Long-term response of understorey cover, basal area and diversity to stand density in a mixed oak forest on the Síkfőkút plot in Hungary

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ABSTRACT: The serious oak decline was reported for the 1979–80 period and 63.0% of adult oaks died in a mixed oak forest in the Síkfőkút site, Hungary. The data were used to obtain (1) quantitative information on diversity indices of shrub layer and shrub canopy, including foliage cover percentage of the shrub layer, mean cover of shrub species before and after the oak decline and (2) structural information on shrub basal area and shrub foliage arrangement. Since 1972 we have determined diversity indices, cover percentage and basal area of shrubby vegetation on the monitoring and plus plots. A negative relation was detected between Shannon-Wiener and Evenness indices of the shrub layer and living oak tree density. A positive relation was confirmed between basal area and mean cover of dominant woody species (*Acer campestre, Acer tataricum* and *Cornus mas*). The mean cover of shrub species except of *A. campestre* increased non-significantly after the oak decline on the 48 m × 48 m plot. The findings of the study indicate that diversity indices of the shrub layer and mean cover of *A. campestre* can be used as a principal indicator of natural disturbance in the studied mature stand and the species of the shrub layer respond differently to the decreasing stand density.

Keywords: Quercus cerris; shrub layer; Acer campestre; Shannon-Wiener index; Evenness index; shrub foliage cover

Numerous studies used different structural and physiological variables, biotic and abiotic indicators to detect and monitor the forests health and dynamics (JUKOLA-SULONEN et al. 1990; STRAND 1995; WICKLUM, DAVIES 1995). ZARNOCH et al. (2004) used crown condition variables.

Several biotic and abiotic factors have been considered as important in forest health studies, such as extreme weather conditions, drought, storms, heat (DROBYSHEV et al. 2008; BOLTE et al. 2010), and insect fluctuations (MORAAL, HIL-SZCZANSKI 2000), disease outbreaks (MISTRETTA 2002) or human induced influences such as climate change, air pollution and fires (SIGNELL et al. 2005; KABRICK et al. 2008). These factors may modify the functioning of the whole forest ecosystem and may lead to tree decline events.

The tree decline has heavily affected oak species and especially *Quercus petraea* Matt. L. trees in European countries and naturally in Central Europe (FREER-SMITH, READ 1995; FÜHRER 1998; THOMAS, BÜTTNER 1998). An increasing decline of oak forests has been observed in many regions of Hungary since 1978. The oak decline in Slovakia began in 1976, in former Yugoslavia in 1979 and finally reaching the eastern regions of Austria in 1984 (HÄMMERLI, STADLER 1989). The stand dynamics of oak forests in Europe has been a topic of interest and concern to resource managers and scientists in the last period. Many studies

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have examined the effects of tree decline on stand (especially on canopy layer) development but relatively few studies deal with shrub community and shrub layer dynamics in the process of tree decline (ALABACK, HERMAN 1988; GRACIA et al. 2007; GAZOL, IBÁÑEZ 2009).

Different structural variables can be used as indicators of disturbance regime and/or management practices in forests (ZUMETA, ELLEFSON 2000; LARSSON, DANELL 2001). These variables are among others diversity, basal area and cover. It is not clear what is more important to improve the knowledge of forest ecosystems, diversity or cover (GAZOL, IBÁÑEZ 2009). So in this paper they used both variables complemented with basal area to obtain a more complete picture of shrub layer dynamics after the large-scale oak decline. Many factors affect the diversity of shrub and herb layer. Among others these are environmental conditions (Härdtle et al. 2003; Kolb, Diekmann 2004), perturbations (ONAINDIA et al. 2004; GÁLниру et al. 2006), litter layer (Dzwonko, Gaw-RONSKI 2002) and intensity and type of former forest management (GRAAE, HESKJAER 1997). Open areas and tree species diversity had a positive relationship to shrub cover because a diverse overstorey generally created more canopy gaps (GAZOL, IBÁÑEZ 2009). Canopy layer stand structure strongly influences understorey cover by resources, altering microsites and environmental conditions by light availability (ALABACK 1982; OLIVER, LARSSON 1996). Shrub cover is linked to habitat quality and a number of interconnected ecological processes (CAREY 1995; HAGAR et al. 1996).

The species composition of the canopy layer was stable until 1979 and the healthy density of *Q. petraea* and of *Quercus cerris* L. also remained constant in the study site. The massive dieback of oaks started in 1979–80 and 68.4% of *Q. petraea* trunks died until 2007. The overall species composition of the canopy has changed little, only some trees of *Tilia cordata* Mill. and *Carpinus betulus* L. lived as new species in the site. The results of JAKUCS (1988) suggested that the soil acidification induced by disappearance of mycorrhiza fungi and the air pollutants that promote water and nutrient absorption have been considered and identified as primary causes of deciduous forest decline.

The oak decline of the sample site resulted in an opening of the canopy; the canopy cover decreased from 80% (1972) to 36% (2007). The decreased oak tree density led to numerous significant structural changes in the understorey such

7; vegetation diversity (Shannon-Wiener index and Evenness index) before and after the oak decline;
(3) to describe the relation between shrub layer cover and shrub basal area development and finally (4) to evaluate a potential interaction between shrub basal area and foliage cover of shrub species in the understorey.

MATERIALS AND METHODS

as herbaceous layer and shrub layer. The objec-

tives of this study were to (1) to determine the ef-

fects of canopy density on the understorey shrub

layer; (2) quantify and compare the shrub layer

Study site

The 27-ha reserve research area is located in the Bükk Mountains (47°55'N, 20°46'E) in the northeastern part of Hungary at a distance of 6 km from the city of Eger at an altitude of 320-340 m a.s.l. The mean annual temperature is 9.9°C and the mean annual precipitation ranges typically from 500 to 600 mm. The most common forest association in this region is Quercetum petraeae-cerris with the species Q. petraea (Sessile oak) and Q. cerris (Turkey oak) in the canopy layer. Both oak species are dominant native deciduous tree species of the Hungarian natural woodlands. Other codominant tree species in the site included C. betulus, Prunus avium L. and Tilia cordata. Seven dominant native shrub species were identified across the entire study area as Acer campestre L., Acer tataricum L., Cornus mas L., Cornus sanguinea L., Crataegus monogyna Jacq., Euonymus verrucosus Scop. and Ligustrum vulgare L. A general description of geographic, climatic, soil conditions and vegetation of the forest was done in detail by JAKUCS (1985, 1988). The near-natural, even-aged temperate deciduous forest is at least 95-100 years old and has not been disturbed by forest management for more than 50 years.

Sampling and data analysis

The necessary data on the shrub layer condition has been collected at a definite period on a 48×48 m intensive monitoring plot (47°55.623'N, 20°46.635'E, 315–325 m a.s.l.) since 1972. Repeated shrub inventories took place in 1982, 1988, 1993, 1997, 2002, 2007 and 2012. In 2012 three 10 m × 10 m plus plots (A1 plot: 47°55.632'N, 20°46.621'E, 321 m a.s.l.; A2 plot: 47°55.632'N, 20°46.705'E, 314 m a.s.l.; A3 plot: 47°55.568'N, 20°46.655'E, 313 m a.s.l.) were selected for the vegetation sampling, which were randomly located. Canopy trees were classified as sessile oak and Turkey oak trees > 13.0 m in height and \geq 10.0 cm in diameter at breast height (DBH). The shrubby vegetation was recorded in two horizontal layers; shrub individuals higher than 1.0 m were categorized as high shrubs and lower ones as low shrubs. Stems < 50.0 cm height of oak species were inventoried and identified to oak seedlings. The term "dominant woody species" is used for A. campestre, A. tataricum and C. mas according to the biggest mean size parameters (shoot height and diameter) of these species in the understorey. Measures of the shrub layer structure included cover and diversity indices such as Shannon-Wiener and Evenness. The following indices were calculated on the monitoring and plus plots: Shannon-Wiener index (H')(Eq. 1) and Evenness index (E) (Eq. 2):

$$H' = -\sum (p_i \times \ln p_i) \tag{1}$$

$$E = H'/H_{\rm max} = H'/\ln S \tag{2}$$

where:

 p_i – proportion of individuals found in the *i*th species, S – total number of species in the shrub community.

Evenness index was calculated as the ratio of observed diversity (H') to maximum diversity (H_{max}) (MAGURRAN 1988). Diversity indices were calculated with and without oak seedlings, because the oak seedling density showed notable fluctuations from year to year. On the plus plots mean diversity indices were determined on the basis data obtained on three plus plots.

The stand basal area (BA, m²·ha⁻¹) for high shrubs was calculated for each plot from all high shrub species. Location and cover of all high shrub specimens were mapped on the monitoring plot. Many studies included the method of foliage cover map drawing (e.g. JAKUCS 1985). Foliage cover maps were digitized in ArcView (ESRI, Redmont, USA). Based on the digitized map we estimated the foliage cover of shrub species. In the last decades 510-1394 high shrub individuals were sampled and then subjected to the mean cover analysis of shrub species. Total foliage and duplex- and multiplex cover (shrub canopy overlapped other shrub species) of the shrub layer were recorded. Experimental data were statistically evaluated by linear regression to determine a significant relationship between basal area and cover of the understorey shrub species STATIS-TICA 19 (SPSS, Tulsa, USA). Correlation analysis was used to test the relation between healthy oak tree density and basal area of dominant woody species and diversity indices of understorey. One-way ANOVA with Tukey's HSD test was used as a post-hoc test if necessary to determine significant differences among shrub species by mean cover, basal area, Shannon-Wiener index and Evenness index. Statistical analysis was performed using the PAST statistical software and significant differences for all statistical tests were evaluated at the level of $P \le 0.05$ or $P \le 0.01$.

RESULTS

Shannon-Wiener index varied between 1.27 and 2.22 in the understorey and was slightly higher on the plus plots while the oak seedling density varied between 482 and 52,583 specimens per hectare from 1972 to 2012. The results of correlation analysis showed a significant relation between oak tree density and Shannon-Wiener index of the understorey (shrub layer with low and high shrub specimens) ($r = 0.82, P \le 0.05$). The oak mortality non-significantly affected the species diversity in the high shrub layer (r = 0.56, P > 0.05). There was a positive correlation between living oak tree density and species diversity of the low shrub layer (r = 0.79, $P \le 0.05$). Shannon-Wiener index of the high shrub layer increased after the oak decline. The index varied oppositely in the lower shrub community (Table 1). Non-significant differences were recorded among diverse Shannon-Wiener indices in the understorey by ANOVA statistical analysis ($F_{3:24} = 1.51$; P = 0.24).

Evenness index varied between 0.46 and 0.77 in the understorey and was slightly higher on the plus plots in 2012. A significant correlation was confirmed between Evenness of the understorey and oak tree mortality (r = 0.83, $P \le 0.05$). There was a significant relationship between oak tree density and Evenness in the low shrub layer (r = 0.73, $P \le 0.05$) and a non-significant relationship between oak mortality rate and Evenness index in the low shrub community without oak seedlings and in the high shrub layer (r = 0.14 and r = 0.36, P > 0.05). In the last decade stable Evenness of the high shrub specimens was detected. Our results indicate that considerable changes in the heterogeneous Evenness index were detected after the beginning of the serious tree decline in

Year	Density (indd∙ha ⁻¹)		Shannon diversity index/Evenness index					
	oak tree	oak seedling	with oak seedlings	without oak seedlings	high shrub layer	low shrub layer		
1972	816	12,413	2.22/0.77	1.99/0.69	1.53/0.66	2.16/0.75		
1982	651	52,583	1.78/0.62	1.27/0.46	1.73/0.66	1.70/0.59		
1988	408	2,695	1.94/0.65	1.87/0.65	1.91/0.71	1.86/0.62		
1993	372	482	1.74/0.60	1.68/0.61	1.93/0.75	1.65/0.64		
1997	304	2,908	1.68/0.61	1.54/0.58	1.95/0.70	1.62/0.60		
2002	324	1,528	1.65/0.58	1.46/0.54	1.88/0.71	1.47/0.56		
2007	323	1,788	1.69/0.59	1.50/0.55	1.84/0.72	1.63/0.58		
2012	305	21,848	1.74/0.61	1.66/0.63	1.54/0.62	1.67/0.59		
2012*	267	5,500	1.88/0.71	1.61/0.67	1.78/0.86	1.82/0.70		

Table 1. Relation between living oak trees and oak seedling density and Shannon diversity index (H) and Evenness index (E) for the understorey layer of the monitoring plot during the period 1972–2012

*oak tree and oak seedling density, Shannon-Wiener and Evenness indeces of plus plots

1979–80. The most conspicuous change of Evenness was recorded in the understorey without oak seedlings and in the low shrub layer (Table 1). Significant differences between Evenness in the understorey were confirmed ($F_{3;24} = 4.65$; P = 0.01).

The highest total basal area of the high shrub layer recorded in the last measuring was 11.66 m²·ha⁻¹. The proportion of the basal area of maple species and *C. mas* together was higher than 89.0% of the basal area of the shrub layer in all measurements. Correlation analysis shows that the canopy tree density varied significantly with the total basal area of the high shrub layer and of the dominant woody species (r = 0.76 and 0.74, $P \le 0.05$)

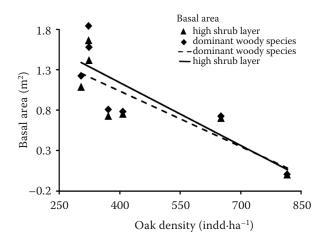


Fig. 1. Correlation relationship between oak tree density and total basal area of the understorey layer during 1972–2012

dominant woody species (r = 0.74; $P \le 0.05$), high shrub layer (r = 0.76; $P \le 0.05$)

(Fig. 1). Linear regression shows that a positive significant interaction between basal area and mean cover was detected for the dominant woody species A. campestre (r = 0.83, $R^2 = 0.69$, $F_{1;5} = 11.27$, $P \le 0.05$) and C. mas (r = 0.87, $R^2 = 0.76$, $F_{1;5} = 15.93$, $P \le 0.05$). Non-significant differences in shrub cover and basal area were determined for A. tataricum and E. verrucosus (r = 0.59, $R^2 = 0.34$, $F_{1;5} = 2.6$ and r = 0.23, $R^2 = 0.06$, $F_{1;5} = 0.29$; P > 0.05) over the past three decades (Fig. 2). The one-way ANOVA indicated significant differences in the shoot basal area of some woody species ($F_{5;36} = 11.50$; $P \le 0.001$) (Table 2).

From 1972 to 1988 the shrub layer foliage cover increased remarkably; since 1993 onwards there has not been a clear tendency in the fluctuation of the shrub layer cover. No significant differences were revealed between oak tree density and duplex- and multiplex shrub cover and between total cover and duplex- and multiplex cover in the shrub community (r = 0.33, P > 0.05). In the first decade of surveys the duplex- and multiplex cover increased from 13.8% to 56.3%. Later there was no unambiguous tendency in the change of duplexand multiplex cover of the shrub layer (Table 3). Significant differences were detected by ANOVA between mean cover of dominant woody species and mean cover of E. verrucosus and mean cover of other species ($F_{4;30} = 8.84 \ P \le 0.001$) (Table 2). A. campestre showed a significant mean percent cover increase ($r = 0.77, P \le 0.05$) after serious oak decline. Our data shows a non-significant relation between oak tree density and mean cover of other dominant species (A. tataricum: r = 0.58, *C. mas*: r = 0.71, *E. verrucosus*: r = 0.53; P > 0.05).

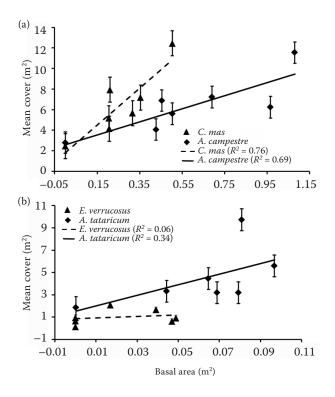


Fig. 2. Regression models relating the mean cover of dominant woody species and their basal area (\pm S.E.) by the understorey layer during 1972–2007 in the sample site R^2 – coefficient of determination of linear regression

The mean cover of other shrubs was increased from 1.0 m² to 2.8 m² during two decades. Later this mean cover fluctuated, the values ranging from 1.0 m² to 4.3 m² (Table 3).

DISCUSSION

The consequences of tree mortality cause notable changes in the light and stand thermal conditions which led to structural changes of the shrub layer (CHAPMAN et al. 2006) and changes in the soil condition (THOMAS, BÜTTNER 1998). Some studies pointed out the negative effect of tree abundance (quantified through basal area or stem density, or directly by light availability) on understorey vegetation cover or diversity (ALABACK, HERMAN 1988; THOMAS et al. 1999), also supported by the results of this paper. The decreasing canopy tree density led to the remarkably increasing cover of the shrub community in the first decade after the oak decline (to 1988 about 30%). Moreover, a reduction in canopy cover resulted in an increase in understorey cover most likely because of the increased light availability.

The tree species effect on vegetation diversity was not clearly apparent. Some studies of numer-

ous forest sites have shown that the effect of tree species diversity on vegetation diversity was low (WHITNEY, FOSTER 1988; HONG et al. 1997). KIR-BY (1988) and AMEZAGA and ONAINDIA (1997) concluded that the planting of coniferous tree species, rather than of native hardwoods, reduced species richness and therefore diversity index. According to BROSOFSKE et al. (2001) the canopy cover was a dominant site variable influencing diversity in a managed landscape such as mature and young hardwoods, mature red and Jack pine, young mixed pine, clearcuts and open Pine Barrens. Other environmental and local edaphic differences existed, but were less extensive. Our study is in agreement with the results of ONAINDIA et al. (2004), who suggested to use diversity and Evenness indices to evaluate the effects of disturbances in temperate forests. In the study of DE GRAND-PRÉ et al. (2011) in Canada the Shannon-Wiener index increased significantly (P < 0.001) with time since treatment application; along a canopy gap severity gradient in mature and old-growth forest stands. In our study Shannon-Wiener index and Evenness index of the shrub layer indicated a positive correlation with a significant decrease in canopy density.

Another monitoring plot of Hungary, the 94 ha Vár-hegy forest reserve, is situated on the hill range of the Southern Bükk Mountains. The species composition of understorey (herb and shrub layer) did not change after serious oak decline in the 1970s and 1980s similarly to our or other Hungarian site. Shannon-Wiener diversity index was measured to be 1.92 and Evenness index of the low shrub layer was 0.80 in 2004. Shannon-Wiener and Evenness indexes were 1.69 and 0.73 in the high shrub layer (HORVÁTH 2012). Changes in species richness and Evenness index indicated how the community composition had been altered because of environmental changes.

CHAPMAN et al. (2006) reported that in the upland oak forest of the USA the total shoot density and basal area in the understorey were substantially higher in 2002 than in 1934, increasing from 240 to 688 trees·ha⁻¹ and from 0.9 to 3.6 m²·ha⁻¹, while the density of most oaks and shortleaf pines in the canopy decreased appreciably over time. Overstorey density and basal area approximately doubled from 1934 to 2002, increasing from 73 to 150 trees·ha⁻¹ and from 7.2 to 14.2 m²·ha⁻¹, while the black oak density rate decreased in importance from 30.0 to 10.0% (CHAPMAN et al. 2006). On the Síkfőkút site a considerable increase in the basal area of the understorey and overstorey layer

Studentized range	A. campestre	A. tataricum	C. mas	E. verrucosus	Others*
Basal area					
A. campestre	_	0.0256	0.3248	0.0134	0.0364
A. tataricum	4.6470	_	0.8268	0.9999	1.0000
C. mas	2.9290	1.7180	_	0.6865	0.8895
E. verrucosus	5.0040	0.3569	2.0750	_	0.9987
Others	4.4440	0.2032	1.5150	0.5601	-
Mean cover					
A. campestre	_	0.5494	1.0000	0.0010	0.0068
A. tataricum	2.1690	-	0.4987	0.0449	0.2105
C. mas	0.1171	2.2870	_	0.0008	0.0055
E. verrucosus	6.3390	4.1700	6.4570	_	0.9409
Others	5.2690	3.0990	5.3860	1.0710	_

Table 2. Results of one-way ANOVA and Tukey's HSD test for basal area and mean cover of dominant woody species and other shrub species on the monitoring plot (in significance level)

in bold – significant differences, *indicates mean cover of other high shrub species: *C. sanguinea, Cr. monogyna, E. euro*paeus, J. regia, L. vulgare, L. xylosteum

was detected in the long-term trend, while the shoot and tree density of the under- and overstorey decreased. In the study of GRACIA et al. (2007) the relationship between shrub cover and basal area of the overstorey was significant only for two species, and in both cases, cover decreased with basal area. Other studies have shown negative correlations of understorey cover with canopy tree basal area (GILLIAM, TURRILL 1993) presumably because of differential light availability. On our site the cover and duplex and multiplex cover rate of shrub layer increased from 64.4% to 86.2% and from 13.8% to 46.8% in the period 1972–2007, while the total basal area of canopy decreased from 32.1 $m^2 \cdot ha^{-1}$ in 1972 to 24.4 $m^2 \cdot ha^{-1}$ in 2007. The processing of foliage cover percentage of sites in 2012 may be expected in the future.

Results from KERNS and OHMANN (2004) suggest that in the Oregon forest structure, stand development, site disturbance history and environment conditions all interact to influence the shrub layer cover. Shrub cover was negatively correlated with *Tsuga heterophylla* basal area and density of shade tolerant trees. Total shrub cover increased significantly (P < 0.001) with time since canopy gaps were formed after serious oak decline. Furthermore, the increase in total species cover was significantly more pronounced in mature than

Table 3. Oak tree density, cover (% rate of sample plot) for the understorey layer and mean cover (mean \pm SD) of dominant woody species and other shrub species during the period 1972–2007 on the monitoring plot

Year	Oak tree density — (indd·ha ⁻¹)	Cover (%)		Mean cover (m ²)			
		total shrub	duplex and multiplex	A. campestre	A. tataricum	C. mas	others*
1972	816	64.4	13.8	2.8 ± 1.4	1.9 ± 1.0	2.5 ± 1.3	1.0 ± 0.3
1982	651	85.3	56.3	4.1 ± 3.6	3.2 ± 2.7	4.1 ± 3.4	1.9 ± 0.4
1988	408	94.2	34.2	5.6 ± 6.3	3.3 ± 3.0	5.2 ± 4.3	2.2 ± 3.0
1993	372	74.0	54.0	6.9 ± 5.1	4.5 ± 2.4	7.9 ± 5.2	2.8 ± 1.2
1997	304	79.5	41.5	7.2 ± 7.2	3.2 ± 2.9	5.7 ± 5.1	2.5 ± 3.9
2002	324	67.5	23.8	6.2 ± 6.0	5.6 ± 5.1	7.2 ± 5.8	1.0 ± 0.7
2007	323	86.2	46.8	11.5 ± 9.8	9.7 ± 7.0	12.4 ± 9.2	4.3 ± 7.4

SD – standard deviation, *indicates mean cover of other high shrub species: *C. sanguinea, Cr. monogyna, E. europaeus, J. regia, L. vulgare, L. xylosteum*

old-growth stands of Canada (DE GRANDPRÉ et al. 2011). Total cover of the shrub layer increased slightly, but non-significantly in a mature oak forest of the USA, from 45.0% in 1950 to 51.0% in 1969 and 1979. Contrary, the Shannon-Wiener diversity index increased considerably, from 0.06 to 0.71 in 1979. The most marked change was an increasing prevalence of shade-intolerant shrubs, such as *Rubus* sp. and woody wines. In 1950 vines comprised 0.4% of the total shrub foliage cover, which increased to 11.5% in 1979 (DAVISON, FORMAN 1982).

On Síkfőkút site the cover percentage of canopy layer decreased notably in the period 1972-1998 after the large-scale tree decline. Consequently, heterogeneous sizes of canopy gaps were formed. A similar situation could be found in Vár-hegy evenaged forest, where 20-50% of canopy gaps were formed as the consequences of oak decline in the 1980s (Horváth 2012). The autochthonous species Q. cerris and Q. petraea formed a nearly monolayer canopy (Čекма́к et al. 2008), therefore they could not fully compensate the significantly reduced foliage cover of canopy. Despite this ecological process we could not find a clear tendency of a shrub cover variation after the oak decline. In the sparse canopy of Síkfőkút forest a considerable increase of dominant woody species was recorded in the mature foliage cover. These are late seral species, as *A*. campestre and A. tataricum are generally shade tolerant and respond positively to canopy gaps. Most major understorey species were more frequent and the foliage cover was significantly higher in canopy openings (STEWART 1988).

Our results suggest that the decreasing canopy tree density led to the remarkably increasing cover of the shrub community. The rapid growth of shrubs covers immediately following the start of oak decline and it took until 1988. Moreover, a reduction in the canopy stand density resulted in a significant increase in the understorey basal area. The forest community compensated for significant foliage losses of oak trees by increasing cover percentage and basal area of dominant woody species. The shade-tolerant and late-seral species, especially field maple in our site, would play an important role in the compensating for the foliage losses of oak canopy. All information of our study will allow us to understand the shrub community development and to develop use and management after the serious oak decline. More research is needed to gain a better understanding of the relationship between stand density and foliage cover development in the shrub layer.

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