

FORUM

THE FUTURE OF ‘REAL-EXISTING’ DEMOCRACY

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Philippe C. Schmitter’s concept of ‘Real-Existing’ Democracy can serve as a useful analytical tool for political scientists. The future of such democracies however holds many uncertainties. *Society and Economy* has asked some leading Hungarian scholars to comment on the idea, based on a lecture delivered by Professor Schmitter at Institute of Political Sciences of the Hungarian Academy of Sciences in February 2011. In the following, we reproduce the lecture, followed by comments from György Csepeli and István Murányi, Vera Gáthy, Elemér Hankiss, Jody Jensen, Ferenc Miszlivetz and Tibor Palánkai.

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INTRODUCTION

A ‘Real-existing’ Democracy (or RED in my terminology) has three characteristics: (1) it calls itself democratic; (2) it is recognized by other self-proclaimed democracies as being “one of them”, and (3) most political scientists applying standard procedural criteria would code it as democratic.

Its relationship to democracy as advocated in theory or as described in many civics texts is coincidental. All REDs are the product of a complex sequence of historical compromises with such other ideas and practices as liberalism, socialism, monarchism, and, of course, capitalism. They are certainly *not* governments “of” or “by” the people, as is implied by the etymology of the generic term. It is even debatable whether many of them are governments “for” the people. However, in the immortal words of Winston Churchill, they are still more “of, by and for the people” than all alternative forms of government.

1 Back in the late 1960s, Robert Dahl classified only 26 polities as “full
2 polyarchies” (to use his term for REDs), all of them in Western Europe or former
3 British colonies – with only Costa Rica, Israel, Japan, Lebanon, Philippines and
4 Uruguay as exceptions. Chile, Switzerland and the United States were placed in a
5 sort of waiting room due to the prevalence of different forms of voting discrimina-
6 tion (Dahl 1971). Since the mid-1970s, this number has more than tripled and one
7 can now find more or less consolidated REDs all around the world – even in such
8 ostensibly inhospitable places as Albania, Mongolia and Mali.

9 The great political paradox of our times is that precisely at the moment when so
10 many aspiring *neo*-REDs emerged these *archeo*-REDs entered into crisis. Their
11 citizens have been questioning these very same “normal” institutions and prac-
12 tices that new democratizers have been trying so hard to imitate and finding them
13 deficient – not to say, outright defective. The list of morbidity symptoms is
14 well-known (if not well-understood): their citizens have become more likely to
15 abstain from voting, less likely to join or even identify with political parties, trade
16 unions or professional associations, more likely not to trust their elected officials
17 or politicians in general and much less likely to be satisfied with the way in which
18 they are being governed and the benefits they receive from public agencies.

19 Part of this malaise stems precisely from the demise of their only “systemic”
20 competitor, so-called popular democracy. The political regimes of Communist or
21 State Socialist systems had served as a reference in relation to which REDs could
22 successfully claim to be “much better” – in both material and ethical performance.
23 Much of the stability and self-assurance enjoyed by these polyarchies after World
24 War II depended upon the existence of this “much worse” alternative. Now that
25 this hardly exists any longer, it will not suffice for established democracies just to
26 be better. Henceforth, their practices will have to be “good”, when measured ac-
27 cording the generic criteria for the quality of democracy. These impose much
28 heavier burdens of argument and proof before existing institutions are legiti-
29 mated, thereby, increasing the likelihood that citizens in seemingly well-en-
30 trenced democracies will grow “disenchanted” with their rulers and the way in
31 which they got into power.

32 The celebrations that accompanied the shift from ‘real-existing’ autocracy to
33 ‘real-existing’ democracy since 1974 have tended to obscure these dangers and
34 dilemmas. Together, they presage a political future that, instead of embodying
35 “the end of history”, promises to be tumultuous, uncertain and very eventful. Far
36 from being secure in its foundations and practices, modern, representative, liberal,
37 constitutional, political democracies (i.e. REDs) will have to face serious and un-
38 precedented challenges in the future.

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1 **THE CHALLENGES**

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3 Robert Dahl is famous (among many other things) for the observation that
4 'real-existing' democracy (or polyarchy) has radically transformed itself – re-de-
5 signed itself, if you will – over the centuries. The same word, democracy, has pre-
6 vailed while its rules and practices have changed greatly. In other words – those of
7 de Lampedusa – only by changing has it remained the same. And Dahl does not
8 even hesitate to label these changes as “revolutionary” – even if most of them
9 came about without widespread violence or institutional discontinuity.

10 Dahl identifies three such revolutions in the past.

11 The first was in *size*. Initially, it was believed that RED was only suitable for
12 very small polities, i.e. Greek city-states or Swiss cantons. The American consti-
13 tution re-designed the practice of democracy by making extensive use of territo-
14 rial representation and introducing federalism – thereby, irrevocably breaking the
15 size barrier.

16 The second revolution was in *scale*. Early experiments with democracy were
17 based on a limited conception of citizenship – severely restricting it to those who
18 were male, free from slavery or servitude, mature in age, literate or well-educated,
19 paid sufficient taxes and so forth. Over time – some times gradually, other times
20 tumultuously — these restrictions were re-designed until, today, the criteria have
21 become almost standard and include all adult “nationals” regardless of gender or
22 other qualifications.

23 The third Dahlian revolution was in *scope*. REDs began with a very restricted
24 range of government policies and state functions – mostly, external defense and
25 internal order. Again, over time, they became responsible for governing a vast
26 range of regulatory, distributive and re-distributive issues – so much so that a sub-
27 stantial proportion of gross domestic product is either consumed by them or
28 passes through their processes.

29 Dahl makes a second important general observation about these revolutions.
30 *Most of them occurred without those who were involved being aware that they*
31 *were acting as “revolutionaries”*. Democratic politicians most often responded to
32 popular pressures, externally imposed circumstances or just everyday dilemmas
33 of choice with incremental reforms and experimental modifications in existing
34 policies and these accumulated over time until citizens and rulers eventually
35 found themselves in a differently designed polity – while still using the same label
36 (democracy) to identify it. Indeed, one could claim that this is the most distinctive
37 and valuable characteristic of democracy: its ability to re-design itself
38 consensually, without violence or discontinuity – even sometimes without explic-
39 itly diagnosing the need for such a ‘radical’ change in formal institutions and in-
40 formal practices. The contemporary challenge is precisely to make that diagnosis

1 and, thereby, to guide the selection of future institutions and practices so that they
2 will improve and not undermine the quality of RED.

3 I am convinced that we are (again) in the midst of a democratic revolution – in
4 fact, in the midst of several simultaneous democratic revolutions. Two of them
5 seem to have exhausted their innovative potential and already become well-en-
6 trenced (and irrevocable) features of politics – at least, in Europe and North
7 America. Two others are still very active in their capacity to generate new chal-
8 lenges and opportunities, and have still to work their way into the process of
9 re-designing contemporary polyarchies.

10 The first of these “post-Dahlian” revolutions concerns *the displacement of in-*
11 *dividuals by organizations as the effective citizens of REDs*. Beginning more or
12 less in the latter third of the 19th century, new forms of collective action emerged
13 to represent the interests and passions of individual citizens. James Madison and
14 Alexis de Tocqueville had earlier observed the importance of a multiplicity of
15 “factions” or “associations” within the American polity, but neither could have
16 possibly imagined the extent to which these would become large, permanently or-
17 ganized and professionally run entities, continuously monitoring and intervening
18 in the process of public decision-making. Moreover, whether or not these organi-
19 zations of civil society are configured pluralistically or corporativistically, the in-
20 terests and passions they represent cannot be reduced to a simple aggregation of
21 the individuals who join or support them. They have massively introduced their
22 own distinctive organizational interests and passions into the practice of REDs
23 and become their most effective citizens.

24 The second “post-Dahlian” revolution has to do with *the professionalization of*
25 *the role of politician*. Earlier liberal democratic theory presumed that elected rep-
26 resentatives and rulers were amateurs – persons who might have been somewhat
27 more affected by “civic” motives, but who were otherwise no different from ordi-
28 nary citizens. They would (reluctantly) agree to serve in public office for a pre-
29 scribed period of time and then return to their normal private lives and occupa-
30 tions. While it is difficult to place a date on it, at some time during the Twentieth
31 Century, more and more democratic politicians began to live, not *for* politics, but
32 *from* politics. They not only entered the role with the expectation of making it
33 their life’s work, but they also surrounded themselves with other professionals –
34 campaign consultants, fund-raisers, public relations specialists, media experts,
35 and – to use the latest term – “spin-doctors”. Whether as cause or effect, this
36 change in personnel has been accompanied by an astronomical increase in the cost
37 of getting elected and of remaining in the public eye if one is so unfortunate as to
38 become un-elected.

39 In my view, these two revolutions seem to have run their course, but still pose
40 serious normative challenges. There are signs of a reaction against them settling in

1 among mass publics. The usual permanent organizational representatives of class,
2 sectoral and professional interests – especially, one has to admit, trade unions –
3 have declined in membership and even in some cases in number and political in-
4 fluence. New social movements have emerged that proclaim less bureaucratic
5 structures and a greater role for individual members – even some enhanced mech-
6 anisms for practicing internal democracy. Candidates for elected public office
7 now frequently proclaim that they are not professional or partisan politicians and
8 pretend as much as is possible to be “ordinary citizens”. Movements have
9 emerged in some countries, especially the USA, to limit the number of terms in of-
10 fice that a politician can serve. Whether these trends will be sufficient to stop or
11 even invert these two “post-Dahlian” revolutions is dubious (to me), but they do
12 signal an awareness of their existence and of their (negative) impact upon the
13 quality of REDs.

14 And, now, let us turn to a diagnosis of the two more recent – indeed, contempo-
15 rary and simultaneous – revolutions going on within REDs.

16 The first regards (again) the scope of decision-making in democracies. And,
17 again, I can borrow a concept from Robert Dahl. Over the past twenty or more
18 years – indeed, much longer in the case of the United States – REDs have ceded
19 authority to what Dahl (1971) has called “*guardian institutions*”. The expression
20 is taken from Plato and refers to specialized agencies of the state – usually regula-
21 tory bodies – that have been assigned responsibility for making policy in areas
22 which politicians have decided are too controversial or complex to be left to the
23 vicissitudes of electoral competition or inter-party legislative struggle. The *locus*
24 *classicus* in the contemporary period is the central bank, but earlier examples
25 would be the general staffs of the military, anti-trust agencies or civil service com-
26 missions. In each case, it is feared that the intrusion of “politics” would prevent
27 the institution from producing some generally desired public good. Only experts
28 acting on the basis of (allegedly) neutral and scientific knowledge can be en-
29 trusted with such a responsibility. A more cynical view would stress that these are
30 often policy areas where the party in power has reason to fear that if they have to
31 hand over office in the future to their opponents, the latter will use these institu-
32 tions to punish the former or to reward themselves.

33 The net effect of guardianship upon REDs is rather obvious – although usually
34 well-concealed behind a rhetorical “veil of ignorance”, interwoven with claims to
35 “Pareto-Optimality” or scientific certainty, namely, that contemporary poly-
36 archies have been increasingly deprived of discretionary action over issues that
37 have a major impact upon their citizens. “Democracies without choice” is the ex-
38 pression that has emerged, especially in neo-REDs, to describe and to decry this
39 situation. Even more potentially alienating is the fact that some of these guardians
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1 are not even national, but operate at the regional or global level – *vide* the ‘condi-
2 tionality’ imposed by the IMF or the EU.

3 Which brings me to the second contemporary revolution within REDs – or,
4 better, with particular intensity among European REDs: *multi-level governance*.
5 During the post-World War II period, initially in large measure due to a shared de-
6 sire to avoid any possible repetition of that experience, European polities began
7 experimenting with the scale or, better, level of aggregation at which collectively
8 binding decisions would be made. The most visible manifestation of this is, of
9 course, the EEC, EC and now European Union (EU). But paralleling this
10 macro-experiment, there emerged a widespread meso-level one, namely, the de-
11 velopment of various political responsibilities to sub-national units – *provinces*,
12 *regioni*, *Länder*, or *estados autonómicos*. As a result, virtually all Europeans find
13 themselves surrounded by a very complex set of authorities, each with vaguely
14 defined or concurrently exercised policy *compétences*. The oft-repeated assur-
15 ance that only national states can be democratic is no longer true in Europe, even
16 though in practice it is often difficult to separate the various levels and determine
17 which rulers should be held accountable for making specific policies. European
18 politicians have become quite adept at “passing on the buck”, especially at blam-
19 ing the European Union (or the Euro) for unpopular decisions. New political par-
20 ties and movements have even emerged blaming the EU for policies over which it
21 has little or no control – for example, over the influx of migrants from non-EU
22 countries.

23 Multi-level governance could, of course, be converted into something much
24 more familiar, namely, a federal state, but resistance to this is likely to remain
25 quite strong for the foreseeable future – *viz.* the rejection of the EU’s draft Consti-
26 tutional Treaty by referendums in France and the Netherlands and the Lisbon
27 re-draft by the Irish citizenry. Which means that the confusion over which policy
28 *compétences* and the ambiguity over which political institutions are appropriate
29 for each of these multiple levels will persist. And, when it comes to the design
30 question, there seems to be a general awareness that the rules and practices of
31 real-existing democracy at each of these levels can not, should not be identical.
32 Especially when it comes to ensuring the accountability of a polity of the size,
33 scale, scope and diversity of the European Union. This demands a literal re-inven-
34 tion of democracy, a task that was not even attempted by the Convention that
35 drafted the unsuccessful Constitutional Treaty or by the committee that produced
36 the revised Lisbon version.¹

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38 ¹ At the risk of overkill, there may well be a third contemporary revolution stalking the future of
39 REDs, namely, (good) governance. It is too soon to judge whether the extraordinarily rapid and
40 broad diffusion of this concept among practitioners and scholars is merely a reflection of

WHAT IS TO BE DONE?

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Faced with these insidious revolutions, my guiding presumption has been that the future of 'real-existing' democracy, especially in Europe, lies less in fortifying and perfecting existing formal institutions and informal practices – say, by increasing citizen participation or encouraging citizen deliberation within them – than in changing them. What is needed is not *more* of the same democracy, but a *different* type of democracy. “Whatever form it takes, the democracy of our successors will not and cannot be the democracy of our predecessors” (Robert Dahl). In other words, in order to remain the same, that is to sustain its legitimacy, democracy as we know it will have to change and to change significantly and this is likely to affect all of Europe’s multiple levels of aggregation and sites of decision making.

In the book that we – Alexandre Trechsel, myself and a number of scholars and politicians – put together on *The Future of Democracy in Europe: Trends, Analyses and Reforms* for the Council of Europe (Schmitter – Trechsel 2004), we tried to use our collective imagination as theorists and practitioners of politics to come up with suggestions for reform that could cope with the simultaneous revolutions noted above, improve the quality of REDs in Europe and, thereby, make them more legitimate in the future. We came up with 28 suggestions. Some of these have already been introduced – usually on an experimental basis at the local level

fashionable discourse (and their mutual desire to avoid mentioning (bad) government), or whether it actually signifies (and moreover contributes to) a profound modification in how decisions are being made in REDs. If the latter, this would have (at least) seven major implications: (1) “stakeholders” determined by functional effect would replace citizens grouped in territorial constituencies as the principal agents of participation; (2) political parties would have no recognized (and certainly no privileged) access to participation in governance arrangements and would be replaced by individual or collective stakeholders; (3) consensus formation among representatives with unequal functional capacities would replace various forms of voting by individuals or deputies with equal political rights as the usual decision-making mechanism; (4) executive or administrative authorities would normally take over the role of “chartering” such arrangements – delegating their scope and determining their composition – rather than the competitively and popularly elected representatives of the legislature; (5) the ‘liberal’ distinction between public and private actors would be deliberately blurred in terms of responsibility for making but also for implementing publicly binding decisions; (6) the substantive compromises that underly the process of consensus formation would have to be reached confidentially through opaque combinations of negotiation and deliberation between stakeholders – and only subsequently be legitimated publicly in terms of their (presumably beneficial) functional impact; (7) Elections would increasingly become “civic rituals” with less and less impact upon the substance of public policy and, presumably, less and less popular participation. Needless to say, all of these implications pose serious challenges to the legitimating principles of contemporary REDs.

1 – in a few polities; most, however, have never been tried. I admit that not all of
 2 these proposed reforms are equally urgent or feasible, and some may not even be
 3 desirable. It is the task of democratic rulers and citizens to decide collectively
 4 which are best for themselves and which deserve priority treatment.

5 In putting together the volume, I became convinced the major generic prob-
 6 lems of contemporary REDs concern declining citizen trust in politicians and the
 7 diminished status of representative institutions, especially political parties and
 8 elections. Therefore, those reforms that promise to increase voter turnout, stimu-
 9 late membership in political parties, associations and movements and improve citi-
 10 zen confidence in the role of politicians as representatives and legislators deserve
 11 prior consideration, especially in those cases where they also make politics more
 12 attractive, even, entertaining, for citizens. The second most important problem
 13 concerns the increasing number of foreign residents and the ambiguous political
 14 status of these denizens in almost all European democracies. Measures to incorpo-
 15 rate non-nationals within the political process should also be given a high priority.

16 Time prevents me from providing any details about the reform proposals. They
 17 can be found *gratis* online at the website of the Council of Europe under publica-
 18 tions. All I can do is give you a flavour of some of those that we came up with:

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- 20 – Lotteries to be attached to elections;
- 21 – Specialized elected councils for various minority group's;
- 22 – Democracy kiosks for voting electronically and conducting normal busi-
 23 ness with state agencies;
- 24 – Voting rights for resident foreigners (denizens);
- 25 – Incompatibility of electoral or administrative mandates;
- 26 – Electronic support for candidates and parliament (“smart voting”);
- 27 – Electronic monitoring and online systems for deliberation;
- 28 – Discretionary voting systems;
- 29 – Universal citizenship from birth;
- 30 – Shared legislative mandates;
- 31 – Citizenship mentors for foreign residents;
- 32 – Inserting a “Right to Information” into the usual list of equal citizen rights;
- 33 – Participatory budgeting;
- 34 – Legislative guardians to monitor the regulatory guardians;
- 35 – A “yellow card” provision for legislatures in multi-layered systems;
- 36 – Variable thresholds for election to reduce incumbency advantage;
- 37 – Vouchers for financing political parties;
- 38 – Vouchers for funding organizations in civil society;
- 39 – Extended recourse to referendums & citizen initiatives;
- 40 – Extensive, even exclusive, use of postal and electronic voting;

- 1 – Financial incentives for intra-party democracy;
 2 – A Citizen's Assembly with randomly selected deputies to accept or reject
 3 specific pieces of legislation.
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6 CONCLUSIONS

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 8 I conclude: 'real-existing' democracies can be reformed and improved in confor-
 9 mity with its two enduring core principles: *the sovereign equality of citizens and*
 10 *the political accountability of rulers*. This has happened several times in the past
 11 and I see no reason to believe that it cannot happen again. For that is the true ge-
 12 nius of democracy – the capacity to re-invent itself for the future by consensually
 13 using the rules of the present. Which is not to say that it will be easy. Trying to
 14 convince politicians who have won by one set of rules to change those rules has
 15 never been easy – although a crisis that threatens to make everyone worse off can
 16 help. And we have plenty of that at the present moment.
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26 COMMENTS

27 28 29 30 ***György Csepe and István Murányi:*** 31 ***Experimental Democracy Research***

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 33 According to Philippe Schmitter, there is abundant evidence that the quality of de-
 34 mocracy is in danger. Corrupt practices, dysfunctions of the electoral process, ma-
 35 nipulative restriction of the basic freedoms and inability to be accountable to the
 36 citizens are threatening the well being of the democratic political regimes in Eu-
 37 rope and elsewhere. In order to preserve democracy it will have to change. We
 38 need to know, however, how to change democracy in order to sustain its opera-
 39 tion.
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1 Social scientists define democracy in many different ways (Dahl 1971;
2 Pennock 1979; Vanhanen 1990; Rueschemeyer – Stephens – Stephens 1992).
3 Scholars of democratic theory often argue that, by considering two main princi-
4 ples, that is, popular sovereignty on the one hand, and the liberty of individual citi-
5 zens on the other, democratic theories could be categorized into two types
6 (Thomassen 1995: 385).

7 Despite the existence of different typologies of democratic theory and democ-
8 ratic systems however, scholars of democratic thought tend to agree that it is possi-
9 ble to identify certain necessary features which must be present for a political
10 system to be described as a democracy. These include that fundamental human
11 rights must be guaranteed by a legal system independent of the government, suf-
12 frage must be universal and equal, the state administration has to be accountable
13 to the parliament whose members must be elected at free, fair and regularly held
14 competitive elections. These are the indispensable requirements of democracy.

15 Democracy's birthplace is the Western part of Europe. Jenő Szűcs wrote an in-
16 fluential essay in 1980 on the problem of delineation of the three historical regions
17 of Europe. The first historical region can be identified as Western Europe that bor-
18 ders at the rivers Elbe-Saale and Leitha. The second historical region is East-Cen-
19 tral Europe that begins where Western Europe ends. The eastern borders of this re-
20 gion can be demonstrated on a map by the density of points indicating the pres-
21 ence of Romanesque, Gothic and Renaissance buildings. The third historical re-
22 gion emerged on the ruins of Byzantium and was to become part of the Russian
23 and the Ottoman Empires. The three regions were not so much just places but
24 ideas and ideals as well. Jenő Szűcs cites István Bibó who identified the West-
25 ern-European model with motion as opposed to East-Central and Eastern Europe
26 where according to Bibó 'immobility' ruled (Szűcs 1983: 138). The terms 'mo-
27 tion' and 'immobility' refer to human behaviors. People in motion act, mobilize
28 others and accomplish a variety of different goals – either political, economic or
29 ideological. In contrast, 'immobility' is the state of those who yielded to their fate
30 and passively accepted their position, not having any ambition whatsoever to
31 change it.

32 Previous investigations have confirmed that there is a significant overlap be-
33 tween the historical regions and the main features of the 'value-map' of different
34 European countries, (Varga – Jegers – Losoncz 2009; Csepeli – Prazsák 2010).
35 However, the full European Social Survey database has not been available until
36 now. On the basis of the questions concerning basic values associated with the
37 Western mode of social organizations Csepeli and Prazsak created three clusters.
38 The clusters were identified as 'creators', 'sufferers' and 'rebels'. Creators can be
39 associated most with the Western ideal of social organization. The ideal type of
40 sufferers can be considered as continuing the legacy of Eastern ceremony of vas-

1 salage. Rebels do not fit into the categories of creators and sufferers. They are the
2 ones who keep saying 'no'.

3 In *Figure 1* the individual European countries are shown according to their
4 share of creators. *Figure 1* clearly proves the endurance of the three historical re-
5 gions of Europe identified above. The percentage of creators is significantly
6 higher in western-Europe, whereas in East-Central and Eastern-Europe the ratio
7 of sufferers is considerably higher.

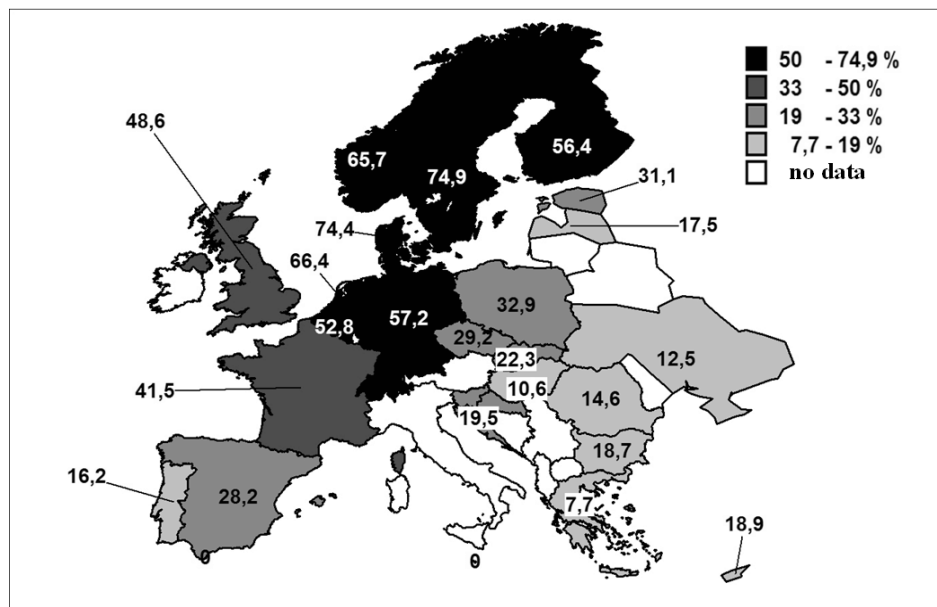


Figure 1. The percentage of 'creators' in Europe by country, 2008

Source: European Social Survey (2008)

The map confirms that the borders of the three historical regions in Europe still exist. We can conclude that the values of freedom and individualism that emerged in feudal Europe in the 12th–13th centuries had found their home in the 21st century in Northern-Europe. In contrast, in East of the Carolingian border in every country creator citizens are the minority. It seems that the historical lack of the network of the small circles of freedom in society resulted in lasting consequences in the East-Central and Eastern regions of Europe.

Instigated by Professor Schmitter's lecture, we would like to propose a research on the future technologies of democracy. This research will hopefully explore and identify some opportunities created by the practice of democracy in Hungary. We believe that we can provide new means of improving the quality of

1 democracy which will help Hungarian citizens to attain the social performance set
2 by the Western democracies. Moreover, we believe, that our results will contrib-
3 ute to the improvement of democratic social practice in democracies throughout
4 Europe. The expansion of the European Union in 2004 opened the road to the
5 re-unification of Europe with the possibility to erase the historical, religious and
6 cultural divides which have caused so much suffering and trouble in this conti-
7 nent. Our results can contribute to the future of democracy in Europe that lies in
8 the change of the existing formal institutions and informal practices

9 An important experimental research on the quality of Hungarian democracy
10 has been carried out in 2005 (Örkény – Székelyi 2007). In order to change the
11 public attitudes toward the Roma minority Fishkin’s method of deliberative poll-
12 ing was used (about the method see: Fishkin 2009). The results, however, were
13 controversial and much is still to be done in order to improve the usage of the
14 method in Hungary. Another experiment with deliberative polling was carried out
15 in the area of Kaposvár by György Lengyel in January 2008 (Lengyel 2009).
16 Nearly half of the participants mentioned the increase of motivation to participate
17 in the public debate. Most of the participants felt that the deliberative weekend im-
18 proved their skills of communication and enhanced the level of empathy. Both
19 skills are important in democratic settings.

20 The evolution of democratic institutions cannot be discussed without referring
21 to the work of Aristotle on politics. Ironically enough, according to Aristotle de-
22 mocracy cannot be considered as a system where the rulers are concerned with the
23 public good. His ideals are the monarchy, aristocracy or polity. Nevertheless, Eu-
24 ropean and North American social history has shown that the principles of modern
25 democracy work. Two principles can be mentioned: equality of citizens and pub-
26 lic accountability of rulers. As a result of evolution the scope of democratic insti-
27 tutions has increased from the “small circles of freedom” (Bibó) to a mass of citi-
28 zens.

29 In light of the evolutionary process of the democratic institutions the novelty of
30 the research is obvious. The guiding principle will be the recognition that the
31 changes of democracy can be planned and tested. We believe that the future of de-
32 mocracy lies in changing optimally the existing formal institutions and informal
33 practice

34 In order to appreciate the efficiency of the reforms testbeds would be needed.
35 In the first stage Hungarian local self-governments would be recruited as partici-
36 pants of the experimental democracy research. Four proposals out of 28 listed by
37 Professor Schmitter seem appropriate for testing.

38 In the second stage experimental research would begin among the willing par-
39 ticipants. Each reform would be tested at least in two self-governments. The size
40 of the population of the applicants should be around 10,000.

1 The list of proposals to be tested in real testbeds is as follows:

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3 – Lotteries for electors

4 Each person who votes would receive lottery tickets. The winning numbers
5 would be drawn at the same time that the results are announced. The prizes
6 should be sums of money. The winners could decide themselves what public
7 programme they would like to support with their sums.

8 – Participatory budgeting by citizens

9 There have already been experiments with participatory budgeting, but
10 there were problems with the actual implementation of this reform. In order
11 to explore the problems carefully designed experiments would be needed.

12 – Citizens' assembly

13 A randomly selected sample of the citizenry would be the base of this insti-
14 tution of alternative democracy. A citizens' assembly would vote on the
15 same issues as the assembly of the duly elected deputies. The dialogue be-
16 tween the two assemblies should be investigated. Public perception of the
17 two assemblies should be compared.

18 – Online deliberation systems

19 Citizens of the community would be enabled to vote online as if themselves
20 were elected representatives of the local assembly. Moreover, ex-ante deliber-
21 erations would be possible among citizens regularly.

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23 The enlargement of Europe has resulted in an emergence of a democratic zone
24 undisturbed by turbulence that was common in this zone in the past. Politics in
25 this zone are expected to cope with their problems through negotiation, compro-
26 mise and adjudication. Civil society has created wide networks of trans-European
27 institutions as well. Conflicts cannot be avoided, but they are expected to be
28 solved. The results of this research certainly will help to find new solutions. Gen-
29 eralizations made possible by the results of the Hungarian research will be hope-
30 fully tested in other regional contexts in Europe.

31 Immediate outcomes of the research could be expected in the area of demo-
32 cratic political socialization. Research of political socialization shows ample evi-
33 dence of the fruitlessness of the traditional methods of political education pro-
34 cesses in classrooms. Further empirical research results indicate a paradox situa-
35 tion that although young people do not reject the core democratic values in Hun-
36 gary, they almost unanimously reject the political parties represented in the par-
37 liament and the parliamentary politicians of the current political system. It is
38 ironic that regarding the political system and politics in general the view of the
39 majority of young people nowadays is very similar to that of the view of young
40 people before the collapse of the political system of communism. Just as their pre-

1 decessors thought before 1989, young people today think that the current political
 2 system provides no real chance for influencing political decision making pro-
 3 cesses in Hungary (Murányi – Berényi 2010). Experimentation with democratic
 4 behaviour would demonstrate an unorthodox way of political education providing
 5 learning by doing.

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31 *Vera Gáthy*

34 As I was listening to Philippe Schmitter's lively, comprehensive and witty talk on
 35 the future of "existing" democracies, some terms and ideas particularly struck me.
 36 When I had the opportunity to say a few words, obviously I could not reflect upon
 37 everything he had said, and could only think of a few points. Hence any such brief
 38 comment was to be inevitably incoherent. Once there is an opportunity to put
 39 those wayward comments into writing it is practically impossible to resist some
 40 additions to what I had said.

1 My first point was on 'governance'. Understanding the term as a comprehen-
2 sive one, intending to cover the 'management' of the entire state and society, and
3 perhaps the best single word to communicate what it covers, one nevertheless, is
4 under the impression that it is just humans, governed and governing that are
5 missed by it. Hence I mentioned that it was a dehumanising term. The old maxim
6 of 'government of the people by the people' is not reflected in it. A society, if it
7 has a democratic political framework, has some means, though not always easily
8 applicable, to get rid of the government of the day if it is dissatisfied with its'
9 work. Yet, government consists of people, human beings, with a face, skills, be-
10 haviour, intentions, and output. But no one can get rid of governance. It has no
11 face, no personality. The term suggests that politics and policy have nothing to do
12 with those governed. It lacks people, their interests and wishes, abilities and inten-
13 tions. It is a distant relative of the old Leviathan.

14 The term 'governance' seems to be a mirror of a society saturated by organiza-
15 tions, another phenomenon Philippe Schmitter had mentioned. Everything is be-
16 ing organized, and once it is done it also means that everything is regulated. Peo-
17 ple feel being fettered by regulations that seem to flow from everywhere, full of
18 musts and prohibitions. The ceaseless flood of regulations strangulates free will
19 and old customs that used to function fairly well. Perhaps, as Schmitter noted, it is
20 partly due to the EU, but it may not necessarily be the case. Perhaps it is the result
21 of an ever growing complexity of the modern or post-modern age. Yet it needs to
22 be considered whether communities really need everything to be regulated. In ad-
23 dition, regulations are sometimes of retrospective effect, hurting the interests of
24 people, their well established career and life, withdrawing rights and
25 authorisations and, as a result, destroying existences. Some regulations are clearly
26 nothing else but greed of a local authority, irrational and serving no interest of the
27 inhabitants.

28 Governance has yet another drawback: It is difficult to see how it can be taken
29 to task. In other words: where is its accountability, by whom, and to whom? A
30 government may be removed, but governance remains in place (unless a revolu-
31 tion sweeps it away, which is unlikely in most of the cases).

32 True, the job of being a politician has become highly professionalized. As it
33 was rightly said, today's politicians usually have worked in no other field ever.
34 They may be surrounded by experts advising them, but since they usually do not
35 understand the major part of an expert's advice they are mistrustful and hence
36 misjudge what should be done. It is also true that with the enormous growth of
37 knowledge in various fields of science, technology and everything else, it is un-
38 likely that anyone loving his/her specialisation would be able to leave that field
39 and would wish to become a politician. Yet, perhaps, it is easier to learn how to
40 operate as a politician than for a politician to learn how international finances op-

1 erate, or how a motorway is built and at what cost. No wonder, that as early as in
2 the 60s in some countries surveys were made about how people considered politi-
3 cians and the result was that the politician's profession had a rather low prestige. I
4 do not think that the situation has improved much.

5 Philippe Schmitter also mentioned guardianship institutions. Here distinction
6 should be made between international and national ones. From the narrow per-
7 spective of a small country what is known better is the national, local ones. They
8 appear to be weak, mostly because they were set up by the state, or legislation. As
9 such they are often at the mercy of the finance minister of the day, who may strike
10 out their resources from the state budget with reference to austerity measures.
11 Thus the stability and activity of guardianship institutions greatly depend on their
12 resources and may be better positioned if they are fully independent of any public
13 resources. Yet, the question remains unanswered how far they be part of the
14 checks and balances system that would control and restrict the activities of a gov-
15 ernment (or, for that matter governance) run amok.

16 The fashionable concept of the 'stakeholder' also appeared in Schmitter's talk.
17 It is rather difficult to delineate the boundaries of this term. In a political democ-
18 racy one may be inclined to imagine that every citizen is a stakeholder. However,
19 this is clearly not true. Those are stakeholders who can articulate some of their in-
20 terests. There may be single-purpose stakeholders forming an alliance with people
21 of the same interest, but not everyone can do so for the lack of power, ability, con-
22 tacts, social network, or even skills. The problem with this term is how it relates to
23 democracy as such, to some fundamental principles of democracy, such as the
24 equality of opportunity, or equality even before the law. With disillusionment in
25 political parties and the largely weakened trade unions stakeholders may very
26 well be the articulate strata of society but those may constitute only a minority of
27 citizenry. Hence, the concept of 'stakeholder' seems to be a hazy one with largely
28 unspecified content and dimension.

29 There was no time to reflect much upon what derives from the concept of
30 'stakeholder', namely the extent of democracy. Political science cannot be
31 blamed for focusing on political structures, principles, and operations. However,
32 all this is meant to serve the democracy of societies. This is a threshold which,
33 when overcome, political science needs the assistance of, or should hand over the
34 terrain to other social sciences understood broadly. I wish to quote the example of
35 India, which advocates itself as the largest democracy of the world. It is true. Elec-
36 tions are regularly held, party politics flourishes, defeated parties peacefully hand
37 over power to the winners, the constitution is full of equalities in all walks of life,
38 the armed forces have always been kept inside their barracks; lot has been done
39 for uplifting weaker sections of the society. All is well and good, but no one denies
40 that Indian society is one of the least democratic ones. The actual conditions, how-

1 ever, can be analysed only by a complexity of disciplines. Surely, this is far more
 2 difficult, a task almost impossible to do. Yet, apparently democracy deficits are
 3 traceable almost in any society, due to the given social structure, levels of educa-
 4 tion, income and a plethora of other components. This being the reality, political
 5 science badly needs the assistance of other disciplines (economics, sociology, de-
 6 mography, ethnography, and even – and very much so – of history).

7 Finally, Philippe Schmitter spoke about a conference and also showed the re-
 8 sulting publication sponsored, or convoked by the Council of Europe. He also re-
 9 ferred to the fact that no one was particularly keen on what had been said by out-
 10 standing personalities of political and social sciences. His experience reminded
 11 me of an old and true story in Hungary, going back to the Communist period but
 12 with a lesson worth remembering even today. When the Institute of Sociology of
 13 the Hungarian Academy of Sciences conducted the first mayor survey on Hungar-
 14 ian society, trying to find out real living conditions and standards of life, the re-
 15 sults had to be presented first to the headquarters of the one and only party. What
 16 happened to the results was something that still has a message: it was locked up in
 17 a safe and its publication was prohibited. Not that the party authorities had utilised
 18 anything of it. What followed was silence. That was a dictatorship. But what usu-
 19 ally happens to surveys and conclusions of social scientists outside dictatorships?
 20 Not much. Politicians usually do not listen to scholars and social scientists, who
 21 usually say things that are unpleasant to hear.

22 Yet, there is change. Societies do change, the Indian as well. And citizens find
 23 ways of active contribution, even if it is fragmented, sometimes inefficient, and
 24 weak. What was mentioned at the end of the workshop is an important new devel-
 25 opment: and it is the use and effect of the web. Let us hope that it is used for the
 26 benefit of many more people globally.

27

28

29 *Elemér Hankiss*

30

31 Reading Philippe's paper I am amazed to see that the evolution of democracy is
 32 only slightly less complex and complicated than that of the Darwinian or
 33 Lamarckian evolution of life on this planet.

34 If I understand well his argument, democracy went through a series of gradual
 35 or radical changes during its rather short history. It had to be adapted to the emerg-
 36 ing new economic and social situations. People (people in general, or the main
 37 economic and political actors) had to invent and introduce new institutions that
 38 were likely to be able to cope with the new environments.

39 Philippe focuses on five radical changes in the history of democracy. Using the
 40 terminology of Robert Dahl, he calls these changes "revolutions". And here we

1 have to stop for a moment because “revolution” is a vague and controversial con-
 2 cept. Were they acts of “creative destruction” of old, petrified economic and so-
 3 cial structures (Schumpeter)? Were they major jumps of progress in the economic
 4 and social history of humankind (Marx)? Were they largely unnecessary interrup-
 5 tions of a more or less peaceful evolution of economic and social institutions
 6 (George Furet)? Or were they deeply contradictory historical events, solving
 7 problems and creating not less difficult new problems?

8 I think that this last definition comes closest to the “revolutions” Philippe is de-
 9 scribing in his paper. And if this is the case, a question arises about the role they
 10 may have played in the history of democracy. Have they brought what Philippe
 11 calls “real existing democracy” (RED) closer to an “ideal”, or at least optimal de-
 12 mocracy (OD), or, on the contrary, have they distanced, farther and farther, REDs
 13 from OD?

14 To be able to answer this question we should first define what ideal or optimal
 15 democracy is meant to be. Philippe’s stand is clear and adamant on this point. His
 16 notion of an ideal democracy is a polity based on *participation* and *accountability*.
 17 I.e. democracy is a political system in which

- 18
- 19 – citizens are as close as possible to the center(s) of decision making and, as a
 - 20 consequence, they have full participation in making decisions that concern
 - 21 their lives;
 - 22 – they possess all the necessary information and means to control those in
 - 23 power.
- 24

25 Taking this definition of democracy as our benchmark, have the Dahlian and
 26 Philipian “revolutions” brought REDs closer to OD, or have they gradually dis-
 27 tanced them from this ideal? Or have they simultaneously done both of these?
 28 These questions are justified since the democratic system has to adapt itself to
 29 changing historical situations and this pressure may jeopardize its ability to ensure
 30 optimal participation and accountability. And, as a consequence, it may drive
 31 REDs farther and farther from OD.

32 In the course of centuries, for instance, with the growing size of communities
 33 and the citizenry, the direct participation of people in decision making became
 34 less and less feasible. Power had to be delegated to elected representatives. This
 35 kept the democratic system working but at the same time, it increased the distance
 36 between the individual citizen and the fora of decision making. Later, the appear-
 37 ance of collective actors, or the emergence of the multilevel system of democracy
 38 was a historical necessity, but again, citizens’ participation and the control of the
 39 office holders became even more indirect.

40 Have we lost on the roundabouts what we have gained on the swings?

1 And the problem is even more complex. Beside ensuring participation and ac-
2 countability, democracy, as a political/social system has two further functions.

- 3
4 a) *Interest intermediation*. It has to successfully intermediate and harmonize in
5 an optimal way various social interests.
6 b) *Governance*. It must help (and not impede) the optimal working of the coun-
7 try as a whole.

8
9 There is no one to one correspondence between these functions. High partici-
10 pation does not automatically guarantee either an optimal interest intermediation,
11 or the promotion of the social and economic development of a country. Or even
12 more: efficiency (i.e. the ability to solve social and economic problems) may clash
13 with the basic principles of an ideal democracy. And, on the other hand, low par-
14 ticipation may, sooner or later, distort the whole social, political and economic
15 system.

16 And here I should like to address a few questions to Philippe.

- 17
18 1. What to do with a well-known contradiction inherent in the relationship of
19 our ideals and history? I.e. in the case of democracy, how to handle the fact
20 that something may be historically necessary but, at the same time, it may
21 drive society farther from the ideal form of democracy?
22 2. What is the balance sheet of the historical process mentioned above? What
23 have we lost and what have we gained by the system of democracy becom-
24 ing more and more indirect?
25 3. How could an optimal synthesis be developed of these three functions: opti-
26 mal participation, a working system of interest intermediation, and success-
27 ful governance on the national level?
28 4. Does the experimentation with "postmodern", "discursive" types of democ-
29 racy promise reliable solutions of these problems?
30

31
32

Jody Jensen

33
34 It is important to be reminded that only by changing has democracy not become
35 anachronistic. One of the most distinctive features of democracy is its ability to
36 re-design itself consensually, sometimes without the need for radical change in
37 formal institutions or informal practices. Dahl says: "Whatever form it takes, the
38 democracy of our successors will not and cannot be the democracy of our prede-
39 cessors". New contexts require new conceptualizations of social scientific con-
40 cepts like democracy, civil society, governance. Part of the particular appeal of

1 these concepts is their vagueness which can be used or abused by politicians and
2 social scientists. Just as the Velvet Revolutions of 1989 informed a new discourse
3 on civil society, the Jasmine Revolutions today are challenging us to redefine de-
4 mocracy in an Arab context of revolt against authoritarian rule. There is also a cri-
5 sis of democracy in what are termed “RED” (Real Existing Democracies) coun-
6 tries by Schmitter today. The crisis is composed of distrust in democratic institu-
7 tions, relinquishing national sovereignty in part to multi-level governance mecha-
8 nisms like the EU, and to the increasing difficulty of holding major stakeholders
9 accountable (banks, intergovernmental institutions, etc.) Therefore, it is helpful to
10 look at more concrete aspects of what we term “democracy” today in order to re-
11 view, recalibrate and redefine contemporary notions of, among other things, de-
12 mocracy. Professor Schmitter provides us with that opportunity in his article.

13 In addressing the challenges of democracy in REDs, one point of discussion
14 lists “morbidity symptoms” described as, e.g., abstinence from voting, less politi-
15 cal party affiliation or membership, less identification with traditional trade un-
16 ions or associations, etc. In many ways these traditional forms of political partici-
17 pation have become outdated and relate to an outdated definition of democracy.
18 As democracy transforms or is redefined, new instruments of participation are in-
19 vented in a globalized, IT age. New concepts and tools like political consumerism,
20 petitions, demonstrations, blogs, Facebook revolutions are experimented with
21 and new, non-traditional forms of political engagement emerge and transform.

22 I think one of the key issues for critical analysis and discussion that is brought
23 up in the paper is the quality of democracy. What are the “generic criteria for the
24 quality of democracy”? Discussions on the quality of democracy must take into
25 consideration the varieties of capitalism and types of democracy that require com-
26plementary institutions. If organizations have replaced individuals/citizens and
27 saturate democracies what meaning do elections provide anymore? Schmitter as-
28serts that there has been a professionalization of the role of politicians which re-
29sults in the exertion of control not in elections, but in the monitoring of their be-
30haviour and denouncing it if need be. If, as the author points out guardianship in-
31stitutions have been created not to be democratic, how are they held accountable
32and how are they transparent?

33 It is true that REDs are facing serious and new challenges as a result of the
34 changed context that globalization has wrought. This changes the parameters of
35 the nation state, and what it means and its role, as well as redefines political en-
36 gagement and activism – civil society, if you will; it also necessitates a remodel-
37 ling of the role of markets and the interfaces created between states, societies and
38 markets. The world was always complicated, but the difference I believe today is
39 that individuals and societies are more embedded in that complexity as a result of
40 globalization, both in terms of perception and engagement.

1 Complexity can be found in the fact that traditional categories of state, market
2 and society are dramatically changing, the process I call hybridization: states are
3 no longer fully public, but not fully private either; markets are clearly not fully
4 private; civil society's role has expanded across territories and competencies. This
5 all needs social scientific study.

6 Maybe the next revolution (after *size*, *scale* and *scope*) will be one of *depth*,
7 depth of processes which encompass the new players and interfaces. But in some
8 sense, these former democratic revolutions have focused on nation states. Maybe
9 the study of democratization today needs to be more focused on regions or civil
10 societies, as the events in Egypt and the Middle East show. In Dahl's analysis rev-
11 olutions are viewed as sequential, whereas the revolutions we are witnessing to-
12 day are not.

13 We also have to recognize the asymmetry of democratization – while, e.g., in
14 the west we may have a professionalization of the role of the politician, in other re-
15 gions this is not at all clear. In East and Central Europe, for example, we have
16 some kind of hybrid of professionalization and earlier autocratic rule.

17 What are regarded as “guardian institutions” in REDs (or current regional and
18 global guardian institutions) are clearly unable to meet the challenges of the glob-
19 alized politics and are unable to manage actions over issues that have major im-
20 pact upon their citizens. These developments beg the question of what constitutes
21 sovereignty in a post-national constellation. The intensity of multi-level gover-
22 nance has developed the conversation towards global governance and cosmopoli-
23 tanism. There is, admittedly, a problem with the perhaps overused and indefinable
24 term “governance” which entails a multi-stakeholder, multi-level approach to de-
25 mocracy and decision-making, but which is weak in terms of transparency and ac-
26 countability. Another question that arises is who defines who is a stakeholder?

27 New EU members, in particular lately Hungary, may act more democratically
28 in Europe, but less democratically at home. The re-invention of democracy also
29 implies and necessitates a re-invention of accountability and transparency.

30 The declining trust in REDs for traditional representative institutions and prac-
31 tises also reflects a decline in nation state-based politics. At the European level,
32 transnational political parties and elections could provide a path towards a better
33 constellation of politics and polities in a post-national period. Redefinition of citi-
34 zenship at national and regional levels needs to be addressed, specially consider-
35 ing demographic changes, displaced persons and increasing numbers of eco-
36 nomic, political and environmental refugees. Are we experiencing in the REDs
37 “Democracy without choice” as national sovereignty is increasingly losing pre-
38 dominance to multi-level governing structures (like the EU) and global pro-
39 cesses?

40

1 The journey towards democracy is incomplete in every country and it is an
 2 endless process. Democracy has meaning in specific historical contexts and when
 3 those contexts change needs redefinition. Democracy also needs an endogenous
 4 fabric to work – even when imposed (like in Germany, Italy or Japan) after WW2.
 5 It only took hold because reconstruction of the social fabric within these countries
 6 ensured the acceptance of democratic principles and practice.

7 Since Robert Dahl was mentioned a lot in this paper, it is worth asking the
 8 question he addresses about the role of social sciences in the emergence and rec-
 9 ognition of system complexity. One observation is that disciplinary cores are
 10 shifting and social science has spread its boundaries. We need to recognize the
 11 richness and complexity of the world we deal with, especially in the field of de-
 12 mocracy just in terms of sheer numbers and varieties.

13 According to Dahl social science should reflect the richness and variety of the
 14 types of inquiry that are undertaken. The cost of specialization is that it becomes
 15 more and more difficult to grasp broad areas of the field and we may become less
 16 sensitive to aspects of the world outside our speciality. One approach alone cannot
 17 encompass the empirical complexity of the world.

18 We must also heed the warning that George Orwell voiced in his essay “Poli-
 19 tics and the English Language” from 1946:

20 The words democracy, socialism, freedom, patriotic, realistic, justice, have each of them
 21 several meanings, not only is there no agreed definition, but the attempt to make one is re-
 22 sisted from all sides. In the case of a word like democracy, not only is there no agreed defini-
 23 tion, but the attempt to make one is resisted from all sides. It is almost universally felt that
 24 when we call a country democratic we are praising it: consequently the defenders of every
 25 kind of régime claim that it is a democracy, and fear that they might have to stop using the
 26 word if it were tied down to any one meaning. Words of this kind are often used in a con-
 27 sciously dishonest way ...

28 Political speech and writing, Orwell argues, is “largely the defence of the inde-
 29 fensible”. But, he continues, “if thought corrupts language, language can also cor-
 30 rupt thought. A bad usage can spread by tradition and imitation, even among peo-
 31 ple who should and do know better”. Should we and do we, as social scientists
 32 know better? We need to be careful with the language and concepts we use as
 33 tools of our trade. We need to act responsibly, beginning by advancing the discus-
 34 sion, as Schmitter has here, of notions we have too long taken for granted.

35

36

37 *Ferenc Mislivetz: The Infinite Process of Democratizing Democracy*

38

39 Philippe Schmitter raised a number of highly relevant questions about the future
 40 of (as he calls them) ‘REDS’, that is real, existing democracies.

1 The first one concerns the expression RED itself which includes further ques-
2 tions of definitions. There is little to no consensus among political scientists, ex-
3 perts and practitioners about the definition of democracy. As Larry Diamond
4 (2008: 21) formulated it in one of his recent books “defining democracy is a bit
5 like interpreting Talmud (or any religious text): ask a room of ten rabbis (or politi-
6 cal scientists) for the meaning, and you are likely to get eleven different answers”.
7 Avoiding hopeless academic hurdles of definition games, Schmitter talks straight
8 about reality, that is about democracies recognized as such by themselves and by
9 others (mostly by politicians, political scientists and political experts). The num-
10 ber of such entities has increased dramatically during the past half a century which
11 can be seen as a result of both changing values and growing choice and freedom
12 aspirations globally as well as the consequence of hazy rules and vague and ab-
13 stract criteria. Except for China, North Korea and perhaps Cuba, very few coun-
14 tries have remained in the world without aspirations for being called democracies.
15 This opens the way in two directions: both for almost limitless governmental cyn-
16 icism and arbitrariness of self-interpretation and for ever growing popular, bot-
17 tom-up, freedom and choice aspirations of self-organizing and mobilizing civil
18 societies worldwide. The growing gap between aspirations and realities can be in-
19 terpreted as a “crisis of democracy”.

20 And this leads us to what Schmitter calls the “great political paradox of our
21 times”: exactly in the historic moment of the blossoming of new democratic aspi-
22 rations old established democracies – “archeo-REDS” – are facing deepening cri-
23 ses.

24 Following the path of Robert Dahl, Schmitter rightly emphasizes the ever
25 changing forms and contents of democracies in terms of size, scale and scope.
26 Some of these “revolutionary” changes – like the professionalization of politics or
27 the “associational revolution” – have become commonplace today, whereas oth-
28 ers still pose great challenges vis-à-vis the everyday functioning of democracies.

29 One of the great challenges of our times is the growing importance of mostly
30 international “guardian institutions” such as the World Bank, the IMF, the EU or
31 the European Central Bank. Especially new democracies with often weak and ex-
32 posed economies like the post-Soviet and post-Communist countries can be seen
33 as “no choice democracies” by their respected constituencies. Local and national
34 politicians, the only ones who can be made accountable for their decisions refer to
35 the faraway and unaccountable guardian institutions as leaving them no choice in
36 decision making in cases of fundamental importance. This tendency has serious
37 and far reaching consequences:

- 38
39 – first of all it empties out national sovereignty and delegitimizes democracies
40 on the national level;

- 1 – it adds up to a general feeling of “nobody can be made accountable”;
2 – and consequently further strengthens the tendency of growing mistrust of
3 democratic institutions, political parties and politicians.
4

5 The decreasing public trust in politics and democratic institutions is a world-
6 wide tendency which has an extraordinary appearance in certain neo-REDs, like
7 Hungary. According to a most recent opinion poll, more than 80% of respondents
8 do not trust politicians and political parties and not even the parliament despite the
9 fact that the present ruling coalition has a vast and unprecedented – more than
10 two-thirds – majority. People trust NGOs, or civil society, the police or the
11 Church more than they do the political class.

12 In close connection with emptying out democracies and weakening the nation
13 state another important “revolutionary” change in the scope of democracies is
14 multilevel governance.

15 Obvious weaknesses of the nation state could be well compensated for by in-
16 troducing new levels of aggregate decision making. This was the case, first of all,
17 in post-WW2 Europe where the process of integration produced concepts and pol-
18 icies in order to delegate political responsibilities and decision making on inter-
19 connected but separable sub-national, national and transnational levels.

20 Expectations were high in the early 90s about introducing and empowering
21 new players on the mezo-level. Regional decision making, however, was taken
22 over by member states pursuing overwhelmingly centralized national interests
23 and these efforts have not yet been materialized.

24 This has created a second, in my words “European paradox” of existing de-
25 mocracies.

26 While nation states became member states of a larger supranational entity, del-
27 egating decision making and as a consequence part of their sovereignty upon a
28 freely chosen supranational level, they are emptying out their own democratic le-
29 gitimacy without fully legitimizing the new entity.

30 Voters can only hold their elected politicians accountable at the national level
31 for decisions being brought by the collective sovereign, not at the supranational
32 level. This discrepancy in the process of the European construction of multilevel
33 governance offers the opportunity for national politicians to blame the EU for un-
34 popular decisions which in turn further alienates citizens from EU institutions and
35 contributes to the lack of public trust in supranational “guardian institutions”.

36 Similarly, there is a tendency among political scientists and analysts of Euro-
37 pean integration to believe that the EU itself is responsible for the crisis of democ-
38 racy at the national level. They claim that transnational decision making weakens
39 the functioning of democratic institutions on the nation state level and, at the same
40 time, does not lead to automatic democratization at the transnational/European

1 level. There is an undeniable element of truth in this observation. Presumably we
2 need to dig deeper if we want to find the core of the problem and remember where
3 the very roots of creating multinational governance stem from. One has to remem-
4 ber the wise but often neglected warning of Jean Monnet about the undetermined
5 nature of transnational European political power.

6 Jean Monnet emphasised that European democracies have yet to invent and
7 build up a new kind of hitherto unprecedented political power. It is rather the ca-
8 pability (or lack of capability) of European democracies to construct such a new
9 political power that is at stake.

10 Obviously, to create multilevel decision making and governance is not an easy
11 job, as Schmitter observes, especially not under circumstances of a deepening and
12 expanding, complex “hydra headed” crisis and the accompanied erosion of public
13 trust in political institutions. This is certainly a task which would need more time,
14 more serious and concerted efforts, insight and inspiration from stakeholders and
15 even good luck and political courage to seize the right momentum for action.

16 Taking the larger picture, outside of the European orbit, there is no doubt that
17 we are again in a new phase of global turmoil. 2011 reminds many of the observ-
18 ers and analysts of 1989. Indeed, there are some similarities: although there were
19 plenty of signs of escalating social and political discontent, both the revolutions
20 were seen as unexpected surprises. Superpower and big power interests for keep-
21 ing the geopolitical status quo that played an important role were in collision in
22 both cases with bottom up social movements and self-mobilizing civil society as-
23 pirations.

24 There are of course many important differences and special characteristics of
25 the Arab Spring. Just to mention a few: the pulling effect of the West is far less ob-
26 vious in 2011 as it was in 1989, where European peace movements and cross-bor-
27 der networking for East-West dialogue played a significant role in mobilizing
28 democratic dissent within the former Soviet bloc. Although there was a strong
29 media presence in 1989 in East Central Europe, ICT and social media plays a new
30 and unprecedented role in organizing demonstrations and sharing information
31 with the largest possible public in 2011. Using the new technology in creative
32 ways contributes to a large extent to the emergence of a global public. This might
33 have unforeseeable and far reaching consequences for the future of democracy on
34 the global and regional as well as local level.

35 1989 signalled a paradigm shift in the history of democracies: civil society be-
36 came an indispensable agent and dynamizing engine of democratization. It her-
37 alded the twilight of the exclusive “grand narrative”. It integrated some character-
38 istics of the alternative social movements such as self-mobilization, civil auton-
39 omy, self-limitation, non-violence, the pluralist understanding of sovereignty and
40 the ethics of disagreement. Altogether the “Velvet Revolutions” differed in many

1 ways from previous revolutions. The emergence and self-assertion of civil society
2 has far richer consequences than mainstream social and political sciences have
3 ever recognized.

4 Although the process of cross-border/regional/transnational democratization
5 processes as well as the establishment of effective and democratic multilevel gov-
6 ernance have been held back, the democratization of democracy might gain new
7 momentum with the awakening in the Arab countries.

8 The Arab Spring started by the Jasmine Revolution had an unstoppable domino
9 effect through the entire Middle East. Unexpected freedom and choice aspirations
10 surfaced one after the other from Tunisia through Egypt, to Bahrain, Yemen, Jor-
11 dan, Syria and Libya. Political change has not yet crystallized and violence could
12 not be avoided. It is obviously too early a stage to predict the outcome of the erup-
13 tion of freedom.

14 But it has been proved crystal clear that democracy has an undeniable and
15 growing global appeal and the *democratization of democracy* or rather *reinvent-*
16 *ing of democracy* is unavoidable on all possible levels.

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19 **References**

20

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24 *Tibor Palánkai*

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26 I found the paper and the discussion extremely interesting, instructive and cur-
27 rent. It raises some of the major issues and dilemmas of the present crisis of the
28 democratic structures, and tries to indicate some “revolutionary” changes and so-
29 lutions to them. I am an economist, so those remarks, which I try to make, focus
30 rather on the economic aspects, while may neglect some of the basics of the re-
31 lated political science literature.

32 I find a little euphemistic to speak about “professionalization of the role of pol-
33 iticians”. Clearly, modern politics requires professionalism, and that is growingly
34 a criterion of success. The politician should understand a broad range of problems
35 and should be able to formulate adequate answers and solutions. That is particu-
36 larly true, as the complexity of problems and processes have greatly increased,
37 and that particularly applies to the development and operation of the economy,
38 and in fact, to all other fields of social life. But I feel that the position of a politi-
39 cian has become rather a job or more precisely a business, and it is an exception
40 when we can speak about a professional politician. Quite mediocre people could

1 be successful politicians, beyond some sort of talent only a good team of “spin
2 doctors” is needed. Therefore, the financial background is crucial.

3 One aspect of this problem is the so called “diploma democracy” or “graduate
4 democracy”. It refers to a world wide phenomenon, namely that most of the politi-
5 cians and parliamentary deputies have certain diploma degrees. Lawyers and
6 economists are overwhelming among them. The issue is interestingly presented
7 by a book of David van Reybrouck, titled “In defence of populism”, which was re-
8 cently published in Hungarian. The problem is that the politicians are preoccupied
9 with dealing with a wide range of questions, but they fail to make them under-
10 stood and to communicate them to the ordinary peoples, to their electors. This
11 leads to a growing alienation of masses from politics, and it gives ground to popu-
12 list politicians, often giving simplified answers and offering irresponsible prom-
13 ises. The issue is raised and analysed by the political science literature (including
14 Philippe Schmitter), but the Dutch journalist gives interesting empirical evi-
15 dences in relation to recent politics of his country.

16 The professionalization of governance is a totally different question. The cre-
17 ation of a wide range of regulatory institutions and mechanisms is a world wide
18 phenomenon and in the “post-Dahlian” revolution is defined as displacement of
19 citizens by organizations. They are parts of national democratic structures, but in
20 the last decades we see their explosion also on regional (EU) and global levels. In
21 the integration literature this is called “regulatory integration”. The process means
22 the creation of a great number of institutions, and the related rules of the games,
23 norms and standards, methods and ways of dealing with problems, or principles
24 and values. Globally, they cover world trade or international monetary relations
25 (WTO or IMF), but there are dozens of others in wide ranging fields. They intro-
26 duce the elements of regulation of complex processes, but with great deficits, both
27 in terms of complexity and efficiency. The problem was dramatically demon-
28 strated by the recent global financial crisis.

29 The other shortcomings of professional governance are related to the fact that it
30 is still largely subordinated to narrow interest of party politics and short-term elec-
31 tion successes. By that, the professional considerations and rationalities are
32 broadly neglected and easily overwritten by populist expectations.

33 In the last decades the dangers of that type of politicization have greatly in-
34 creased, and sometimes it may threaten with catastrophic consequences. The pres-
35 ent global crisis again is a good example, when incompetent and irresponsible
36 policies and politicians can be clearly identified as one of main reasons.

37 It would be complicated to analyse all the aspects of “revolutionary changes”
38 of our present times, which created totally new circumstances and challenges.
39 Probably one is the so called knowledge based society. It means, among others,
40 that the scientific methods have become integral parts not only of research and in-

1 novations, but also the operation of technologies and production. And this applies
2 growingly also for working of the society, which means that social sciences also
3 got new and crucially important practical roles. As far as economy is concerned
4 that is particularly striking.

5 In the last half a century, we could experience quite revolutionary changes that
6 particularly affected private business. The traditional individual instinctive or in-
7 tuitive management (sometimes represented by extraordinary talented peoples,
8 like Henry Ford) was dramatically replaced by professional managers, and such
9 new scientific fields (theories and methods) emerged and got to be broadly ap-
10 plied as management, marketing, corporate planning, system analysis etc. (the
11 range would be long), which were hardly known and practiced before.

12 The complexity and new mechanisms of public economy (just one example:
13 gold standard replaced by monetarism), call for new methods of analysis and reg-
14 ulation, and a “scientific” approach cannot be avoided in these fields either. But
15 public economy still largely remained dominated by short-term and party policy
16 based considerations and “management”. The requirements for “professional
17 governance” are largely neglected, and the consequences are catastrophic from
18 time to time. Some elements of de-politicization have already gained ground, but
19 they are still weak and far from complete. Some public policy benchmarks and fis-
20 cal rules have already been accepted (it is not desirable to have more than 3% of
21 budget deficit compared to GDP, or financial sustainability assume less than 60%
22 of debt to GDP, the “desirable inflation” is around 2–3%, etc.), and the same ap-
23 plies to institutional set ups. These later are represented by the notion of independ-
24 ent National Banks or Budgetary Councils, which are established already in many
25 countries of the world. In the Dahlian definition these may be called as “guardian
26 institutions”. Efficient and good public management would need a separation
27 from politics, particularly as daily and competing party politics are concerned.
28 The negligence of elementary rules (laws) of economy and rationality necessarily
29 leads to serious crises and losses. As the examples of Greece or even Ireland
30 proves, the membership in the Euro-zone does not mean any guarantee, and based
31 on complex interconnections and interdependence they may have broad interna-
32 tional repercussions.

33 The other aspects of professionalization are connected to the new relation be-
34 tween politics and business. Politics has increasingly become a business, and
35 business is increasingly dependent on politics. It applies in a broad sense, but also
36 in personal and institutional terms. Politicians consider their carrier in business
37 terms, and they use often their political positions for business advantages of their
38 own or their supporters. Corruption is a daily phenomenon, and sometimes the
39 criminalisation of politics takes broad dimensions. In the turnarounds after parlia-
40 mentary elections, corruption is one of the number one reasons, and it is rare even

1 in a highly democratic country that a governing party or coalition is elected for
2 more than two terms. It applies to old and new democracies (our region) as well.
3 Of course, it is a welcome development in the new democracies of the East that
4 governments are rotating without a limitation, but in the light of corrupt political
5 structures, this is hardly an indicator of good quality and stability of their democ-
6 racies.

7 There is a large literature about these phenomena and broad discussions about
8 the possible solutions. It would be hard to summarize them, and particularly to
9 make a list about what should be done. Probably we can, however, conclude that
10 more than some reforms are needed. We live in an age of a "great transformation"
11 (I mean it in Polányi's definition, and it does not matter whether we call it "global
12 transformation" or anything else). That assumes broad and radical changes, which
13 can be defined as "revolutionary" ones, even if we often call them simply as
14 "structural reforms". In these contexts, I raise only some points.

15

- 16 1. First of all, a general remark: In order to get rid of present over-politiciza-
17 tion of economic and social life and corrupt political and business relations,
18 the creation and establishment of independent, professional governance
19 would be needed. Often it is raised that the present "transformation" should
20 affect also the idea, values and a new view of our world, namely we would
21 need a new "enlightenment". One of the original main issues of enlighten-
22 ment was the separation of religion and politics, or the church and the state.
23 To my opinion, the present enlightenment should aim at separation the poli-
24 tics or the state and the business. The corresponding democratic structures
25 would be worth discussing.
- 26 2. I would pay more attention to the analysis of processes, which we could call
27 as a cyber, Facebook or internet revolution. I am not an expert of that, but
28 even an outsider could note that it offers fundamentally new opportunities
29 and ways of democratization, and not only in the developed countries (the
30 Jasmine Revolutions in the Arab world). One point, which could be men-
31 tioned is, that new information technologies give new possibilities for trans-
32 parency for the public surveillance, and not last for the individual citizens as
33 well. That could be one of the efficient instruments of fighting against cor-
34 ruption.
- 35 3. Independent and professional governance should be put under strict demo-
36 cratic control. New ways and forms should be found. They should be much
37 less party centred, and the role of NGOs or civil organisations should be ex-
38 tended. The role of state intervention and regulation should be replaced by
39 social ones, probably redefining such institutions and functions as trade un-
40 ions, employer organizations, or formations representing environmental,

- 1 social and cultural sustainability. The control and regulation of transna-
2 tional corporations, both on national and global levels should be discussed.
3 The same applies to a great number of regulatory bodies, which are often be-
4 yond any democratic control.
- 5 4. The issue of direct and representative democracy should be reconsidered in
6 these new contexts. Professional governance fits rather to representative de-
7 mocracy than to a direct one. Judgement of complex issues and their profes-
8 sional solution need information and expertise, which average people are
9 lacking. Referenda prove that individuals make decisions according to their
10 feelings and interests, which can be aggregated into results, which have
11 nothing to do with the real issues. This type of synthesis of individual views
12 could add up in decisions, which seriously hurt public interests, and are det-
13 rimental for the whole society and nation. Surveys showed quite clearly,
14 that results of most referenda in European countries reflected rather the
15 emotions toward the actual governments than to the given question. Peoples
16 easily vote about free beer, but they would not endorse any taxes. The prob-
17 lem does not change even if we assume increasing political intelligence and
18 awareness of the people. Relevance of decisions and policies need indirect
19 channels of democracy as well. The interaction and coordination between
20 the public and the “representatives” could be, however, improved in many
21 ways.
- 22 5. In the twentieth century, besides horrific regimes (fortunately they disap-
23 peared from the scene of history), the Western societies, experienced a spec-
24 tacular democratic revolution, and our political systems are hardly compa-
25 rable with what was hundred years ago (universal suffrage, rights of
26 woman, divorce, etc.). We have to further them, and the new revolution will
27 be not easier and not without tough fighting against the established forces of
28 the past. The research on the new democratic structures, therefore, is highly
29 important and a highly responsible task. The agenda for discussion should
30 be carefully set, and our role should be defined realistically, in order to fulfil
31 our tasks successfully.
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