



HPLC-ECD and TDDFT-ECD study of hexahydropyrrolo[1,2-a]quinoline derivatives

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ABSTRACT Synthesis of racemic hexahydropyrrolo[1,2-a]quinoline derivatives (**1-8**) were performed utilizing the Knoevenagel-[1,5]-hydride shift-cyclization domino reaction. Separation of the enantiomers of the chiral products (**1-8**) was carried out by chiral HPLC, and online HPLC-ECD spectra were recorded to elucidate the absolute configuration by comparing the experimental and TDDFT-ECD spectra obtained at various theoretical levels. For one of the products, the TDDFT-ECD calculations allowed determining both the relative and the absolute configuration by distinguishing the four stereoisomers. One of the compounds with spiro 1,3-cyclohexanedione moiety (**7**) possessed moderate acetylcholinesterase (AChE) inhibitory activity, while **3** showed neuroprotective activity in oxygen-glucose deprivation-induced neurotoxicity in human neuroblastoma SH-SY5Y cells.

KEY WORDS: C-H activation; [1,5]-hydride shift; relative and absolute configuration; density functional theory

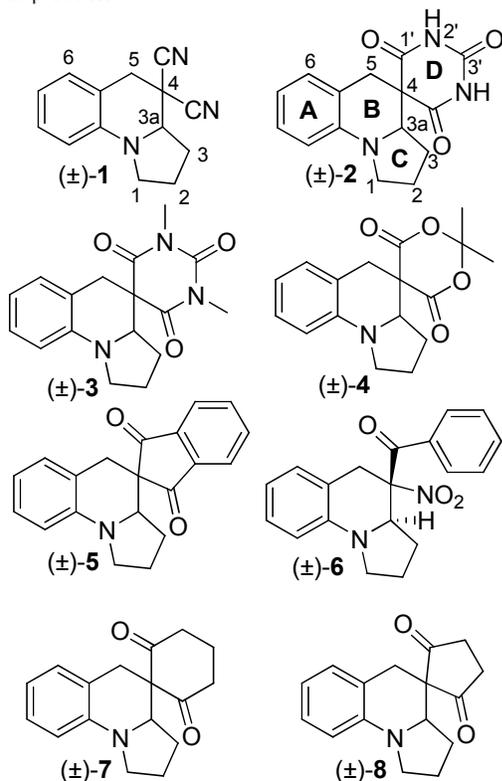
INTRODUCTION

The substituted chiral 1,2,3,4-tetrahydroquinoline moiety is a common structural feature of alkaloid and antibiotic natural products possessing a wide range of biological activity such as anti-HIV, antimicrobial, anticonvulsant, antimalarial and cytotoxic activities.¹ Moreover, substituted 1,2,3,4-tetrahydroquinoline derivatives can serve as building blocks for pharmaceuticals,² as well as for the total synthesis of some natural products.³⁻⁵

Fig. 1. Structures of the studied hexahydropyrrolo[1,2-a] quinoline derivatives **1-8**.

The Knoevenagel-[1,5]-hydride shift-cyclization cascade reaction of 2-trialkylamino-benzaldehydes, called also tertiary amino effect induced cyclization, provides a straightforward access to chiral 1,2,3,4-tetrahydroquinolines by the formation of the C-2–C-3 bond of the tetrahydroquinoline unit.⁴ Starting from 2-(pyrrolidin-1-yl)benzaldehyde, a Knoevenagel-[1,5]-hydride shift-cyclization cascade reaction with reagents containing active methylene group had provided hexahydropyrrolo[1,2-a]quinoline derivatives,⁶⁻⁸ the synthesis of which was also accomplished by intramolecular Schmidt reaction⁹ and cyclization of *in situ* generated dialkoxytitanacyclopropane derivatives.¹⁰ The absolute configurations of the enantiomers of chiral hexahydropyrrolo[1,2-a]quinoline derivatives have not been studied yet.

Herein the synthesis of five known (**1-4, 7**) and three new (**5, 6, 8**) racemic hexahydropyrrolo[1,2-a]quinoline derivatives is presented. In addition, chiral HPLC separation of their enantiomers and HPLC-ECD analysis aided by time-dependent density functional theory electronic circular dichroism (TDDFT-ECD) calculations were achieved. The recent ECD calculations also provide an example for distinguishing four stereoisomers of **6** by the comparison of the computed ECDs of diastereomers with experimental data.



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Moreover, the acetylcholinesterase (AChE) inhibitory and neuroprotective activity of the products have been tested.

MATERIALS AND METHODS

General

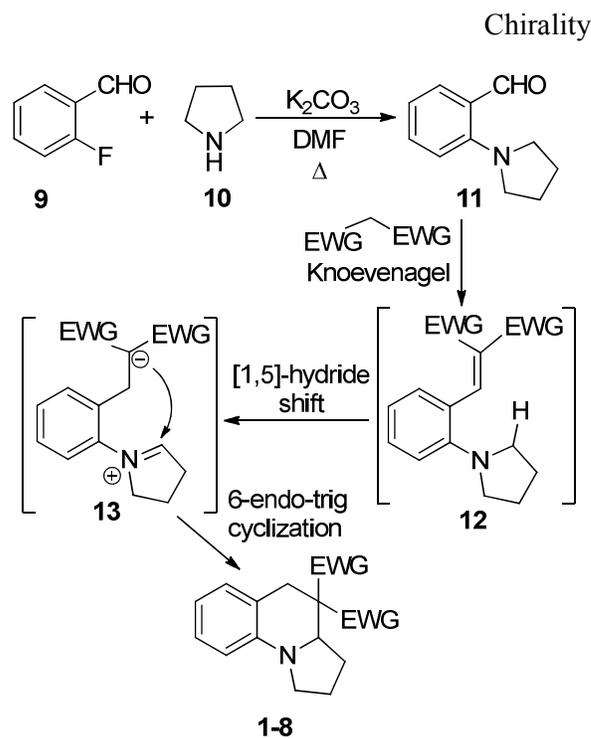
HPLC-ECD spectra were recorded on a J-810 spectropolarimeter. Chiral HPLC separations were carried out with a Jasco (Tokyo, Japan) HPLC system on Chiralpak columns using different eluents.

Computational Section

Mixed torsional/low-frequency mode conformational searches were carried out by means of the MacroModel 9.9.223 software using the Merck Molecular Force Field (MMFF) with an implicit solvent model for CHCl_3 .¹¹ Geometry reoptimizations were carried out at the B3LYP/6-31G(d) level *in vacuo*, the B3LYP/TZVP, the B97D/TZVP^{12,13} and the CAM-B3LYP/TZVP^{14,15} levels with the PCM solvent model for CHCl_3 . TDDFT-ECD calculations were run with various functionals (B3LYP, BH&HLYP, CAM-B3LYP, PBE0) and the TZVP basis set as implemented in the Gaussian 09 package with the same or no solvent model as in the preceding DFT optimization step.¹⁶ ECD spectra were generated as sums of Gaussians with 1800-3300 cm^{-1} widths at half-height (corresponding to ca. 12-22 nm at 260 nm), using dipole-velocity-computed rotational strength values.¹⁷ Boltzmann distributions were estimated from the ZPVE-corrected B3LYP/6-31G(d) energies in the gas-phase calculations and from the B3LYP, B97D and CAM-B3LYP energies in the solvated ones. The MOLEKEL software package was used for visualization of the results.¹⁸

RESULTS AND DISCUSSION

2-(pyrrolidin-1-yl)benzaldehyde (**11**) prepared from 2-fluorobenzaldehyde (**9**) and pyrrolidine (**10**) as described in the literature¹⁹ could be used as a suitable starting material for the one-pot synthesis of hexahydropyrrolo[1,2-a]quinoline derivatives **1-8**. 2-(Pyrrolidin-1-yl)benzaldehyde (**11**) was reacted with cyclic 1,3-dicarbonyl derivatives or reagents containing active methylene group.



Scheme 1. Preparation of the hexahydropyrrolo[1,2-a]quinoline derivatives **1-8** with the general mechanism of the domino reaction.

The domino reaction started with a Knoevenagel condensation (**11**→**12**) followed by an [1,5]-hydride shift (**12**→**13**) and a 6-endo-trig cyclization (**13**→**1-8**) as shown in Scheme 1. In the case of the dicyano derivative **1**, the corresponding Knoevenagel product **12** could be also isolated confirming the mechanism.

Although stereoselective [1,5]-hydride shift-cyclization cascade reaction of 1,3-diesters Knoevenagel intermediates using enantioselective catalysis with chiral metal complexes^{20,21} or organocatalysts^{22,23} were reported, similar enantioselective reactions are not available for the reagent applied for the synthesis of **1-8**. Enantiomers of the prepared racemic **1-8** were separated by Chiralpak IA, IC or IB columns using hexane/2-propanol, hexane/dichloromethane, *tert*-butyl-methyl ether/propan-2-ol or *tert*-butyl-methyl ether/ethanol eluent combinations. Due to the different chromophores at the tetrasubstituted C-4 carbon, the HPLC-ECD spectra of the separated enantiomers of **1-8** were quite different requiring TDDFT-ECD analysis for most of them to determine the absolute configuration. The combination of HPLC-ECD measurements and TDDFT-ECD calculations was proved an efficient tool to study the absolute configuration of stereoisomers derived from racemic or scalemic mixtures of synthetic and natural origin.²⁴⁻²⁶

For the configurational assignment, preliminary Merck Molecular Force Field (MMFF) conformers were generated in a conformational search and these conformers were reoptimized at several DFT levels [B3LYP/6-31G(d) *in vacuo*, B3LYP/TZVP, B97D/TZVP and CAM-B3LYP with PCM for CHCl_3] and ECD spectra were computed with various functionals (B3LYP, BH&HLYP, CAM-B3LYP and PBE0) and the TZVP basis set with or without the same solvent model as applied in the preceding DFT optimization step.

Enantiomers of the dicyano derivative **1** were baseline separated on a Chiralpak IC column with eluent system hexane/2-propanol 70:30 and mirror image HPLC-ECD spectra were recorded. The MMFF conformational search of the arbitrarily chosen (*R*)-**1** resulted in 3 conformers, the B3LYP/6-31G(d) reoptimization of which yielded 2 conformers over 1% Boltzmann-population. These conformers differed slightly in the puckering of ring C and the orientation of the cyano groups (Fig. 2).

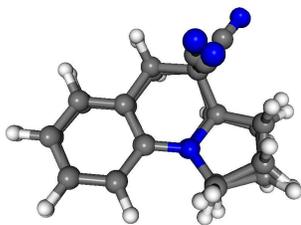


Fig. 2. Overlapped structures of the two low-energy B3LYP/6-31G(d) conformers of (*R*)-**1**.

The two conformers exhibited rather similar computed ECD spectra and their Boltzmann-weighted ECD spectrum reproduced well the experimental HPLC-ECD spectrum of the second-eluting enantiomer allowing the unambiguous determination of the absolute configuration; the first-eluting enantiomer has (*S*) and the second-eluting one (*R*) absolute configuration (Fig. 3).

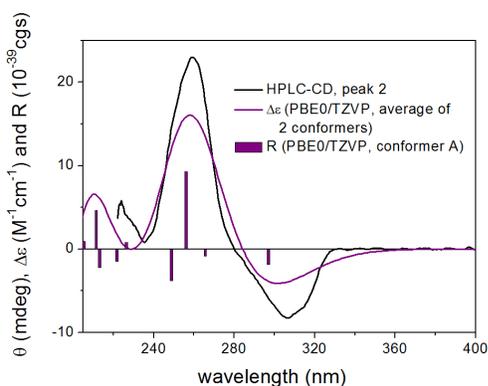


Fig. 3. HPLC-ECD spectrum of the second-eluting enantiomer of **1** (black line) compared with the TDDFT-ECD spectrum of (*R*)-**1**, [purple line: Boltzmann-weighted PBE0/TZVP ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: B3LYP/6-31G(d)]. Bars represent rotational strength values for the lowest-energy solution conformer.

Enantiomers of **3** obtained in the reaction with *N,N*-dimethylbarbituric acid were separated on Chiralpak IA column using hexane/2-propanol 80:20 eluent. The HPLC-ECD spectra of the separated enantiomers of **3** were completely different from those of **1**, and hence ECD calculations were required for the configurational assignment. The MMFF conformational search of the arbitrarily chosen (*S*) enantiomer of **3** resulted in 4 conformers, the DFT level reoptimizations of which yielded 2-3 conformers over 1% Boltzmann-population depending on the applied level (Fig. 4). Similarly to **1**, these conformers differed in the puckering of ring C and D but conformational differences were larger than for **1**, especially with the B97D functional (results not shown).

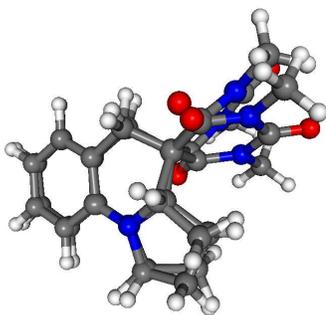


Fig. 4. Overlapped structures of the two low-energy conformers of (*S*)-**3** obtained at the CAM-B3LYP/TZVP PCM/CHCl₃ level of theory.

ECD spectra computed for the individual conformers obtained at various DFT levels gave moderate to good agreement with the experimental HPLC-ECD spectrum of the second-eluting enantiomer allowing elucidation of the absolute configuration as (*S*) for the second-eluting enantiomer and (*R*) for the first-eluting one. The best agreement was achieved by the CAM-B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the CAM-B3LYP/TZVP PCM/CHCl₃ conformers (Fig. 5)

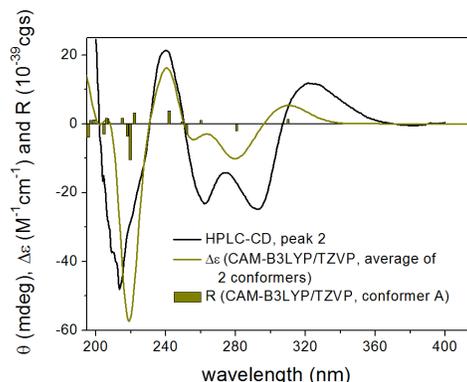


Fig. 5. HPLC-ECD spectrum of the second-eluting enantiomer of **3** (black line) compared with the TDDFT-ECD spectrum of (*S*)-**3**, (olive line: Boltzmann-weighted CAM-B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: CAM-B3LYP/TZVP PCM/CHCl₃). Bars represent rotational strength values for the lowest-energy solution conformer.

Simple comparison of HPLC-ECD spectrum of **3** with that of **2** has clearly shown that the absence of *N*-methyl groups did not change the HPLC-ECD spectra significantly. The HPLC-ECD spectrum of the first-eluting enantiomers showed in both cases *-/+/-/+* ECD pattern from the low-energy to the high-energy region allowing assignment of (*R*) absolute configuration (see Supplementary Data).

Meldrum's acid was used as a reagent for the preparation of **4**, the enantiomers of which were baseline separated on a Chiralpak IA column with hexane/2-propanol 90:10 eluent. Due to the same position of the ester and amide carbonyl groups, the separated enantiomers of **4** showed quite similar HPLC-ECD spectra to those of **3**. The MMFF conformational search of the arbitrarily chosen (*S*) enantiomer resulted in 8 conformers, the DFT level reoptimizations of which yielded 3 conformers over 1% Boltzmann-population at all the applied levels of theory. Conformers had minor variations in the conformation of the lactone and pyrrolidine rings (Fig. 6).

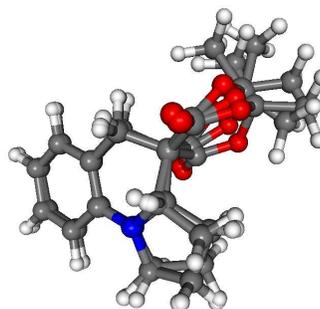


Fig. 6. Overlapped structures of the three low-energy conformers of (*S*)-**4** obtained at the CAM-B3LYP/TZVP PCM/CHCl₃ level of theory.

Boltzmann averaged ECD spectra of the low-energy conformers resembled the experimental HPLC-ECD spectrum of the second-eluting enantiomer allowing elucidation of the absolute configuration as (*S*) for the second-eluting enantiomer and (*R*) for the first one. It is interesting to note, that ECD spectra computed with the BH&HLYP and CAM-B3LYP functionals gave good agreement for all conformers obtained from various DFT reoptimizations while the PBE0 and especially the B3LYP functionals gave moderate to poor agreement with the experimental spectrum (Fig. 7). These results are in line with the findings of Pescitelli and Bruhn,²⁷ which recommended using simultaneously more than one levels of theory for both DFT optimization and ECD calculation and stated that there is no superior method, which could be applied with confidence for every case.

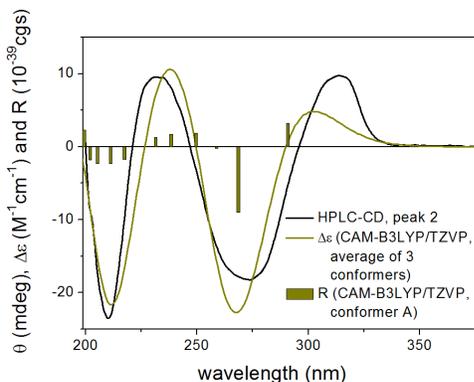


Fig. 7. HPLC-ECD spectrum of the second-eluting enantiomer of **4** (black line) compared with the TDDFT-ECD spectrum of (*S*)-**4** (dark yellow line: Boltzmann-weighted CAM-B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: CAM-B3LYP/TZVP PCM/CHCl₃). Bars represent rotational strength values for the lowest-energy solution conformer.

Compound **5** was prepared in the reaction with indan-1,3-dione and enantiomers were baseline separated on a Chiralpak IC column using hexane/dichloromethane 70:30 eluent. Due to the different chromophore, the HPLC-ECD spectra of the separated enantiomers were markedly different from those of all the other derivatives. The MMFF conformational search of (*R*)-**5** resulted in 3 conformers, the DFT reoptimizations of which yielded 2 conformers over 1% Boltzmann-population at all the applied levels of theory (Figs. 8 and 9). The two conformers differed in the orientation of the C-2 pyrrolidine methylene group and they had different computed ECD spectra. Both the gas phase BH&HLYP/TZVP//B3LYP/6-31G(d) (Fig. 10) and the PCM solvent model BH&HLYP/TZVP PCM/CHCl₃//B3LYP/TZVP PCM/CHCl₃ (Fig. 11) calculations gave sufficiently good agreement for the unambiguous determination of absolute configuration.

Interestingly, the gas-phase calculations reproduced well the 236 nm positive shoulder but failed to give the 297 nm positive Cotton effect (CE), while the same B3LYP functional with PCM solvent model for the conformers optimized at higher level and with PCM model reproduced the 297 nm CE satisfactorily and failed for the 236 nm shoulder. The B97D and CAM-B3LYP functionals were also tested for the DFT reoptimization but could not significantly improve the solvent model B3LYP results.

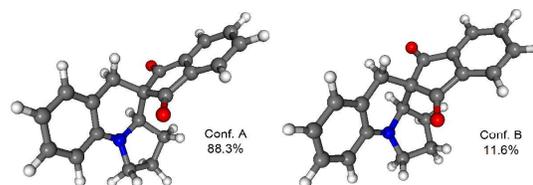


Fig. 8. Low-energy conformers ($\geq 1\%$) of (*R*)-**5** obtained at B3LYP/6-31G(d) level of theory.

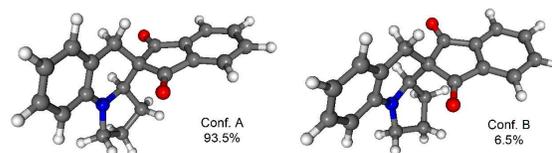


Fig. 9. Low-energy conformers ($\geq 1\%$) of (*R*)-**5** obtained at B3LYP/TZVP PCM/CHCl₃ level of theory.

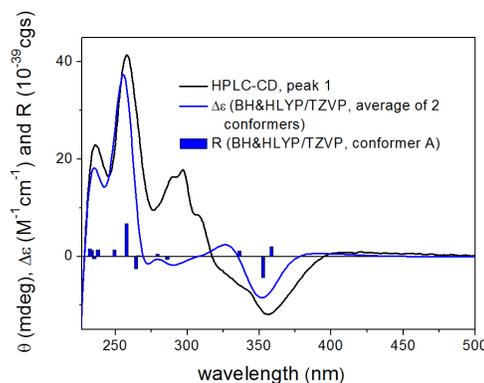


Fig. 10. HPLC-ECD spectrum of the first eluting enantiomer of **5** (black line) compared with the TDDFT-ECD spectrum of (*R*)-**5**, [blue line: Boltzmann-weighted BH&HLYP/TZVP ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: B3LYP/6-31G(d)]. Bars represent rotational strength values for the lowest-energy solution conformer.

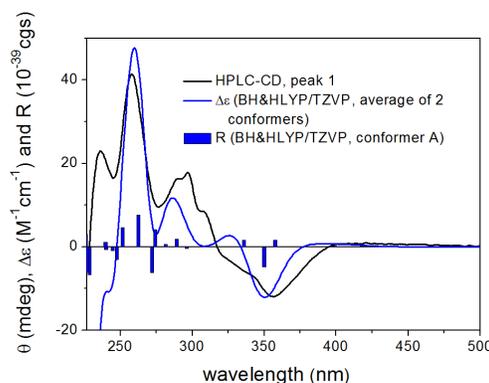


Fig. 11. HPLC-ECD spectrum of the first-eluting enantiomer of **5** (black line) compared with the TDDFT-ECD spectrum of (*R*)-**5**, (blue line: Boltzmann-weighted BH&HLYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: B3LYP/TZVP PCM/CHCl₃). Bars represent rotational strength values for the lowest-energy solution conformer.

Compound **6** was obtained with the unsymmetrical reagent 2-nitro-1-phenylethanone and thus in contrast to the previous examples the tetrasubstituted C-4 carbon became a chirality center. The determination of the relative configuration for two newly established chirality centers could not be unambiguously carried out by NOE measurements because of

the tetrasubstituted C-4 chirality center. The enantiomers of **6** were separated on a Chiralpak IA column using hexane/2-propanol 95:5 eluent, and HPLC-ECD spectra aided with conformational analysis and ECD calculations were used to distinguish the four possible stereoisomers. The MMFF conformational search of the (3*a**R*,4*R*) and (3*a**R*,4*S*) diastereomers resulted in 10 and 4 conformers, respectively, reoptimization of which at both the B3LYP/6-31G(d) and the B3LYP/TZVP PCM/CHCl₃ levels yielded 2 low-energy conformers over 1% Boltzmann population for both diastereomers (Figs. 12 and 14).

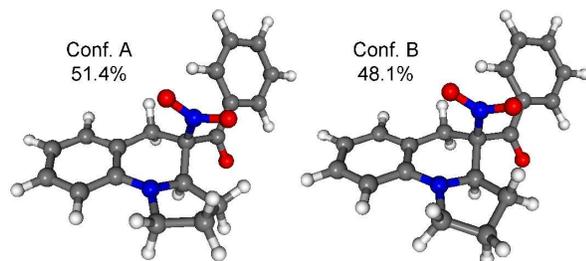


Fig. 12. Low-energy conformers ($\geq 1\%$) of (3*a**R*,4*R*)-**6** obtained at the B3LYP/TZVP PCM/CHCl₃ level of theory.

ECD spectra computed for both sets of conformers allowed distinguishing the four stereoisomers because the (3*a**R*,4*R*) diastereomer showed good agreement with the first-eluting enantiomer, while the (3*a**R*,4*S*) diastereomer had a completely different ECD pattern (Figs. 13 and 15). Consequently, the first-eluting enantiomer has (3*a**R*,4*R*) and the second one (3*a**S*,4*S*) absolute configuration. This ECD calculation represents a further example, in which not only enantiomers but also diastereomers could be distinguished by TDDFT-ECD calculations supporting the determination of the relative configuration.²⁸⁻³⁰

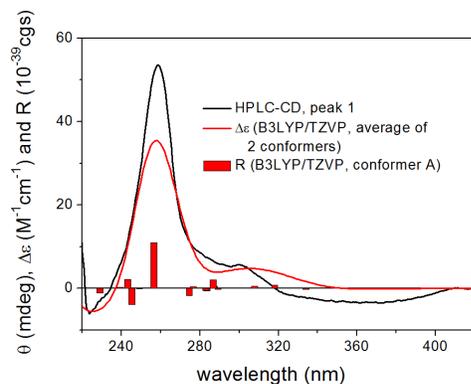


Fig. 13. HPLC-ECD spectrum of the first-eluting enantiomer of **6** (black line) compared with the TDDFT-ECD spectrum of (3*a**R*,4*R*)-**6**, (red line: Boltzmann-weighted B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: B3LYP/TZVP PCM/CHCl₃). Bars represent rotational strength values for the lowest-energy solution conformer.

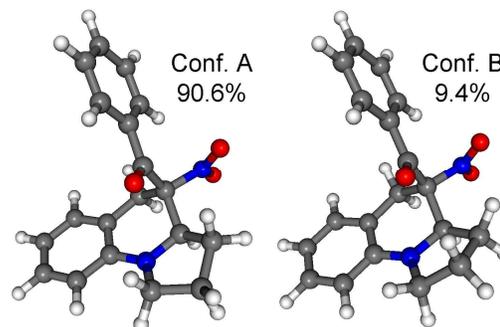


Fig. 14. Low-energy conformers ($\geq 1\%$) of (3*a**R*,4*S*)-**6** obtained at the B3LYP/TZVP PCM/CHCl₃ level of theory.

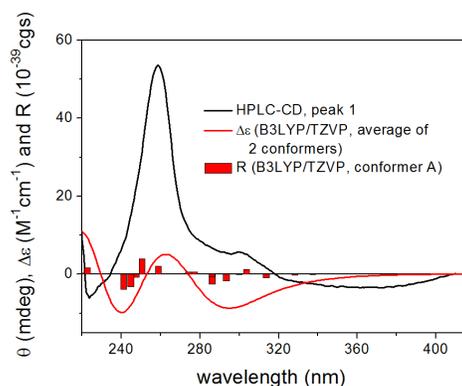


Fig. 15. HPLC-ECD spectrum of the first-eluting enantiomer of **6** (black line) compared with the TDDFT-ECD spectrum of (3*a**R*,4*S*)-**6**, (red line: Boltzmann-weighted B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: B3LYP/TZVP PCM/CHCl₃). Bars represent rotational strength values for the lowest-energy solution conformer.

Compound **7** was prepared in the reaction with cyclohexane-1,3-dione and enantiomers were separated on Chiralpak IB column using *tert*-butyl-methyl ether/propan-2-ol 98:2 as eluent. The MMFF conformational search of the arbitrarily chosen (*S*) enantiomer resulted in 7 conformers, the DFT reoptimizations of which yielded 3-4 conformers over 1% Boltzmann-population at all the applied levels of theory (Fig. S39). Despite the similarity of geometries of the computed conformers (differing only in the puckering of rings C and D) as well as the computed ECD spectra of the individual conformers, the experimental HPLC-ECD spectra could not be reproduced satisfactorily (Fig. S40). Furthermore, the B3LYP and PBE0 functionals gave significantly different results from those of the BH&HLYP and CAM-B3LYP. The HPLC-UV chromatograms of **7** and **8** suggested that partial enolization is feasible, since an elevated baseline connected the separated enantiomers of **8**, (Figs. S37 and S44). This was further confirmed by the ¹³C NMR spectrum, which showed an additional set of signals with small intensity belonging to the enol tautomer(s). Therefore, the above calculations were also performed on the possible enol forms but even using various ratios of the parent compound **7** and its possible enol tautomers could not improve the ECD agreement. It is worth mentioning that in the enol tautomer, the spiro carbon atom becomes a chirality center, which can contribute to the bad agreement of the computed ECD spectra.

By considering only the first two transitions of the B3LYP and PBE0 ECD spectra or the first three of the BH&HLYP and CAM-B3LYP ones of the parent compound **7**, (*S*) absolute configuration could be tentatively assigned for the first-eluting enantiomer and (*R*) for the second one. The (*S*) absolute configuration of the first-eluting enantiomer is also in line with the results of the closely related **8**, for which the first three

major ECD transitions had the same sign for the first-eluting (*S*) enantiomer separated under similar conditions (*vide infra*).

Compound **8** was obtained in the domino reaction with cyclopentane-1,3-dione and enantiomers were separated on Chiralpak IB column using *tert*-butyl-methyl ether/ethanol 95:5 eluent. The MMFF conformational search of the arbitrarily chosen (*R*) enantiomer resulted in 4 conformers, the DFT level reoptimizations of which yielded 2 conformers over 1% Boltzmann-population at all the applied levels of theory. Similarly to **7**, the conformers differed only in the puckering of rings C and D (Fig. 16).

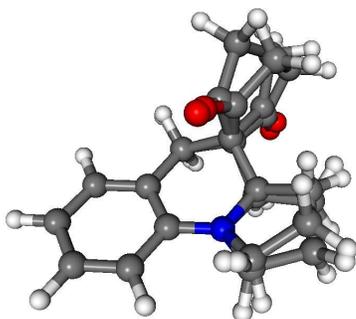
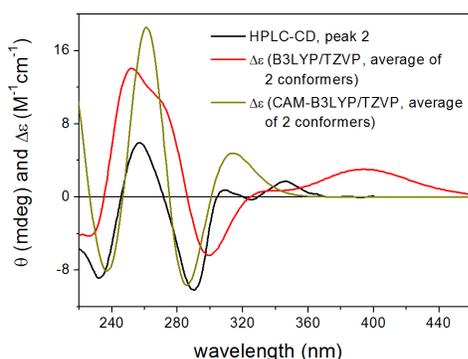


Fig. 16. Overlapped structures of the two low-energy conformers of (*R*)-**8** obtained at the CAM-B3LYP/TZVP PCM/CHCl₃ level of theory.

Overall ECD spectra obtained at almost each combination of theoretical levels applied for the DFT and the ECD computational steps gave consistent moderate to good agreement with the second-eluting enantiomer allowing elucidation of the absolute configuration as (*R*) for this enantiomer and (*S*) for the first-eluting one (Fig. 17). It is interesting to note that the B3LYP and PBE0 functionals reproduced better the low-energy region while the BH&HLYP and CAM-B3LYP functionals performed better for the 260 nm transition (Fig. 17). Best agreements were achieved for the CAM-B3LYP PCM and the B3LYP in vacuo conformers, while the B97D PCM calculations gave the worst agreement with the BH&HLYP and CAM-B3LYP functionals which were found the best for **4**. This result further supports the importance of the parallel application of various DFT functionals for both the geometry optimization and the ECD calculation steps.^{13,27,31}



LITERATURE CITED

Fig. 17. HPLC-ECD spectrum of the second-eluting enantiomer of **8** (black line) compared with the TDDFT-ECD spectra of (*R*)-**8**, (red line: Boltzmann-weighted B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers; dark yellow line: Boltzmann-weighted CAM-B3LYP/TZVP PCM/CHCl₃ ECD spectrum of the two low-energy solution conformers. Level of DFT optimization: CAM-B3LYP/TZVP PCM/CHCl₃).

Acetylcholinesterase (AChE) inhibitory activity of the products was tested and *rac*-**7** was found to show moderate AChE inhibitory activity with 35.4% inhibition at 40 μ M concentration. The neuroprotective activities of the products were also tested against hydrogen peroxide (H₂O₂), β -amyloid-25-35 fragment (A β ₂₅₋₃₅) and oxygen-glucose deprivation (OGD)-induced neurotoxicity in human neuroblastoma SH-SY5Y cells. The preliminary screenings showed that *rac*-**3** at 1 μ M concentration displayed neuroprotective activity against oxygen-glucose deprivation-induced cellular injuries in human neuroblastoma SH-SY5Y cells with 18.6% increase in cell viability.

CONCLUSION

Eight chiral hexahydropyrrolo[1,2-*a*]quinoline derivatives were prepared in a Knoevenagel-[1,5]-hydride shift-cyclization cascade reaction. The enantiomers of **1-8** could be separated by chiral HPLC whose absolute configuration were determined by means of HPLC-ECD and TDDFT-ECD calculations. The correlation of the absolute configuration with ECD data for hexahydropyrrolo[1,2-*a*]quinoline derivatives may help the future enantioselective version of the domino reaction. For the product obtained with 2-nitro-1-phenylethanone, not only enantiomers but also diastereomers could be distinguished by ECD calculations aiding the assignment of the relative configuration.

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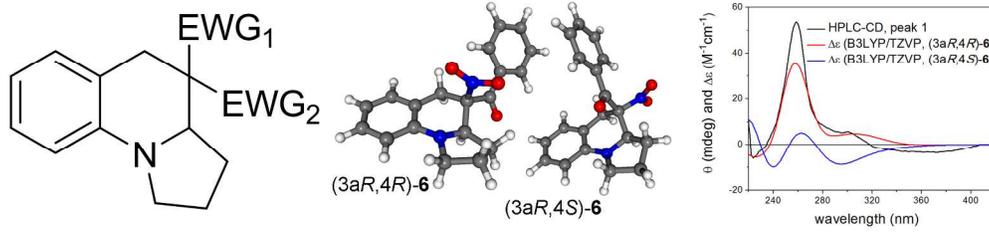
SUPPORTING INFORMATION

Additional supporting information can be found in the online version of this article at the publisher's website containing synthetic procedures for the preparation of **1-8**, spectroscopic data, ¹H, ¹³C, IR and HPLC-ECD spectra, chiral HPLC chromatograms.

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