22**	0.19**	0.18**	0.48**	1			
16 Canter	0.36**	0.28**	0.12*	0.35**	0.31**	0.29**	0.14*	0.40**	0.32**	0.30**	0.29**	0.32**	0.32**	0.46**	0.52**	1		
17 Overall impression	0.48**	0.36**	0.25**	0.39**	0.48**	0.36**	0.22**	0.41**	0.29**	0.41**	0.24**	0.25**	0.15**	0.57**	0.58**	0.57**	1	
18 Test rider's score	0.36**	0.25**	0.14*	0.35**	0.34**	0.22**	0.18**	0.37**	0.23**	0.35**	0.34**	0.39**	0.30**	0.41**	0.44**	0.53**	0.61**	1

*=P<0.05, **=P<0.01

Table 4

Eigenvalues and share of total variance, factors and factor loadings after rotation based on analysis of data from 3 year old mares (Eigenwerte und deren Varianz der Faktoren und Faktorzuordnung nach der Rotation auf der Grundlage von Daten 3-jähriger Stuten)

Trait	Factor I.	Factor II.	Factor III.	Factor IV.	Factor V.	Factor VI.	Factor VII.	Factor VIII.	Factor IX.
Eigenvalues	3.269	2.527	1.897	1.429	1.199	1.096	1.080	1.048	1.022
Variance of eigenvalues %	18.164	14.040	10.541	7.940	6.659	6.090	5.999	5.822	5.680
Type	0.846	0.188	0.042	0.170	0.069	0.114	0.138	0.092	0.082
Head	0.722	0.125	0.016	-0.001	0.143	0.010	-0.086	0.174	0.071
Neck	0.321	0.135	0.114	0.220	-0.025	0.148	0.061	0.842	0.047
Saddle region	0.669	0.117	0.153	-0.026	0.202	0.394	0.075	0.248	-0.012
Frame	0.807	0.200	0.152	0.113	0.062	0.105	0.250	0.037	0.062
Forelimbs	0.299	0.109	0.067	0.093	0.065	0.837	0.217	0.117	0.020
Hindlimbs	0.248	0.035	0.096	0.183	0.009	0.228	0.836	0.054	0.051
Regularity of movement	0.335	0.144	0.136	0.197	0.169	0.149	0.223	0.061	-0.015
Impulsion and elasticity of movement	0.114	0.243	0.128	0.825	0.164	0.038	0.155	0.180	0.069
Overall impression	0.573	0.171	0.183	0.535	0.116	0.208	0.198	0.252	0.096
Jumping style	0.076	0.062	0.946	0.062	-0.016	0.019	0.072	0.074	0.034
Jumping ability–sense of distance	0.158	0.154	0.852	0.100	0.090	0.082	0.043	0.048	0.288
Observation during training	0.109	0.159	0.251	0.077	0.062	0.013	0.048	0.041	0.935
Walk	0.207	0.247	0.010	0.214	0.845	0.113	-0.018	-0.054	0.064
Trot	0.128	0.760	-0.004	0.446	0.025	0.065	-0.019	-0.088	0.055
Canter	0.146	0.850	0.118	0.088	0.055	0.077	-0.010	0.107	0.118
Overall impression	0.267	0.744	0.118	0.043	0.346	0.101	0.089	0.124	0.054
Test rider's score	0.183	0.560	0.208	-0.123	0.453	-0.183	0.325	0.271	0.069

After Varimax rotation, 7 factors with ratio of variance of 74.115 were identified from analysis of data from 4 year old mares. Factor pattern coefficients of the rotated factors are shown in Table 5. Factor I was determined by some conformation traits (type, head, saddle region, frame) and by regularity of movement and overall impression. Factor II was related to movement analysis traits (trot, canter) and by overall impression and test rider's score. Factor III was determined by the free jumping performance traits, jumping style and jumping ability–sense of distance. Factor IV was affected primarily by a single trait, impulsion and elasticity of movement. Factor V was primarily determined by the neck trait from conformation analysis, Factor VI was determined primarily by hindlimbs and Factor VII was dependent upon walk from movement analysis.

Figure 1 and 2 illustrate the separation of traits among trait groups by cluster analysis of test results of 3 year old and 4 year old mares, respectively.

Table 5

Eigenvalues and share of total variance, factors and factor loadings after rotation based on analysis of data from 4 year old mares (Eigenwerte und deren Varianz der Faktoren und Faktorenzuzuordnung nach der Rotation auf der Grundlage von Daten 4-jähriger Stuten)

Trait	Factor I.	Factor II.	Factor III.	Factor IV.	Factor V.	Factor VI.	Factor VII.
Eigenvalues	3.631	2.426	2.063	1.474	1.451	1.168	1.128
Variance of eigenvalues %	20.172	13.479	11.463	8.190	8.059	6.487	6.265
Type	0.904	0.191	0.099	0.083	0.125	0.091	0.062
Head	0.885	0.028	-0.013	0.048	-0.026	0.059	0.190
Neck	0.211	0.014	0.044	0.233	0.873	0.180	0.043
Saddle region	0.573	0.262	0.116	-0.048	0.480	0.052	-0.015
Frame	0.772	0.240	0.026	0.116	0.221	0.156	0.016
Forelimbs	0.302	0.054	0.204	0.201	0.343	0.323	0.109
Hindlimbs	0.249	0.052	0.086	0.151	0.188	0.896	0.014
Regularity of movement	0.542	0.135	0.172	0.296	0.047	0.162	0.289
Impulsion and elasticity of movement	0.160	0.123	0.079	0.892	0.210	0.159	0.091
Overall impression	0.609	0.197	0.003	0.425	0.381	0.313	-0.041
Jumping style	0.004	0.153	0.931	0.042	0.095	0.086	-0.020
Jumping ability–sense of distance	0.101	0.138	0.913	0.064	-0.017	0.015	0.025
Observation during training	0.023	0.131	0.384	0.018	0.032	-0.011	0.038
Walk	0.168	0.366	-0.025	0.117	0.027	0.009	0.873
Trot	0.113	0.603	0.113	0.464	0.048	-0.059	0.259
Canter	0.178	0.703	0.095	0.177	-0.092	-0.069	0.099
Overall impression	0.317	0.717	0.145	0.021	0.150	0.072	0.355
Test rider's score	0.185	0.787	0.248	0.044	0.066	0.137	0.111

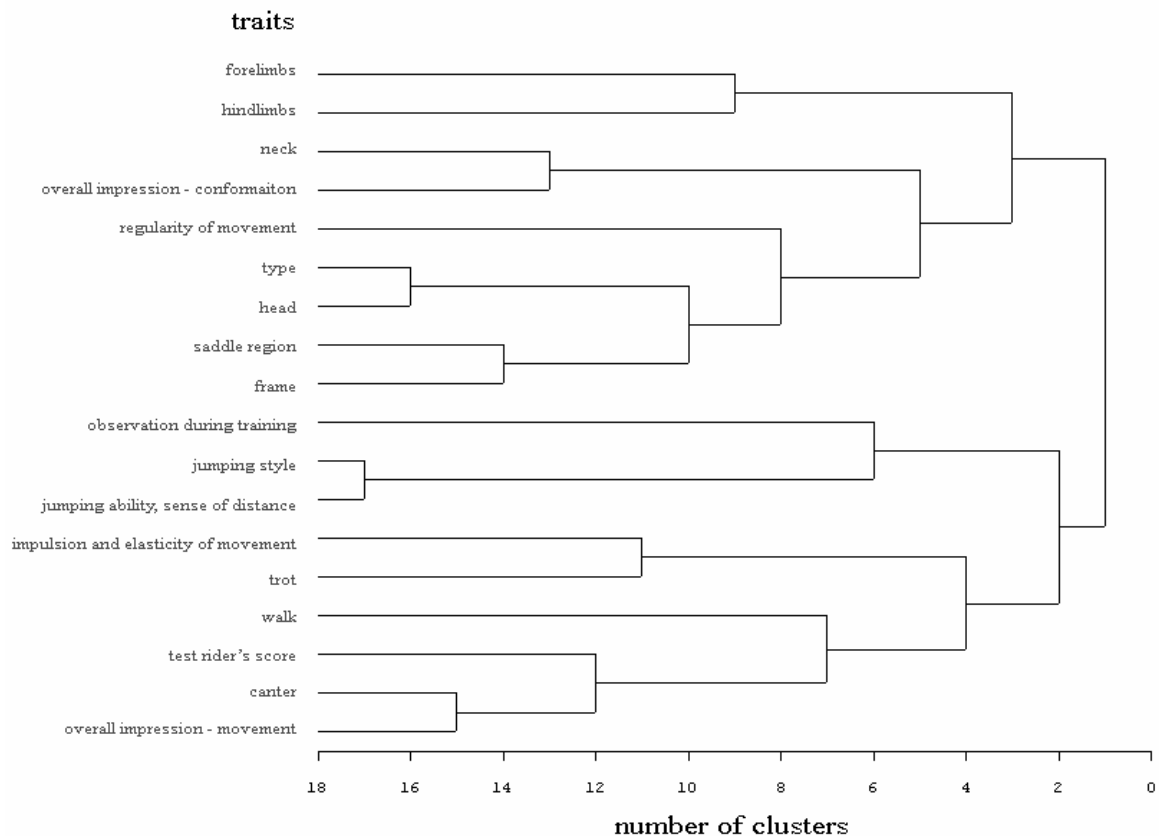


Fig. 1: Dendrogram of traits based on SPT data on mares measured at 3 years of age (Dendrogramm von Merkmalen auf der Grundlage von SPT Daten von 3-jährigen Stuten)

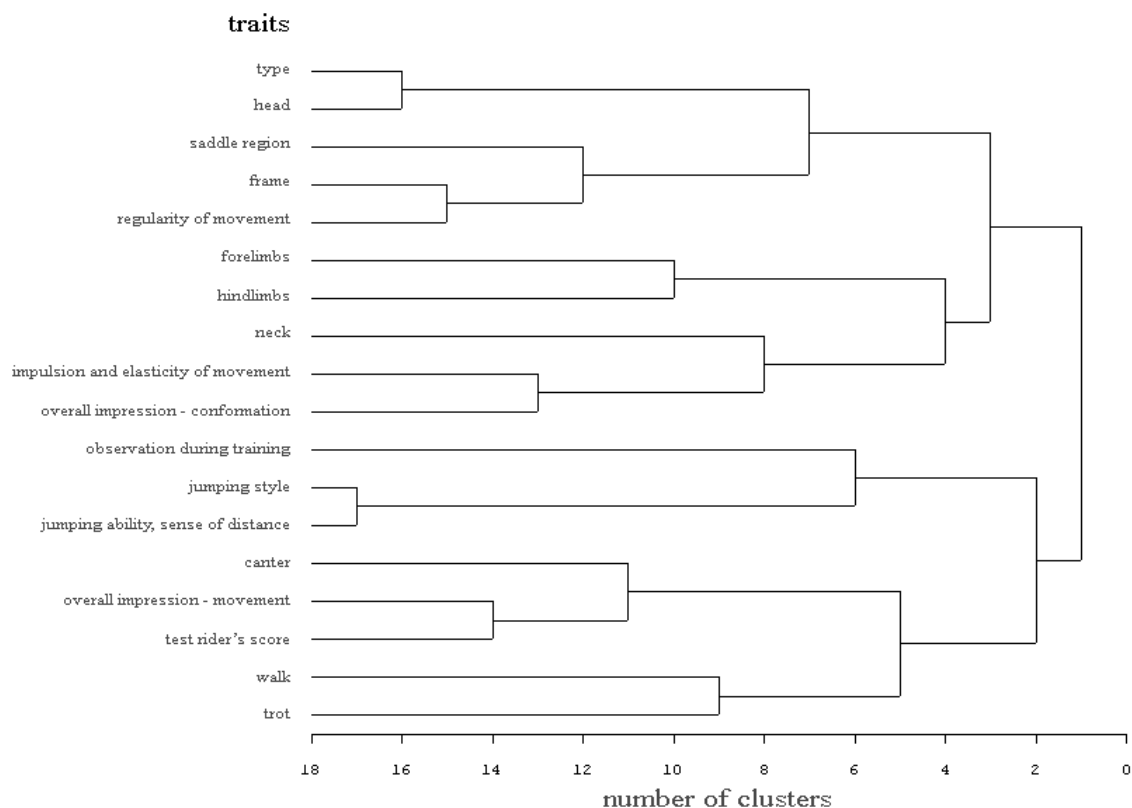


Fig. 2: Dendrogram of traits based on SPT data on mares measured at 4 years of age (Dendogramm von Merkmalen auf der Grundlage von SPT Daten 4-jähriger Stuten)

Discussion

Means and standard deviations

Means of scores for gait traits (walk, trot and canter) are lower than those reported by HUIZINGA et al. (1990) in a Dutch Warmblood horse population. Such differences could result from differences in skills of the animals or their training. Standard deviations were larger for walk and canter scores but smaller for trot score in the Dutch than in the Hungarian population. This could reflect either differences between population in actual heterogeneity, differential utilization by scorers of the point scale for the traits or both effects.

Multivariate analysis of variance

Scores for traits of free jumping performance and movement analysis were higher in 3 than in 4 year old mares, which could be attributed to training and experience of the horses. Age, therefore, should be taken into account during evaluation of test results. Ignoring age could provide an advantage in the case of conformation traits but a disadvantage in the case of free jumping performance and movement analysis traits for 3-year-old mares.

Correlation analysis

The low and in some cases non-significant correlations between conformation components and performance traits suggest that recorded traits have been appropriately assigned to these groups. Low correlations among the traits of free

jumping performance and movement analysis show the differentiation of these performance traits clearly in both age groups also.

Principal component matrix

Principal component analysis has been used in Hungary for other species as well. TÖZSÉR et al. (2000) used this method for investigation of conformation traits of weaned Charolais calves. VISSER et al. used principal component analysis to quantify associations between behavioural variables and temperament in young horses (2001) and the association between show-jumping performance and personality traits (2003). SEAMAN et al. (2002) analyzed horse temperament by principal component analysis. As shown in Tables 4 and 5, age groups differ in the manner in which traits are assigned to different trait groups. Similar conclusions were drawn from product-moment correlation analysis. In particular, conformation traits can be aggregated into different sub-groups depending on age at which the mares were evaluated.

Using cluster analysis to classify traits to trait groups separately for each age group helped to clarify the separation of traits. The trait, “impulsion and elasticity of movement” from conformation judgement, can be classified as a movement judgement trait based on test results from 3 year old mares but can be classified to conformation traits, especially to the trait, overall impression, based on records of 4 year old mares. This difference could be attributed to the training of the horse, because impulsion and elasticity of movement is similar to movement analysis traits among 3 year old mares but is more similar to free jumping performance traits for 4 year old mares.

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Authors addresses

JÁNOS POSTA*, PhD student, ISTVÁN KOMLÓSI, associate professor,
SÁNDOR MIHÓK, professor
University of Debrecen, Centre of Agricultural Sciences, Department of Animal Science
Böszörményi út 138.
4032 DEBRECEN, HUNGARY

*Corresponding author, Hungary e-mail: postaj@agr.unideb.hu

Hungarye-mail: komlosi@agr.unideb.hu

Hungarye-mail: mihok@agr.unideb.hu