Review

Antithrombin deficiency and its laboratory diagnosis

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Abstract

Antithrombin (AT) belongs to the serpin family and is a key regulator of the coagulation system. AT inhibits active clotting factors, particularly thrombin and factor Xa; its absence is incompatible with life. This review gives an overview of the protein and gene structure of AT, and attempts to explain how glucosaminoglycans, such as heparin and heparan sulfate accelerate the inhibitory reaction that is accompanied by drastic conformational change. Hypotheses on the regulation of blood coagulation by AT in physiological conditions are discussed. Epidemiology of inherited thrombophilia caused by AT deficiency and its molecular genetic background with genotype-phenotype correlations are summarized. The importance of the classification of AT deficiencies and the phenotypic differences of various subtypes are emphasized. The causes of acquired AT deficiency are also included in the review. Particular attention is devoted to the laboratory diagnosis of AT deficiency. The assay principles of functional first line laboratory tests and tests required for classification are discussed critically, and test results expected in various AT deficiency subtypes are summarized. The reader is provided with a clinically oriented algorithm for the correct diagnosis and classification of AT deficiency, which could be useful in the practice of routine diagnosis of thrombophilia.


Keywords: amyloptic assay; antithrombin, antithrombin deficiency; thrombophilia.

Introduction

The stepwise discovery of antithrombin (AT, SERPINC1) is an exciting story. A detailed account and chronology of the most important events leading to the foundation of our present knowledge about this essential inhibitor of blood coagulation has recently been in an excellent review by Abildgaard (1), recommended for readers interested in this subject. Here, only the issue of nomenclature is mentioned. In the earlier literature, AT used to be termed antithrombin III to distinguish the protein from other proteins with antithrombin activity. Antithrombin I is the thrombin absorbing capacity of fibrin (2) and antithrombin II, more frequently termed heparin cofactor II (SERPIND1) which is another inhibitor of thrombin in plasma (3). Although this is not the only antithrombin present in plasma, we adopted the current nomenclature and use the terms antithrombin and AT throughout the article.

AT is a single-chain glycoprotein with a molecular mass of 58,200 Da. The mature protein consists of 432 amino acids with three internal disulfide bonds. As much of the literature and the existing databases use traditional amino acid residue numbering, starting with the N-terminal residue of the mature protein, we used this system in the article. The numbering system recommended by the Human Genome Variation Society (HGVS) starts with the initiator methionine. In the case of AT, HGVS numbering can be calculated by adding 32, corresponding to the 32 amino acid residues of the leader sequence, to the traditional number. AT has two isoforms which differ only in the extent of glycosylation (4, 5). The α isoform is N-glycosylated on four Asn residues (95, 135, 155 and 192), while the β isoform lacks glycosylation on Asn135. The α variant is the major AT isoform (90%–95%) in the circulation, the β isoform represents only 5%–10% of AT in plasma. AT is synthesized in the liver, its half-life in the circulation is approximately 2.4 days. A prominent feature of AT is its high affinity binding to negatively charged glucosaminoglycans (GAGs) such as heparin or heparan sulfate which contain specific pentasaccharide units. Due to the lack of carbohydrate residue on Asn135, the β isoform binds to GAGs with higher affinity. Heparan sulfate in the form of heparan sulfate proteoglycan (HPSG) is present on the surface of vascular endothelium. Thus, a higher portion of the β isoform becomes cleared from the circulation and targets the vessel wall.
The structure of antithrombin and structural changes during its interaction with active clotting factors

The atomic 3D structure of native AT was resolved approximately 15 years ago (6, 7). Since then, numerous AT structures have been published which have helped in the understanding of how AT exerts its inhibitory function (8–14). AT belongs to the family of serine protease inhibitors (serpins), the largest family of protease inhibitors that consists of over 1500 members (4, 14, 15). These are single chain globular proteins that consist of 300–500 amino acid residues and show about 30% sequence identity. Serpins share a common tertiary structure; they contain three β-sheets (A-C) and eight to nine α-helices (A-I). A flexible peptide loop, reactive center loop (RCL) containing the reactive site, is exposed on the top of the molecule. RCL contains a sequence which is complementary to the active site of the target protease. All serpins feature significant structural flexibility, which allows dramatic structural changes upon reaction with the protease to be inhibited. These are so-called suicide inhibitors. The target protease cleaves a scissile bond in RCL and then it remains covalently linked to the inhibitor.

Antithrombin is a misnomer, the inhibitory effect of AT is not restricted to thrombin. It is a polyanivalent serpin that also inhibits activated factor X (FXa) and to a lesser extent a whole series of serine proteases involved in the hemostatic machinery, including FIXa, FXIa, FXIIa, plasmin and kallikrein (4, 5). AT inactivates FVIIa only when it is bound to tissue factor (16–18). AT is a co-called progressive inhibitor; the rate of its reaction with the active coagulation factors is slow, but in the presence of heparin or HPSG, the rate of inhibition is accelerated 500-fold.

AT has a typical serpin secondary and tertiary structure. It consists of nine helices and three β-sheets (Figure 1). In the uncleaved form, it can exist in two main conformational states. In the native uncleaved form, the 24-membered RCL with the scissile P1-P1’ (Arg393-Ser394) bond is outside the main body of AT (Figure 1A). In the latent conformation, the RCL is inserted into the β-sheet. The latter conformation is thermodynamically more stable than the native form, which is kinetically “trapped” in a high energy state. AT circulates primarily in this kinetically trapped native form. The X-ray structure of this conformation revealed that the P1 residue (Arg393) points to the surface of the body of AT, and the P14-P15 residues are inserted into β-sheet A, which constrains the RCL and allows contacts between the P1 arginine side chain and the body of AT. Having such a rigid conformation of RCL, AT is a poor inhibitor of FXa or thrombin, and is unable to inhibit FIXa.

The binding of pentasaccharide or heparin containing the pentasaccharide unit causes remarkable changes of the conformation of RCL and its close proximity (Figure 2B). The entrapped part of RCL is expelled from β-sheet A, the end of the third β-strand of β-sheet A moves closer to the fifth β-strand and helix D becomes elongated. The interaction between AT and the pentasaccharide unit takes place in two steps (not shown on Figure 2); an initial weak binding intermediate becomes transformed into a high binding state with 1000-fold higher affinity. The latter conformation is necessary for the effective formation of the Michaelis complex (Figure 2C) between AT and FXa or FIIa, in which Arg393 of AT and the region in its immediate vicinity is recognized by the protease as a substrate loop. The mechanism of Michaelis complex formation between thrombin and AT is somewhat different. In this case, the conformational change induced by the allosteric effect of pentasaccharide is not sufficient, and probably not even required. Thrombin also binds to heparin, and the bridging effect of heparin of 18 saccharide units or longer, which brings thrombin and AT together, is essential for effective interaction (11, 22, 23).

After the rate-controlling Michaelis complex formation, the inhibition of active coagulation factors follows the general scheme of serpin action. In the first step of proteolytic reaction, an acyl-enzyme intermediate is formed through an ester bond between Arg393 and the active site serine of the protease. AT undergoes a rapid, drastic and irreversible conformational change where the P14-P3 part of RCL becomes incorporated into β-sheet A as an additional strand (Figure 2D), and AT assumes a cleaved relaxed form. This process is accompanied by a 1000-fold reduction in heparin affinity and by a large-scale conformational change in the acyl-enzyme complex. The protease which is covalently tethered to Arg393 becomes transported from the top to the bottom of AT, approximately 70 Å away from its original position. Due to the distortion of the active site of the protease, the acyl intermediate becomes stabilized and the second step of proteolytic reaction, the release of the cleaved peptide, cannot take place. In this process the protease structure becomes disrupted and the catalytic triad distorted. Only very slow release of the inactive inhibitor and enzyme can be detected from the AT-protease complex (24).

The role of antithrombin in the regulation of coagulation

AT serves as a highly important regulator of hemostasis; its absence is incompatible with life (5). The primary actions of AT are the inhibition of thrombin mediated fibrin clot formation and the generation of thrombin by FXa. As mentioned earlier, AT also inhibits activated clotting factors higher up in the intrinsic (FIXa, FXIa, FXIIa) and extrinsic (FVIIa-tissue factor complex) pathways. It also inhibits a series of other non-coagulant effects of these clotting factors, including platelet activation, vascular cell signaling, proliferation, cytokine production, etc. There are two paradoxes concerning the effect of AT and its importance in the regulation of clotting machinery: 1) it is a weak progressive inhibitor of activated clotting factors, 2) it fails to inhibit effectively fibrin-bound thrombin and FXa present in an activation complex on the platelet surface.

As discussed in the previous section, interaction with heparin, or its in vivo “substitute” HSPG, significantly accelerates the inhibitory action of AT and makes it a highly effective inhibitor of thrombin, FXa and other active clotting
Figure 1  Structural elements of antithrombin (AT) in its native (A) and latent (B) state based on the X-ray structure in the RCSB protein data bank (pdb ID: 2b4x). The β-sheets A, B and C are colored yellow, green and purple, respectively. Helical secondary structural elements are shown in orange, except for helix D that is shown in black. Helix D plays a crucial role in heparin pentasaccharide binding. (In the case of serpins, conserved helical structure elements are identified by capital letters.) The P3-P14 portion of the reactive center loop consisting of P1-P17 and P1′-P17′ residues is colored dark blue. The Arg393 (P1) residue is shown by a ball-and-stick representation. In the latent state, a substantial portion of the reactive center loop is inserted into β-sheet A as an additional β-strand. The figures were prepared using Chimera software (Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco, CA, USA) (19).

Figure 2  Schematic representation of the mechanism of activation and action of antithrombin (AT) on FXa. (A) The native (circulating) form of AT, (B) the pentasaccharide-activated AT, (C) AT-factor Xa (FXa) complex; only epidermal growth factor 2 (EGF 2) and serine protease domains of FXa are shown, (D) the acyl-enzyme complex in which FXa is covalently linked to AT. For the A, B and C parts of the figure the X-ray coordinates [pdb IDs are 2b4x, 2gd4 (AT only) and 2gd4 (AT-FXa), respectively] deposited in the protein data bank (20) were used. The construction of (D) was based on the structure of the α1-antitrypsin-elastase acyl-enzyme complex (pdb ID: 2d26) (21). α1-antitrypsin was replaced by AT and elastase was substituted by FXa. Next, energy minimization of the constructed complex was performed with Yasara software (Yasara Biosciences GmbH, Vienna, Austria) (www.yasara.org). β-sheets and helices are shown in magenta and orange, respectively. Peptide sections that undergo remarkable conformational changes [P1-P15 section of the reactive center loop (RCL), the third β-strand of β-sheet A (s3A) and helix D (hD)] are depicted in green. The Arg393 residue and the pentasaccharide (PeS) are shown by ball-and-stick representations. The figures was prepared using Chimera software (19).
cular endothelial cells. Studies of its distribution in different vessels (26, 27) suggest that A-HSPG present on the surface of endothelial cells provides a basic level of activated AT for anticoagulant activity, while the availability of a much larger pool following endothelial damage and vessel wall injury dramatically increases the anticoagulant potential of AT. The relative importance of progressive AT activity or A-HSPG induced activity in the physiological regulation of blood coagulation is not clear. The fact that homozygous mutations at the heparin binding site, which render AT unable to bind GAGs, are compatible with life (see later for details), as opposed to other homozygous AT deficiencies, suggests the importance of progressive activity. On the other hand, the severe thrombophilia seen in such patients underlines the importance of heparin/HSPG induced AT activation.

The association of active clotting factors with the surface of activated platelets and with the fibrin clot significantly modifies the inhibitory effect of AT or AT-heparin. When present in the prothrombinase complex on platelets or phospholipid surfaces, FXa escapes the inhibition by AT (28–30). Thrombin bound to fibrin or to fibrin degradation products becomes refractive to inhibition by the AT-heparin complex. Fibrin, heparin and thrombin form a ternary complex, in which thrombin exosite 1 and exosite 2 are occupied by fibrin and heparin, respectively (31). This prevents AT-associated heparin from interacting with exosite 2 on fibrin-bound thrombin, and the bridging action of heparin cannot operate. It is of interest that FVIIa becomes sensitive to inhibition by AT only when bound to tissue factor (16, 17). The above findings suggest a double role for the AT and AT-A-HSPG complex in the physiological regulation of blood coagulation. It might control low-level thrombin formation that occurs physiologically in the unperturbed circulation by the inhibition of tissue factor-FVIIa complex, FXa and perhaps other active clotting factors. AT might also exert a scavenger function by neutralizing FXa and thrombin that have escaped from the clot and from the activation complex. The latter mechanism could prevent the propagation of the clot to areas away from the site of vascular injury.

In vivo, the AT-protease complex is rapidly eliminated from the circulation by utilizing a common serpin-protease complex clearance pathway. Binding to members of the low-density lipoprotein receptor family, primarily to low density lipoprotein-related protein which is an important receptor in the liver, is the main pathway of the elimination of serpin-related protein which is an important receptor in the physiological regulation of blood coagulation and for linkage analysis in families with thrombosis (35). Primer extension analysis has mapped the AT transcriptional start site in liver cells to a position 72 bp upstream of the ATG translation initiator codon (36). A leader sequence of 32 amino acids is encoded by exon 1 and the 5’ end of exon 2 (37). The heparin binding site of AT is encoded by exon 2 and exon 3a. The reactive site, located in the carboxy-terminal part of the protein, is encoded by exon 6.

**Epidemiology of antithrombin deficiency**

The prevalence of inherited AT deficiency in the general population is estimated to be between 1:2000 and 1:3000 (38). Most of the genetic defects result in type II (qualitative) deficiencies (39). The prevalence of AT deficiency in patients with venous thromboembolism (VTE) is much higher, between 1:20 and 1:200 (40). According to an Italian study of symptomatic patients and relatives, type I mutations (quantitative deficiencies) are more frequent than type II variants (41).

In unselected patients with a history of VTE, the frequency of AT deficiency is 0.5%–1.1% (40, 42). In a cumulated analysis of 1705 selected patients with VTE, the frequency of AT deficiency was 2.4% (43). During a mean follow-up time of 2.3 years the incidence of venous thrombosis was high; being 12% in individuals with hereditary AT deficiency in a small Italian cohort (44). For comparison, the incidence of thrombosis in protein C (PC) and protein S (PS) deficiency was 2.8% and 3.3%, respectively.

In the large prospective EPCOT study (European Prospective Cohort on Thrombophilia), the risk of first VTE in asymptomatic AT, PC or PS deficient individuals and in individuals with Factor V Leiden mutation was analyzed (n = 575). During the 5.7 year of follow-up, 4.5% of these individuals developed VTE, the annual incidence of first VTE was the highest in those with AT deficiency (1.7%/year) (45). Based on the prevalence data in the general population and in VTE patients, the relative risk of VTE in patients with AT deficiency was estimated to be approximately 25–50-fold (46). Since then, prospective and case-control studies have calculated the same magnitude of VTE risk conferred by AT deficiency in different ethnic groups (47–49). Based on the results of these epidemiological studies, it can be concluded that the risk of VTE conferred by hereditary AT deficiency is the highest among inherited thrombophilias. However, the risk of VTE seems to vary according to the subtypes of AT deficiency (see later).

AT deficiency also represents an increased risk for development of PE in deep venous thrombosis (DVT), and an increased risk for recurrence of VTE. In an Italian study of patients with proximal DVT, the risk of pulmonary embolism (PE) was 2.4-fold (95% CI: 1.61–3.63) in AT deficient patients compared to individuals who developed DVT without inherited thrombophilia (50). In AT deficiency, the annual incidence of recurrent VTE was found to be 10% (95% CI: 6.1%–15.4%) in a recently released Dutch study (51). In

**Gene structure of antithrombin**

The gene for human AT (SERPINC1) is located at the 1q23-q25 position and contains seven exons producing a 1.4-kb messenger RNA (mRNA), and six introns (33, 34). All the exon/intron boundaries follow the GT-AG rule. Nine complete and one partial Alu repeats were identified in introns 1, 2, 3B, 4 and 5. There is a highly polymorphic trinucleotide repeat sequence in intron 4 which is useful for haplotype analysis in studies of recurrent mutations and for linkage analysis in families with thrombosis (35). Primer extension analysis has mapped the AT transcriptional start site in liver cells to a position 72 bp upstream of the ATG translation initiator codon (36). A leader sequence of 32 amino acids is encoded by exon 1 and the 5’ end of exon 2 (37). The heparin binding site of AT is encoded by exon 2 and exon 3a. The reactive site, located in the carboxy-terminal part of the protein, is encoded by exon 6.
Molecular genetic background of antithrombin deficiency, genotype-phenotype correlations

The first report on AT deficiency was described by Egeberg in 1965 (53). The first functional AT defect, AT Budapest, was reported by Sas et al. in 1974 (54). Since then, a high number of deficient patients have been identified, and the molecular genetic background was clarified in a significant number of cases. According to the recommendations of the International Society on Thrombosis and Haemostasis, AT deficiency is classified as type I (quantitative) and type II (qualitative) deficiency (55). In type I deficiency, AT activity and the antigen concentration are equally decreased, suggesting defective synthesis or secretion of the protein. In type II deficiency, the defect may involve the reactive site (type II RS), the heparin-binding site (type II HBS) or it can exert a pleiotropic effect (type II PE) (56). The inheritance of AT deficiency, in general, is autosomal dominant. However, in the case of type II HBS deficiency, it often shows incomplete penetrance or an autosomal recessive pattern. The majority of AT deficient patients are heterozygous for the defect with typical AT activity values approximately 50%. Homozygosity is incompatible with life, with the exception of type II HBS variant (described later). The molecular genetic background of AT deficiency is heterogeneous. The mutations are best summarized in the Antithrombin Mutation Database (http://www1.imperial.ac.uk/medicine/about/divisions/departmentofmedicine/experimentalmedicine/haematology/coag/-antithrombin/) and in the database of human gene mutation data (HGMD) (http://www.hgmd.cf.ac.uk) (Figure 3).

Almost 50% of the 215 different mutations that have been reported in the HGMD are missense mutations. Small deletions and insertions are also common, contributing 20% and 10%, respectively. Non-sense mutations and splicing site mutations represent 8% and 5% of all reported causative sequence variants, respectively. Whole or partial gene deletions are relatively frequent (5%), while complex rearrangements are rare.

Type I AT deficiencies are most commonly caused by insertions or deletions leading to frameshift and premature stop codon, or less commonly by non-sense mutations. These mutations obviously explain the type I phenotype, primarily a result of unstable mRNA transcripts and/or the presence of truncated proteins. Large gene segment deletions also lead to type I deficiency. By screening ‘‘mutation negative’’ AT deficient cases using the multiplex ligation-dependent probe amplification (MLPA) technique, several gross deletions were identified. The breakpoints are often located within Alu repeat elements (57). Amino acid changes caused by single nucleotide substitutions within the coding region of SERPINC1 may also lead to type I deficiency. In this case, the absence of mutant protein in the circulation is due to misfolding or a secretion defect (4).

The type II AT deficiencies are most commonly caused by missense mutations. Among the mutations known to involve the reactive site domain, two regions are preferred: the hinge region (most frequently residues Ala382 and Ala384) and around the reactive domain at residues Gly392 (AT Stockholm), Arg393 and Ser394 (58). Most of the missense mutations leading to type II HBS deficiency affect residues Pro41 (AT Basel), Arg47 (AT Padua I), Leu99 (AT Budapest 3) and Arg129. Practically all patients with AT Budapest 3 (p.Leu99Phe) mutation described to date were of South Eastern European origin, which may suggest a founder effect (35, 59–61). Type II PE deficiency is caused by mutations involving residues 402, 404–407 and 429. This region is responsible for both the structural and functional integrity of AT. These mutations lead to impaired function of the reactive site and also to reduced secretion (58). A new pleiotropic mutant (AT Murcia, p.K241E) has been described recently in which altered glycosylation of the molecule led to impaired heparin binding and thrombin inhibition (62). Some of the missense mutations occurred in the mobile regions of AT, mainly at the hinges of the reactive center loop, or in the region involved in the shutter-like opening of the main β-sheet of the molecule. The latter is required for insertion of the reactive loop into the β-sheet. Even change in a single amino acid in these sensitive regions can lead to conformational changes, loss of stability that facilitates the formation of intermolecular linkages and lead to the formation of oligomers or transformation to the latent conformation (4). The secretion of these variants is also impaired which results in a circulating deficiency.

Homozygous type I AT deficiency is not compatible with life and heterozygous patients usually suffer severe thrombosis at a young age. The same stands for type II RS and type II PE deficiencies. However, there is at least one notable exception. The heterozygous p.Ala384Ser mutation (AT Cambridge II) causes type II RS deficiency with a mild phenotype, and this mutation can also exist in homozygous form (63, 64). Type II HBS deficiency confers a lower risk of thrombosis compared with the other subtypes (65, 66). Homozygous type II HBS patients usually survive, thrombosis may develop even earlier (frequently in childhood) than in patients with heterozygous type I or other type II deficiencies.

Symptoms of AT deficiency are DVT and/or PE which are often recurrent. DVT not infrequently develops at unusual sites, such as in the proximal extremities, and in mesenteric, renal, portal, retinal and cerebral veins (67–70). Intracardial atrial thrombosis has also been reported (71). The risk of thrombosis conferred by AT deficiency to pregnant women is significantly greater than in other deficiencies. The estimated risk is 1:2.8 for women with type I deficiency, which is approximately 350-times higher than the risk conferred by pregnancy alone (72, 73).

In addition to venous thrombosis, occasionally, arterial thrombosis has also been reported in patients with AT deficiency (74, 75). AT Cambridge (p.A384S) mutation increased the risk of myocardial infarction 5.66-fold as reported by a Spanish study that enrolled 1224 patients and

an Italian cohort, the adjusted hazard ratio for the recurrence of VTE was 1.9 (95% CI: 1.0–3.9) (52).
Figure 3  Distribution of causative mutations in the SERPINC1 gene according to the database of human gene mutation data (HGMD) that have been published to date (www.hgmd.cf.ac.uk).

Nucleotide numbering is given relative to the first nucleic acid of the initiator ATG codon. Amino acids are numbered according to the mature protein, where the first methionine is numbered –32.

Acquired antithrombin deficiency

In healthy full-term newborns, the concentration of AT is in the range of 51%–75% of adult average values (79). Due to severe immaturity of the liver, in preterm infants AT concentrations can be much lower than in full terms infants (80). AT concentrations reach adult ranges by the age of 1 year (81). Production of AT is reduced in liver disease with impaired hepatic function. In patients with nephrotic syndrome or other diseases associated with renal or enteral protein loss, the low AT concentration is due to increased elimination. Low concentrations of AT as a result of consumption are found in patients with sepsis, disseminated intravascular coagulation, large thrombus, thrombotic micro-
Lab diagnosis of antithrombin deficiency

A first-line test for the diagnosis of AT deficiency should detect all deficiencies, i.e., AT deficiencies due to decreased AT concentration as well as to a defective molecule. Therefore, the first line test should be a functional assay. The original clotting methods where the inhibition of thrombin by diluted native serum or defibrinated plasma was measured by fibrinogen clotting are impractical and inaccurate and not in use any longer. With the modern chromogenic (amidolytic) assays, the inhibition of thrombin or FXa activity by AT is measured using thrombin/FXa specific tri, or tetra-peptide substrates which show sequential similarity to the P1-P3 or P1-P4 sequences of the natural substrates of these enzymes (Figure 4) (86, 87). The peptides conform to the active site of the respective active clotting factor and a para-nitroanaline (pNA) group is attached to their C-terminal end. Thrombin or FXa rapidly release the pNA group from their peptide substrate. Free pNA, as opposed to the peptide-bound form, has strong light absorption at 405 nm and its release can be easily monitored spectrophotometrically. The assays can be performed in the presence of heparin (heparin cofactor activity) or without heparin (progressive activity). In the former assays, the inhibition of active clotting factors is very quick, while in the latter cases more time is required for ATII to exert its inhibitory action. As only the heparin cofactor activity is decreased in all subtypes of AT deficiency (Table 1), the assay measuring this activity is the generally accepted first line test for the diagnosis of deficiency. Unfortunately, as external quality control exercises reveal, the improper practice of using only an antigenic AT assay, which detects <50% of AT deficiencies, still exists in a few laboratories.

Figure 4 demonstrates the assay principle of amidolytic AT assays. Heparin binds to AT making it highly reactive with thrombin and FXa (activated AT; AT*). Thrombin or FXa is added in excess of AT and a part of it becomes rapidly complexed with heparin-AT*, in the complex AT activity is abrogated. The extent of thrombin/FXa inhibition depends on plasma AT activity, and the residual free thrombin or FXa is inversely related to AT activity. The amount of free thrombin or FXa is measured using a chromogenic substrate described above. The increase in absorbance at 405 nm can be measured using a kinetic or end-point method (the former is preferred), and the change of absorbance is converted to AT activity using a calibration curve. Reference plasma of known AT activity is used to construct the calibration curve. A WHO international standard (2nd International Standard Antithrombin, Plasma, NIBSC code: 93/768) with an assigned potency of 0.85 International Units (IU) is available from the National Institute for Biological Standards and Control (NIBSC; Potters Bar, UK). This international plasma standard should be used by companies for the calibration of their reference plasma and this information should be stated on the application sheet.

The chromogenic heparin cofactor AT assay has good reproducibility. Laboratories equipped with automated laboratory analyzers or coagulometer should aim for within-batch precision CV ≤2%, and for within-laboratory reproducibility (total error) CV ≤5%. According to a previous report based on the quality assessment program for thrombophilia screening by the ECAT Foundation which included 136 laboratories during the time period 1996–2001, the median long-term within-laboratory analytical CV was 7.6% with a 95% CI of 3.6–35.5 (88). These values suggest that for many laboratories, there is much work needed to improve the quality

Table 1 Laboratory diagnosis and classification of antithrombin (AT) deficiencies.

<table>
<thead>
<tr>
<th>Subtypes of AT deficiencies</th>
<th>Heparin cofactor AT assay</th>
<th>Progressive AT assay</th>
<th>AT antigen assay</th>
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<td>Type I</td>
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<td>Type II HBS</td>
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<td>Type II PE</td>
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RS, reactive site; HBS, heparin binding site; PE, pleiotrop; n, normal.
performance of AT assays. Joining an international accredited external quality assessment program is highly recommended for laboratories routinely performing AT measurements.

Human thrombin was used in previous thrombin inhibition assays. Human thrombin also reacted with heparin cofactor II and made the assay relatively insensitive for the detection of AT deficiency (89, 90). In most commercial kits, human thrombin has been replaced by bovine thrombin which shows minimal reaction with heparin cofactor II. FXa does not react with heparin cofactor II at all. Heparin cofactor AT assays based on bovine thrombin and FXa inhibition seem to function equally well, the sensitivity of both assays is close to 100%. As the reactive site of thrombin and FXa differs somewhat, one would expect that AT deficiencies caused by certain mutations around the reactive site are detected by the two types of assays with different sensitivity. Indeed, the Ala384Ser mutation (AT Cambridge II) which is a relatively prevalent variant in the general population, is not detected by the anti-FXa assay, but anti-thrombin activity is mildly, but significantly, reduced (63, 64). However, this mutation causes only a relatively mild thrombophilia, and even elderly homozygous individuals might lack the history of VTE. In contrast, according to our experience with a high number of AT Budapest 3 mutants, the anti-Xa assay is significantly more sensitive in detecting this type II HBS deficiency compared with the assay based on thrombin inhibition.

Progressive AT assays are based on the same principle as heparin cofactor assays, but are performed in the absence of heparin on less diluted plasma samples. In addition, the incubation time is prolonged significantly. Unfortunately, the application sheets for the commercial AT activity assays do not provide a description on how to use the test kit as a progressive assay, the appropriate conditions need to be established by the user. These conditions may vary among commercial kits, and the user needs to experiment. As a starting point, 10-fold diluted plasma and a 15-min incubation time is recommended. Although this test is essential for the diagnosis of type II HBS deficiency (Table 1), for the reasons mentioned above, the progressive assay is very much under utilized in the diagnosis and classification of AT deficiencies (91). As discussed earlier, differentiation between heterozygous type II HBS deficiency causing mild thrombophilia and other type of functional defects causing a severe phenotype is of clinical relevance. In certain, clinical set-ups this might influence the decision concerning anticoagulant therapy. Distinguishing between homozygous type II HBS deficient patients who have a very severe phenotype, and heterozygotes is also of clinical relevance.

Measurement of AT antigen concentrations is required for the classification of AT deficiencies. Traditional electro-immunodiffusion and radial-immunodiffusion techniques are too time consuming, imprecise and their use is no longer recommended. At present, latex-enhanced immuno nephelometry is the most frequently used method for measurement of AT antigen concentrations (92), and commercial kits for this purpose are available.

It is rather surprising that no reference interval determined according to guideline (C28-A3) from the Clinical and Laboratory Standards Institute (CLSI; Wayne, PA, USA) is available for AT activity and antigen. A number of different “normal” ranges, varying within a narrow interval have been reported in the literature and are available in manufacturer’s application sheets. Accepting 80% of the average normal (0.8 IU/mL) as the lower limit of reference interval for AT activity seems to be an acceptable compromise, and most laboratories use this value. The upper limit of the reference interval does not have any clinical relevance. AT antigen concentrations can be expressed as mass concentration (mg/L), although there are a rather wide variety of “normal” ranges for mass concentration. In addition, values for mass concentration are difficult to compare to AT activity values. For this reason most laboratories use the same principle and the same reference interval for AT antigen as for AT activity. It should be noted that by definition, 2.5% of the values obtained with normal, non-deficient samples are below the lower limit of the reference interval, and values between 70% and 80% should be interpreted with extreme caution.

Platelet poor citrated plasma is used for both activity and antigen measurements and can be stored at ~20°C for up to 4 months. Measurement of AT activity and concentration is not recommended within 3 months of an acute event. During this period, if values are within the reference interval, the exclusion of AT deficiency is possible, but the diagnosis of AT deficiency cannot be confirmed. In a number of cases, AT determination is requested for patients who are on anticoagulant therapy. Oral anticoagulant therapy with vitamin K antagonists, such as warfarin or acenocoumarol might increase the level of AT (93–98), while administration of unfractionated heparin decreases the concentration of AT (58, 94, 97, 99). Low molecular weight heparins do not have such an effect (100). For these reasons, we do not recommend diagnosing AT deficiency in patients who are undergoing unfractionated heparin therapy. Also, we do not recommend attempting to exclude AT deficiency during oral anticoagulant therapy. In our experience switching from oral anticoagulant therapy to low molecular heparin for 10 days prior to blood collection is a good compromise which allows the measurement of valid AT values. The algorithm used in the authors’ laboratory for the diagnosis and classification of AT deficiency is demonstrated in Figure 5. If heparin cofactor AT activity is < 80% we carefully look for and exclude acquired AT deficiencies, such as liver disease, renal or enteral protein loss, consumption coagulopathy, unfractionated heparin treatment or therapy with l-asparaginase. To establish the diagnosis, repeated tests are required from different blood samples collected from the same person. If feasible, we recommend a time interval of at least 3 weeks between the two blood collections. If the heparin cofactor activity is repeatedly equal to or < 70% and acquired causes have been excluded, the laboratory diagnosis of inherited AT deficiency can be established. Between 70% and 80% of AT activity, it is highly recommended to confirm the diagnosis by molecular genetic testing. Once AT deficiency is diagnosed, the next step is its classification, which occurs in two steps. Plasma AT antigen concentrations are measured to differentiate between type I and type II deficiency. Decreased
Laboratory diagnosis and classification of antithrombin (AT) deficiency.

Assays are shown in rectangles, diagnoses in italics are underlined. As stated in the text, we do not recommend diagnosing AT deficiency in patients receiving therapy with unfractionated heparin, and do not recommend excluding AT deficiency during oral anticoagulant therapy.

AT antigen implies type I deficiency, while AT antigen in the normal range indicates type II deficiency. Finally, it is clinically important to distinguish II HBS subtype from other type II variants by performing a progressive activity assay. As opposed to other type II subtypes, HBS variants have normal progressive activity. Table 1 summarizes the results of diagnostic and classification tests in different subtypes of AT deficiency.

Concluding remarks

AT is a slow progressive inhibitor of active clotting factors, particularly thrombin and factor Xa (FXa). Glycosaminoglycans with a 3-O-sulfated pentasaccharide unit, like heparin or heparan sulfate, bind to AT with high affinity and greatly accelerate the reaction with active clotting factors. Even in the presence of heparin/heparan sulfate, AT poorly inhibits FXa in activation complex and fibrin-bound thrombin. It might control low level thrombin formation that occurs physiologically, and could also exert a scavenger function by neutralizing FXa and thrombin that have escaped from the clot and from the activation complex. In general, inherited AT deficiency causes severe thrombophilia. However, the phenotypic appearance varies with different subtypes. Homozygous type I AT deficiency caused by decreased synthesis or secretion is incompatible with life. In the heterozygous form, it is frequently accompanied by DVT, not infrequently of unusual localization, or PE after the second decade of life. Functional defects (type II AT deficiencies) caused by missense mutations are classified according to the site that is affected by the mutation as reactive site (RS), heparin binding site (HBS) AT deficiencies or multiple site defect caused by mutations with pleiotropic effects (PE). Type II HBS subtype is less severe than other AT deficiencies. In the heterozygous form it presents with only mild thrombophilia, and homozygotes also survive, although usually with very early thrombotic complications.

The diagnosis of inherited AT deficiency is important for establishing the risk of recurrent thrombotic events. The diagnosis might influence the clinical decision concerning the duration of anticoagulant therapy. The diagnosis is established by laboratory tests, although the exclusion of acquired deficiency requires careful clinical attention. The first line test is a functional chromogenic heparin cofactor assay, which measures the inhibition of thrombin or the inhibition of FXa in the presence of heparin. Both anti-thrombin and anti-FXa assays perform well and, with very few exceptions, detect all subtypes of AT deficiency. The reference interval is quite narrow and in most cases the range of 80%–120% of average normal is accepted. Measurement of AT antigen concentrations allows differentiation between type I and type II AT deficiencies, while progressive AT activity assays are required to make the clinically important distinction between type II HBS and other type II subtypes. Increased utilization of a progressive activity assay is desirable. The diagnosis might be confirmed by molecular genetic testing, which is important in the case of activities in the range of 70%–80%.

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