

# On polynomials with only rational roots

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## Funding information

Eötvös Loránd Research Network (ELKH); NKFIH, Grant/Award Numbers: 128088, 130909

## Abstract

In this paper, we study upper bounds for the degrees of polynomials with only rational roots. First, we assume that the coefficients are bounded. In the second theorem, we suppose that the primes 2 and 3 do not divide any coefficient. The third theorem concerns the case that all coefficients are composed of primes from a fixed finite set.

## MSC 2020

11R09 (primary)

## 1 | INTRODUCTION

Polynomials in  $\mathbb{Z}[x]$  with only rational roots are the simplest examples of decomposable polynomials and forms. Such polynomials play an important role in the theory of Diophantine equations, see, for example, Ch. 9 of Evertse and Györy [11]. They cover norm forms that are crucial in Schmidt's Subspace Theorem [20], and index forms and discriminant forms, see Evertse and Györy [12]. Many papers on Diophantine equations deal with polynomials in  $\mathbb{Z}[x]$  with only rational roots themselves, see, for example, Section 2 of Hajdu and Tijdeman [15].

There is also an extensive literature on polynomials with restricted coefficients, in particular, with coefficients belonging to one of the sets  $\{-1, 1\}$ ,  $\{0, 1\}$ , or  $\{-1, 0, 1\}$ , see Hare and Jankauskas [16] and the references there. In the first case, the polynomials are called Littlewood polynomials, in the second case (assuming that the constant term is non-zero) Newman polynomials. For examples of studies of the location of the roots of such polynomials, see Borwein et al. [4] and Berend and Golan [2] for Littlewood polynomials, Odlyzko and Poonen [19] and Mercer [18] for Newman polynomials, and Borwein and Pinner [7], Borwein and Erdélyi [5], and Drungilas and Dubickas [8] for polynomials with all coefficients in  $\{-1, 0, 1\}$ .

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The set of polynomials  $f(x) \in \mathbb{Z}[x]$  with all coefficients in  $\{-1, 0, 1\}$ , constant term nonzero, and only rational roots is very restricted as can be simply checked: The only possible roots are 1 and  $-1$ . Hence,  $f(x) = \pm(x-1)^a(x+1)^b$  for some  $a, b \in \mathbb{Z}_{\geq 0}$ . The coefficient of  $x$  is  $\pm(b-a)$ . Therefore,  $|b-a| \leq 1$ . It follows that  $f(x) = \pm(x^2-1)^k$  maybe multiplied with either  $x-1$  or  $x+1$  where  $k = \min(a, b)$ . Since the coefficients of  $f$  are in  $\{-1, 0, 1\}$ , we obtain  $k \in \{0, 1\}$  and the degree of  $f$  is at most 3. An example of such a polynomial of degree 3 is

$$f(x) = x^3 - x^2 - x + 1 = (x-1)^2(x+1). \quad (1)$$

In this paper, we generalize this result in two ways. In the first place, we require that the coefficients of  $f$  are bounded. By the height of a polynomial with integer coefficients, we mean the maximum of the absolute values of its coefficients. We prove the following result.

**Theorem 1.1.** *Let  $f(x) \in \mathbb{Z}[x]$  be a polynomial of degree  $n$  with only nonzero rational roots and height bounded by  $H \geq 2$ . Then we have both*

$$n \leq \left( \frac{2}{\log 2} + o(1) \right) \log H \quad (H \rightarrow \infty) \quad (2)$$

and

$$n \leq \frac{5}{\log 2} \log H. \quad (3)$$

Further, the constants  $2/\log 2$  and  $5/\log 2$  in (2) and (3), respectively, are best possible.

*Remark 1.* Observe that for any  $f \in \mathbb{Z}[x]$  of degree  $n$ , the height of  $g := x^m f(x)$  is the same as that of  $f$ , while  $\deg(g) = m + n$ . So, the assumption that the roots of  $f$  are nonzero is clearly necessary.

The second generalization concerns the case that none of the coefficients of  $f(x)$  is divisible by 2 or 3. We prove the following.

**Theorem 1.2.** *Every polynomial  $f(x) \in \mathbb{Z}[x]$  with only rational roots of which no coefficient is divisible by 2 or 3 has degree at most 3.*

*Remark 2.* Example (1) shows that degree 3 is possible.

A further restriction is that the coefficients of  $f$  are integral  $S$ -units, that is, integers composed of primes from a finite set  $S$ . Such polynomials are called  $S$ -polynomials. The next theorem shows that for any  $n$ , there are only finitely many families of  $S$ -polynomials of degree  $n$  having only rational roots.

**Theorem 1.3.** *Let  $S$  be a finite set of primes with  $|S| = s$  and  $n$  a positive integer. There exists an explicitly computable constant  $C = C(n, s)$  depending only on  $n$  and  $s$  and sets  $T_1, T_2$  with  $\max(|T_1|, |T_2|) \leq C$  of  $n$ -tuples of  $S$ -units and  $(n-1)/2$ -tuples of  $S$ -units for  $n$  odd, respectively, such that if  $f(x)$  is an  $S$ -polynomial of degree  $n$  having only rational roots  $q_1, \dots, q_n$ , then  $q_1, \dots, q_n$  satisfy one of the conditions (i) or (ii):*

- (i)  $(q_1, \dots, q_n) = u(r_1, \dots, r_n)$  with some  $(r_1, \dots, r_n) \in T_1$  and  $S$ -unit  $u$ ,
- (ii)  $n = 2t + 1$  is odd, and reindexing  $q_1, \dots, q_n$  if necessary, we have  $q_1 = u$  and  $(q_2, \dots, q_n) = v(r_1, -r_1, \dots, r_t, -r_t)$  with some  $(r_1, \dots, r_t) \in T_2$  and  $S$ -units  $u, v$ .

Further, the possibilities (i) and (ii) cannot be excluded.

The proof of Theorem 1.1 is elementary. In the proof of Theorem 1.2, we use an old result of Fine [14] that if all the coefficients of the polynomial  $(x + 1)^n$  are odd, then  $n$  is of the form  $2^\alpha - 1$  for some  $\alpha \in \mathbb{Z}_{\geq 0}$ . We derive a corresponding result for the prime 3 in place of 2. Its proof is elementary. The proof of Theorem 1.3 is based on an estimate of Amoroso and Viada [1] on the number of nondegenerate, nonproportional solutions of  $S$ -unit equations. We finish the paper with stating some open questions.

## 2 | PROOFS

Observe that the rational roots of an  $S$ -polynomial  $f(x)$  are  $S$ -units, that is, rational numbers whose numerators and denominators are composed exclusively of primes in  $S$ . This follows from the well-known fact that the denominator of a root of  $f(x)$  divides the leading coefficient of  $f(x)$ , while its numerator divides the constant term of  $f(x)$ . In the sequel, we shall use this fact without any further mentioning.

*Proof of Theorem 1.1.* On the one hand, let  $f(x) = \sum_{j=0}^n a_j x^j$ . Then

$$|f(i)| \leq \left| \sum_{j \text{ is even}} |a_j| + i \sum_{j \text{ is odd}} |a_j| \right| \leq \sqrt{\frac{1}{2}n^2 + n + 1} H. \tag{4}$$

On the other hand, we may write  $f(x) = \prod_{j=1}^n (q_j x - p_j)$  with  $p_j, q_j \in \mathbb{Z}_{\neq 0}$  for all  $j$ . Then

$$|f(i)| = \left| \prod_{j=1}^n (q_j i - p_j) \right| = \prod_{j=1}^n \sqrt{q_j^2 + p_j^2} \geq (\sqrt{2})^n. \tag{5}$$

Therefore,

$$n \log 2 \leq \log \left( \frac{1}{2}n^2 + n + 1 \right) + 2 \log H. \tag{6}$$

From this, (2) easily follows. For the height  $H$  of the polynomial  $f(x) = (x^2 - 1)^{n/2}$  with even  $n \geq 2$  by Stirling’s formula, we have  $\log H = (1 + o(1))n \log 2/2$ . This shows that the constant  $2/\log 2$  in (2) is best possible.

To prove (3), observe that assuming  $(5/\log 2) \log H < n$  from (6), we obtain

$$n \log 2 < \log \left( \frac{1}{2}n^2 + n + 1 \right) + \frac{2n \log 2}{5}.$$

Hence, we easily get

$$n \leq 9.$$

Further, observe that if we assume that  $f$  has a root different from  $\pm 1$ , then (5) can be sharpened to

$$|f(i)| \geq \sqrt{5}(\sqrt{2})^{n-1}. \quad (7)$$

Thus, in this case combining  $(5/\log 2)\log H < n$  with (4) and (7), we get a contradiction for  $n \geq 1$ . So, to prove (3), we only need to check the polynomials of the shape  $f(x) = \pm(x+1)^a(x-1)^{n-a}$  with  $0 \leq a \leq n$  for  $1 \leq n \leq 9$ . A simple calculation gives that for all these polynomials, (3) holds. In particular, for  $n = 5$  and  $a = 2, 3$ , we have equality. Thus, for example, the polynomial

$$(x-1)^3(x+1)^2 = x^5 - x^4 - 2x^3 + 2x^2 + x - 1$$

shows that the constant  $5/\log 2$  in (3) is best possible. So, the theorem is proved.  $\square$

*Remark 3.* Several authors have considered upper bounds for the number  $r$  of real roots of  $f(x) \in \mathbb{R}[x]$ . Bloch and Pólya [3] proved

$$r \ll_H n \log \log n / \log n.$$

This was improved by E. Schmidt (unpublished) and further by Schur [21] and Szegő [23]. Schur proved

$$r^2 < 4n \log Q \quad \text{for } n > 6, \quad (8)$$

where

$$Q = \frac{1}{|a_0 a_n|^{1/2}} (a_0^2 + a_1^2 + \dots + a_n^2)^{1/2}.$$

Further, he showed that the constant 4 in (8) cannot be improved. With  $r = n$ , we obtain for polynomials  $f(x) \in \mathbb{Z}[x]$  with only real roots that

$$n \leq (4 + o(1)) \log H \quad (H \rightarrow \infty). \quad (9)$$

(Here we used that  $Q \leq \sqrt{n+1} H$  in this case.) By Theorem 1.1, the constant 4 in (9) cannot be replaced by a constant less than  $2/\log 2 \sim 2.885$ . For related results, see Erdős and Turán [9], Littlewood and Offord [17], and Borwein, Erdélyi and Kós [6] too.

To prove Theorem 1.2, we need two lemmas. The first one is a direct consequence of Theorem 4 of Fine [14].

**Lemma 2.1.** *Let  $n$  be a positive integer such that all the coefficients of  $(x+1)^n$  are odd. Then  $n$  is of the shape  $2^\alpha - 1$  with some  $\alpha \in \mathbb{Z}_{\geq 0}$ .*

The next lemma is new, and provides a similar result for prime 3.

**Lemma 2.2.** *Let  $a, b$  be nonnegative integers. Put  $n := a + b$ . If none of the coefficients of  $(x-1)^a(x+1)^b$  is divisible by 3, then  $n$  is of the shape  $3^\beta - 1, 2 \cdot 3^\beta - 1, 3^\gamma + 3^\delta - 1$  or  $2 \cdot 3^\gamma + 3^\delta - 1$  with  $\beta \geq 0, \gamma > \delta \geq 0$ .*

*Proof.* We call a pair of nonnegative integers  $(a, b)$  *good* if none of the coefficients of  $f_{(a,b)}(x) := (x - 1)^a(x + 1)^b$  is divisible by 3; otherwise, we say that  $(a, b)$  is *bad*. Observe that this property is symmetric in  $a$  and  $b$  in view of the substitution  $x \rightarrow -x$ . We distinguish between the residue classes of  $a$  and  $b$  modulo 3.

CASE  $a \equiv \varepsilon \pmod{3}, b \equiv 0 \pmod{3}, \varepsilon \in \{0, 1\}$ . Letting  $a = 3u + \varepsilon, b = 3v$ , we get that

$$f_{(a,b)}(x) \equiv (x^3 - 1)^u(x^3 + 1)^v(x - 1)^\varepsilon \pmod{3}.$$

Hence,  $(a, b)$  is good if and only if  $u = v = 0$ , i.e.  $n = 0$  or 1.

CASE  $b \equiv \varepsilon \pmod{3}, a \equiv 0 \pmod{3}, \varepsilon \in \{0, 1\}$ . By symmetry, this yields the same conclusion as in the previous case.

CASE  $a \equiv 2 \pmod{3}, b \equiv 0 \pmod{3}$ . Writing  $a = 3u + 2, b = 3v$ , we see that

$$f_{(a,b)}(x) \equiv (x^3 - 1)^u(x^3 + 1)^v(x^2 + x + 1) \pmod{3}.$$

This shows that  $(a, b)$  is good if and only if  $(u, v)$  is good.

CASE  $b \equiv 2 \pmod{3}, a \equiv 0 \pmod{3}$ . By symmetry, this yields the same conclusion as in the previous case.

CASE  $a \equiv b \equiv \varepsilon \pmod{3}, \varepsilon \in \{1, 2\}$ . Putting  $a = 3u + \varepsilon, b = 3v + \varepsilon$ , we see that

$$f_{(a,b)}(x) \equiv (x^3 - 1)^u(x^3 + 1)^v(x^2 - 1)^\varepsilon \pmod{3}.$$

Hence  $(a, b)$  is bad, as the coefficient of  $x$  will be  $0 \pmod{3}$ .

CASE  $a \equiv 2 \pmod{3}, b \equiv 1 \pmod{3}$ . Letting  $a = 3u + 2, b = 3v + 1$ , we obtain that

$$f_{(a,b)}(x) \equiv (x^3 - 1)^u(x^3 + 1)^v(x^3 - x^2 - x + 1) \pmod{3}. \tag{10}$$

From this, we immediately see that if  $(u, v)$  is bad, then  $(a, b)$  is bad, too. Assume that  $(u, v)$  is good. Then we may write

$$(x^3 - 1)^u(x^3 + 1)^v = \sum_{i=0}^{u+v} c_i x^{3i} \tag{11}$$

with  $3 \nmid c_i$  ( $i = 0, \dots, u + v$ ); in particular,  $c_{u+v} = 1$ . Then, combining (10) and (11), we obtain that  $(a, b)$  is good if and only if none of the integers

$$c_{u+v}, c_{u+v} + c_{u+v-1}, \dots, c_1 + c_0, c_0$$

is divisible by 3. Since  $c_{u+v} = 1$ , this gives  $c_i \equiv 1 \pmod{3}$  ( $i = 0, \dots, u + v$ ). Hence, we obtain, on replacing  $x^3$  by  $x_1$  in (11), that every coefficient of  $(x_1 - 1)^u(x_1 + 1)^v$  is  $1 \pmod{3}$ . This is equivalent with

$$(x_1 - 1)^{u+1}(x_1 + 1)^v \equiv x_1^{u+v+1} - 1 \pmod{3}. \tag{12}$$

We show that (12) holds precisely for

$$(u, v) = (3^\ell - 1, 0), (3^\ell - 1, 3^\ell) \ (\ell \geq 0). \tag{13}$$

It is easy to check that (12) is valid for  $(u, v)$  given by (13). Assume that (12) holds for some  $(u, v)$  and write  $u + 1 = 3U + p$ ,  $v = 3V + q$  with  $0 \leq p, q \leq 2$  and  $U, V \geq 0$ . Then (12) can be rewritten as

$$(x_1^3 - 1)^U (x_1^3 + 1)^V (x_1 - 1)^p (x_1 + 1)^q \equiv x_1^{u+v+1} - 1 \pmod{3}.$$

Hence, we get two possibilities. If  $(p, q) \neq (0, 0)$ , then we must have  $(p, q) = (1, 0), (1, 1)$  and  $(U, V) = (0, 0)$ . So,  $(u, v) = (0, 0), (0, 1)$  belonging to (13) with  $\ell = 0$ . If  $(p, q) = (0, 0)$ , then we easily see that either  $V = 0$  or  $U = V$  must be valid. Then (12) can be rewritten as

$$(x_1^3 - 1)^U \equiv x_1^{3U} - 1 \pmod{3}$$

or

$$(x_1^6 - 1)^U \equiv x_1^{6U} - 1 \pmod{3},$$

respectively. These clearly hold if and only if  $U$  is a power of 3, and our claim follows. Altogether, we see that in this case,  $(a, b)$  is good if and only if  $(u, v)$  is good and (13) holds.

CASE  $b \equiv 2 \pmod{3}$ ,  $a \equiv 1 \pmod{3}$ . By symmetry,  $(a, b)$  is good if and only if setting  $a = 3u + 1$  and  $b = 3v + 2$ ,  $(u, v)$  is good and

$$(u, v) = (0, 3^\ell - 1), (3^\ell, 3^\ell - 1) \ (\ell \geq 0). \quad (14)$$

We conclude that  $(a, b)$  with  $a + b = n > 1$  is good if and only if writing  $a = 3u + i$ ,  $b = 3v + j$  with  $0 \leq i, j \leq 2$ ,  $(u, v)$  is good and  $[(a, b) \pmod{3}$  equals  $(2, 0)$  or  $(0, 2)]$  or  $[(a, b) \equiv (2, 1) \pmod{3}$  and (13) holds] or  $[(a, b) \equiv (1, 2) \pmod{3}$  and (14) holds].

Suppose  $(a, b) \equiv (2, 1) \pmod{3}$ . Then by (13), we have two options. If  $(u, v) = (3^\ell - 1, 0)$  ( $\ell \geq 0$ ), then we have  $n = 3^{\ell+1} = 3^{\ell+1} + 3^0 - 1$  and we are done. If  $(u, v) = (3^\ell - 1, 3^\ell)$  ( $\ell \geq 0$ ), then  $n = 2 \cdot 3^{\ell+1} = 2 \cdot 3^{\ell+1} + 3^0 - 1$ , and our claim follows. By symmetry, the case  $(a, b) \equiv (1, 2) \pmod{3}$  with (14) leads to the same values of  $n$ .

It remains to deal with the case  $(a, b) \pmod{3}$  equals  $(2, 0)$  or  $(0, 2)$ . In both cases, we have  $n = a + b = 3u + 3v + 2$ . Writing  $u = 3u_1 + u_0$ ,  $v = 3v_1 + v_0$  with  $u_0, v_0 \in \{0, 1, 2\}$ , we have, by the above conclusion:

$(u, v)$  with  $u + v > 1$  is good if and only if  $(u_1, v_1)$  is good and

$[(u, v) \pmod{3}$  equals  $(2, 0)$  or  $(0, 2)]$  or

$[(u, v) \equiv (2, 1) \pmod{3}$  and (13) holds] or

$[(u, v) \equiv (1, 2) \pmod{3}$  and (14) holds],

where in (13) and (14),  $(u, v)$  is replaced with  $(u_1, v_1)$ .

Thus, by induction, all possible degrees  $n$  are obtained by applying the substitution  $n \rightarrow 3n + 2$  a number of times on the possible starting values  $0, 1, 3^\ell, 2 \cdot 3^\ell$  for any nonnegative integer  $\ell$ . By applying the substitution  $k$  times, we find  $3^k - 1, 2 \cdot 3^k - 1, 3^\ell + 3^k - 1, 2 \cdot 3^\ell + 3^k - 1$ , respectively, with  $\ell > k$ , for the only possible values of  $n$ .  $\square$

*Remark 4.* For all the mentioned values in Lemma 2.2, there are polynomials without coefficients divisible by 3. We have modulo 3:

$$\begin{aligned} (x - 1)^{3^\ell - 1} &= (x - 1)^{3^\ell} / (x - 1) \equiv (x^{3^\ell} - 1) / (x - 1) = x^{3^\ell - 1} + x^{3^\ell - 2} + \dots + 1, \\ (x - 1)^{2 \cdot 3^\ell - 1} &\equiv (x^{3^\ell} - 1)^2 / (x - 1) = (x^{3^\ell - 1} + x^{3^\ell - 2} + \dots + 1)(x^{3^\ell} - 1) \\ &= x^{2 \cdot 3^\ell - 1} + x^{2 \cdot 3^\ell - 2} + \dots + x^{3^\ell} - x^{3^\ell - 1} - x^{3^\ell - 2} - \dots - 1, \\ (x - 1)^{3^\ell - 1} (x + 1)^{3^\ell} &= (x - 1)^{3^\ell} (x + 1)^{3^\ell} / (x - 1) \equiv (x^{3^\ell} - 1)(x^{3^\ell} + 1) / (x - 1) \\ &= (x^{3^\ell - 1} + x^{3^\ell - 2} + \dots + 1)(x^{3^\ell} + 1) = x^{2 \cdot 3^\ell - 1} + x^{2 \cdot 3^\ell - 2} + \dots + 1. \end{aligned}$$

The first identity can be multiplied by  $(x + 1)^{3^k} \equiv x^{3^k} + 1$  for any  $k$  less than  $\ell$  and yields the solutions

$$(3^\ell - 1, 0), (3^\ell - 1, 1), (3^\ell - 1, 3), \dots, (3^\ell - 1, 3^{\ell - 1})$$

for  $(\deg(x - 1), \deg(x + 1))$ . This provides the total degrees  $3^\ell - 1, 3^\ell + 3^k - 1$ .

The second assertion provides the total degree  $2 \cdot 3^\ell - 1$ . This degree is found in another way by the third formula.

The third identity can be multiplied by  $(x + 1)^{3^k} \equiv x^{3^k} + 1$  for any  $k$  less than  $\ell$  and yields the solutions

$$(3^\ell - 1, 3^\ell), (3^\ell - 1, 3^\ell + 1), (3^\ell - 1, 3^\ell + 3), \dots, (3^\ell - 1, 3^\ell + 3^{\ell - 1})$$

for  $(\deg(x - 1), \deg(x + 1))$ . This provides the total degrees  $2 \cdot 3^\ell - 1$  and  $2 \cdot 3^\ell + 3^k - 1$ .

*Proof of Theorem 1.2.* Let  $f$  be as in the statement. Since we argue modulo 2 and 3, and 2,3 do not divide the leading coefficient of  $f$ , we may assume that  $f$  is monic. Since the roots of  $f$  are odd, Lemma 2.1 shows that  $n + 1$  is a power of 2. Further, since the roots of  $f$  are not divisible by 3, by Lemma 2.2, we get that  $n + 1$  is of the shape  $3^\beta, 2 \cdot 3^\beta, 3^\gamma + 3^\delta$  or  $2 \cdot 3^\gamma + 3^\delta$ . The combination is possible only for  $n = 0, 1, 3$ , as a simple check reveals. □

For the proof of Theorem 1.3, we use the theory of  $S$ -unit equations. Let  $S$  be a finite set of primes,  $b_1, \dots, b_m$  nonzero rationals, and consider the equation

$$b_1 x_1 + \dots + b_m x_m = 0 \quad \text{in } S\text{-units } x_1, \dots, x_m. \tag{15}$$

A solution  $(y_1, \dots, y_m)$  of (15) is called nondegenerate if

$$\sum_{i \in I} b_i y_i \neq 0 \quad \text{for each nonempty subset } I \text{ of } \{1, \dots, m\}.$$

Further, two solutions  $(y_1, \dots, y_m)$  and  $(z_1, \dots, z_m)$  are called proportional, if there is an  $S$ -unit  $u$  such that  $(z_1, \dots, z_m) = u(y_1, \dots, y_m)$ . The following result is due to Amoroso and Viada; see the paragraph after (1.7) on p. 412 of [1]. (For an earlier version, see [13], and for the case  $m = 2$ , see

[10].) Note that, in fact, the original result of Amoroso and Viada concerns the inhomogeneous case, that is, where the right-hand side of (15) is 1. However, it is easy to transform their result into the shape of (15).

**Lemma 2.3.** Equation (15) has at most  $(8m - 8)^{4(m-1)^4(m+s)}$  nondegenerate, nonproportional solutions, where  $s = |S|$ .

*Proof of Theorem 1.3.* Suppose that  $f(x) = \sum_{j=0}^n a_j x^j$  is an  $S$ -polynomial of degree  $n$  having only rational roots  $q_1, \dots, q_n$ . By our assumption,  $a_0, a_1, \dots, a_n$  are integral  $S$ -units. We have

$$A_j = \sigma_j(q_1, \dots, q_n) \quad (1 \leq j \leq n), \quad (16)$$

where  $A_j = (-1)^j a_{n-j}/a_n$  and  $\sigma_j$  is the  $j$ th elementary symmetric polynomial (of degree  $j$ ) of  $q_1, \dots, q_n$ . Using (16) for  $j = 1, 2$ , we get

$$q_1^2 + \dots + q_n^2 = A_1^2 - 2A_2. \quad (17)$$

This shows that  $(q_1^2, \dots, q_n^2, A_1^2, A_2)$  yields a solution to the  $S$ -unit equation

$$x_1 + \dots + x_n - x_{n+1} + 2x_{n+2} = 0. \quad (18)$$

If  $(q_1^2, \dots, q_n^2, A_1^2, A_2)$  is a solution with no vanishing subsums, then by Lemma 2.3, we can write  $q_i^2 = u_0 \ell_i$  ( $i = 1, \dots, n$ ), where  $(\ell_1, \dots, \ell_n)$  comes from a finite set of cardinality bounded in terms of  $n$  and  $s$ , and  $u_0$  is an  $S$ -unit. Obviously, the squarefree parts of  $\ell_1, \dots, \ell_n$  are the same, say  $\ell_0$ . Thus, letting  $r_i^2 = \ell_i/\ell_0$  ( $i = 1, \dots, n$ ) and  $u^2 = u_0 \ell_0$ , we have  $q_i = \pm u r_i$  ( $i = 1, \dots, n$ ) and we are done in this case.

Hence, we may assume that  $(q_1^2, \dots, q_n^2, A_1^2, A_2)$  contains a vanishing subsum. Since  $q_i^2 > 0$  ( $1 \leq i \leq n$ ), the only possibility is that (after reindexing  $q_1, \dots, q_n$  if necessary) we have

$$q_1^2 + \dots + q_k^2 - A_1^2 = 0, \quad (19)$$

$$q_{k+1}^2 + \dots + q_n^2 + 2A_2 = 0 \quad (20)$$

for some  $k$  with  $1 \leq k < n$ . It is easy to see that (19) and (20) do not have a vanishing subsum. Thus, similarly as above, Lemma 2.3 yields that

$$(q_1, \dots, q_k) = u(w_1, \dots, w_k),$$

$$(q_{k+1}, \dots, q_n) = v(r_1, \dots, r_\ell),$$

$$A_1 = ut_1 \neq 0, \quad A_2 = v^2 t_2 \neq 0,$$

where  $\ell = n - k$  and both  $(w_1, \dots, w_k, t_1)$  and  $(r_1, \dots, r_\ell, t_2)$  come from finite sets of  $S$ -units of cardinalities bounded in terms of  $n$  and  $s$ , and  $u, v$  are  $S$ -units. Hence, (16) for  $j = 1$  yields that

$$u(w_1 + \dots + w_k) + v(r_1 + \dots + r_\ell) = ut_1. \quad (21)$$

If  $r_1 + \dots + r_\ell \neq 0$ , then the  $S$ -unit  $v/u$  comes from a set of cardinality bounded in terms of  $n$  and  $s$ , and we are in case (i). So, we may suppose that

$$\begin{aligned} w_1 + \dots + w_k &= t_1, \\ r_1 + \dots + r_\ell &= 0. \end{aligned}$$

As we have  $k \geq 1, \ell \geq 1$  and, by (19) and (20),

$$\begin{aligned} w_1^2 + \dots + w_k^2 - t_1^2 &= 0, \\ r_1^2 + \dots + r_\ell^2 + 2t_2 &= 0, \end{aligned}$$

we obtain

$$\sigma_2(w_1, \dots, w_k) = 0, \quad \sigma_2(r_1, \dots, r_\ell) = t_2.$$

We shall prove by contradiction that  $k = 1$ . Assume that  $k \geq 2$ . If  $k = 2$ , then  $w_1 w_2 = 0$ , which is not possible. So,  $k \geq 3$ . Hence,

$$\begin{aligned} u^3 \sigma_3(w_1, \dots, w_k) + u^2 v \sigma_2(w_1, \dots, w_k) \sigma_1(r_1, \dots, r_\ell) + \\ + uv^2 \sigma_1(w_1, \dots, w_k) \sigma_2(r_1, \dots, r_\ell) + v^3 \sigma_3(r_1, \dots, r_\ell) - A_3 = 0. \end{aligned}$$

Here  $\sigma_j(r_1, \dots, r_\ell) = 0$  if  $\ell < j$ . In view of the previously obtained assertions, we get

$$u^3 \sigma_3(w_1, \dots, w_k) + uv^2 t_1 t_2 + v^3 \sigma_3(r_1, \dots, r_\ell) - A_3 = 0. \tag{22}$$

If  $\sigma_3(w_1, \dots, w_k) \neq 0$  or  $\sigma_3(r_1, \dots, r_\ell) \neq 0$ , then (22) by Lemma 2.3 easily yields (both with or without vanishing subsums) that  $v/u$  belongs to a set of cardinality bounded in terms of  $n$  and  $s$ , and we are in case (i). So, we may assume that

$$\sigma_3(w_1, \dots, w_k) = 0, \quad \sigma_3(r_1, \dots, r_\ell) = 0.$$

Then, we get

$$w_1^3 + \dots + w_k^3 = \sigma_1(w_1, \dots, w_k)^3 - 3\sigma_1(w_1, \dots, w_k)\sigma_2(w_1, \dots, w_k) + 3\sigma_3(w_1, \dots, w_k) = t_1^3.$$

We have obtained

$$\begin{cases} w_1 + \dots + w_k = t_1, \\ w_1^2 + \dots + w_k^2 = t_1^2, \\ w_1^3 + \dots + w_k^3 = t_1^3. \end{cases} \tag{23}$$

Note that (23) implies that there are indices  $i_1, i_2$  with  $w_{i_1} > 0$  and  $w_{i_2} < 0$ , thus

$$|t_1| = \sqrt{w_1^2 + \dots + w_k^2} = \sqrt{|w_1|^2 + \dots + |w_k|^2} \geq \sqrt[3]{|w_1|^3 + \dots + |w_k|^3} > |t_1|.$$

This contradiction shows that  $k = 1$  and  $\ell = n - 1$ .

Recall that

$$\sigma_1(r_1, \dots, r_\ell) = 0, \quad \sigma_2(r_1, \dots, r_\ell) = t_2, \quad \sigma_3(r_1, \dots, r_\ell) = 0.$$

Hence, (22) yields  $A_3 = uv^2t_1t_2$ . Further, by (16) and  $k = 1$ , we get

$$A_j = uv^{j-1}w_1\sigma_{j-1}(r_1, \dots, r_\ell) + v^j\sigma_j(r_1, \dots, r_\ell) \quad (4 \leq j \leq n). \quad (24)$$

From this, taking  $j = 4$ , we obtain

$$\sigma_4(r_1, \dots, r_\ell) = A_4/v^4 \neq 0.$$

Now (24) for  $j = 5$  by Lemma 2.3 yields that if  $\sigma_5(r_1, \dots, r_\ell) \neq 0$ , then  $v/u$  comes from a set of cardinality bounded by  $n$  and  $s$ , and we are in case (i). So, we may assume that

$$\sigma_5(r_1, \dots, r_\ell) = 0.$$

Now by repeating this argument, we may assume that

$$\begin{cases} \sigma_j(r_1, \dots, r_\ell) = A_j/v^j \neq 0 & \text{for } j \text{ even,} \\ \sigma_j(r_1, \dots, r_\ell) = 0 & \text{for } j \text{ odd.} \end{cases}$$

In particular, since  $\sigma_\ell(r_1, \dots, r_\ell) = r_1 \cdots r_\ell$  cannot be zero,  $\ell$  is even whence  $n = \ell + 1$  is odd. Observing that  $(x + r_1) \cdots (x + r_\ell)$  is an even polynomial, writing  $\ell = 2t$  and reindexing the  $S$ -units  $r_i$  ( $1 \leq i \leq \ell$ ) such that  $r_{t+i} = -r_i$  ( $1 \leq i \leq t$ ), we see that we are in case (ii).

Finally, we show that the possibilities (i) and (ii) cannot be excluded. Indeed, if  $r_1, \dots, r_n$  is a set of rational roots of an  $S$ -polynomial of degree  $n$ , then clearly, the same is true for  $ur_1, \dots, ur_n$  for any  $S$ -unit  $u$ , showing the necessity of (i). On the other hand, let  $r_1^2, \dots, r_t^2$  be the rational roots of the  $S$ -polynomial  $(x - r_1^2) \cdots (x - r_t^2)$ . Then in the polynomial  $(x^2 - r_1^2) \cdots (x^2 - r_t^2)$ , all the coefficients of the even powers of  $x$  are  $S$ -units (while the coefficients of the odd powers of  $x$  equal 0). Thus, for any  $S$ -units  $u, v$ , all the coefficients of the polynomial

$$(x + u)(x - vr_1)(x + vr_1) \cdots (x - vr_t)(x + vr_t)$$

are  $S$ -units. This shows that (ii) cannot be excluded either. Note that it is easy to construct as many such nonproportional tuples as we like: Take arbitrary tuples of  $n$  integers (or  $t$  squares) that are nonproportional and define  $S$  as the set of prime factors of the product of their elementary symmetric polynomials.  $\square$

### 3 | OPEN PROBLEMS

We wonder whether the following statement is correct.

*Problem 1.* Is it true that for any primes  $p$  and  $q$ , there exists an  $n_1 = n_1(p, q)$  such that every polynomial  $f(x) \in \mathbb{Z}[x]$  with only rational roots of which no coefficient is divisible by  $p$  or  $q$  has degree at most  $n_1$ ?

Theorem 1.1 shows that the answer is “yes” for the pair of primes  $(p, q) = (2, 3)$ .

A weaker statement is a restriction to  $S$ -polynomials.

*Problem 2.* Is it true that for any finite set  $S$  of primes, there exists an  $n_2 = n_2(S)$  such that every  $S$ -polynomial  $f(x) \in \mathbb{Z}[x]$  with only rational roots has degree at most  $n_2$ ?

Theorem 1.2 yields an affirmative answer for sets  $S$  of primes with  $2, 3 \notin S$ .

The last problem is raised by Lemmas 2.1 and 2.2.

*Problem 3.* Is it true that for every prime  $p$ , there exists a constant  $c(p)$  such that if  $f(x) \in \mathbb{Z}[x]$  has only rational roots and none of the coefficients of  $f$  is divisible by  $p$ , then  $\deg(f) + 1$  in its  $p$ -adic expansion has at most  $c(p)$  nonzero digits? In particular, can one take  $c(p) = p - 1$ ?

Lemmas 2.1 and 2.2 show that the answer is “yes” with  $c(p) = p - 1$  for  $p = 2, 3$ . Note that an affirmative answer to Problem 3 through a deep result of Stewart [22] would yield positive answers to Problems 1 and 2, as well.

## ACKNOWLEDGMENTS

The authors are grateful to the referee for the insightful and helpful remarks. Research supported in part by the Eötvös Loránd Research Network (ELKH) and by the NKFIH grants 128088 and 130909.

## JOURNAL INFORMATION

*Mathematika* is owned by University College London and published by the London Mathematical Society. All surplus income from the publication of *Mathematika* is returned to mathematicians and mathematics research via the Society’s research grants, conference grants, prizes, initiatives for early career researchers and the promotion of mathematics.

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