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Structural and Stereochemical Studies of Hydroxyanthraquinone Derivatives from the Endophytic Fungus *Coniothyrium* sp

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ABSTRACT Four known hydroxyanthraquinones (1–4) together with four new derivatives having a tetralone moiety, namely coniothyrinones A–D (5–8), were isolated from the culture of *Coniothyrium* sp., an endophytic fungus isolated from *Salsola oppostifolia* from Gomera in the Canary Islands. The structures of the new compounds were elucidated by detailed spectroscopic analysis and comparison with reported data. The absolute configurations of coniothyrinones A (5), B (6), and D (8) were determined by TDDFT calculations of CD spectra, allowing the determination of the absolute configuration of coniothyrinone C (7) as well. Coniothyrinones A (5), B (6), and D (8) could be used as ECD reference compounds in the determination of absolute configuration for related tetralone derivatives. This is the first report of anthraquinones and derivatives from an isolate of the genus *Coniothyrium* sp. These compounds showed inhibitory effects against the fungus *Microbotryum violaceum*, the alga *Chlorella fusca*, and the bacteria *Escherichia coli* and *Bacillus megaterium*. *Chirality 00:000–000, 2012.* © 2012 Wiley Periodicals, Inc.

KEY WORDS: anthraquinone derivatives; absolute configuration; *Coniothyrium* sp., coniothyrinones; tetralone; TDDFT ECD calculation

INTRODUCTION

Fungi of the *Coniothyrium* genus are widely distributed in nature, occurring frequently as endophytes and plant pathogens. In the course of our ongoing investigations to search for new biologically active secondary metabolites from fungi, we have repeatedly analyzed the culture extracts of *Coniothyrium* species, a very creative genus with respect to secondary metabolism.¹ Isolation and structural elucidation afforded a series of naphthalene derivatives, including palmarumycins,^{2–4} nitronaphthalenes,⁵ and α -methylene- γ -lactone derivatives belonging to the group of massarilactones.^{6,7} These metabolites demonstrated antifungal,^{3,5} antibacterial,^{3,5} and antialgal activities³ in bioassays in vitro. In addition, several benzofuranones and derivatives have also been isolated from the fungus, showing similar antimicrobial activities.^{8,9}

In connection with our ongoing screening for new bioactive metabolites from fungi,¹⁰⁻¹⁴ we reinvestigated another endophytic Coniothyrium sp., isolated from the plant Salsola oppostifolia, growing in Gomera, Spain. The crude ethyl acetate extract of the biomalt agar culture showed pronounced antifungal activity against Microbotryum violaceum. Fractionation of the extract led to the isolation of four known hydroxyanthraquinones (1-4), together with four new analogues: coniothyrinones A-D (5-8). This is the first report of anthraquinones and their derivatives from an isolate of the genus Coniothyrium. The absolute configurations of coniothyrinones A (5), B (6), and D (8) were determined on the basis of TDDFT calculations of ECD spectra, allowing the determination of absolute configuration of coniothyrinones C (7). Herein we report the isolation, structural elucidation, and bioactivities of these compounds.

General and Instrumentation

MATERIALS AND METHODS

Commercial silica gel (Yantai, P. R. China, 200-300; 400-500 mesh) was used for column chromatography. Precoated silica gel plates (Yantai, P. R. China, HSGF-254) were used for analytical thin-layer chromatography (TLC). Spots were detected on TLC under UV or by heating after spraying with 0.5 ml of anisaldehyde in 50 ml of HOAc and 1 ml of H₂SO₄. The NMR spectra were recorded at 293 K. Chemical shifts were reported in parts per million (δ), coupling constant (*J*) in Hz. ¹H and ¹³C NMR assignments were supported by ¹H-¹H COSY, HMQC, and HMBC experiments. The following abbreviations are used to describe spin multiplicity: s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, brs=broad singlet, dd=doublet of doublets, ddd=doublet of doublets of doublets, dt = doublet of triplets, qd = quartet of doublets, ov = overlapped signals. Optical rotations were measured in CHCl₃ on an Autopol IV polarimeter at the sodium D line (590 nm). Infrared spectra were recorded in thin polymer films on a Nexus 470 FT-IR spectrophotometer; peaks are reported in cm⁻¹. Melting points were determined on an XT5-XMT micro melting point apparatus and are uncorrected. UV absorption spectra were recorded on a Varian Cary 100 UV-Vis spectrophotometer; wavelengths are reported in nm. Circular dichroism (CD) spectra were recorded on a Jasco-715 spectropolarimeter. The mass spectra and high resolution mass spectra were performed on a Finnigan-MAT-95 mass spectrometer and a Q-TOF Micro mass spectrometer. Semi-preparative

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RP-HPLC was performed on an Agilent1100 system equipped with a refractive index detector using a YMC Pack ODS-A column (particle size $5 \,\mu$ m, $250 \times 10 \,\text{mm}$).

Culture, Extraction, and Isolation

The endophytic fungus Coniothyrium sp., internal strain No. zw86, was isolated from the plant Salsola oppostifolia, growing on Gomera, in the Canary Islands. It was cultivated on 121 of 5% w/v biomalt solid agar medium at room temperature for 28 days.^{15–17} The culture was extracted with ethyl acetate to afford 4.6 g of a residue after removal of the solvent under reduced pressure. The extract was subjected to column chromatography (CC) on silica gel, eluted with a gradient of petroleum ether in trichloromethane (100:1, 100:10, 100:20, 50:50, 20:80, 1:100) to give 16 subfractions. Fractions 1 and 2 were first purified on silica gel CC (200-300 mesh, n-hexane/EtOAc, 100:1), and then eluted with n-hexane/ EtOAc/Et₃N (50:1:0.25) on silica gel CC (200-300 mesh) to yield pure 1 (1.0 g). Fraction 4 was first purified on silica gel CC (200-300 mesh, n-hexane/CH₂Cl₂, 5:1), and then eluted (n-hexane/CHCl₃/MeOH, 2:1:1) on Sephadex LH-20 column. Purification of the resulted subfractions on RP-HPLC afforded 2 (1.0 mg, 13.0 min) and 3 (1.0 mg, 14.0 min) with an eluent of MeOH/H₂O (93:7, 1.0 ml/min), and 4 (3.0 mg, 12.0 min) with an eluent of MeOH/H₂O (95:5, 1.0 ml/min). Fraction 5 was first subjected to a silica gel CC (200-300 mesh, CH2Cl2/MeOH 100:1), and then purified by RP-HPLC (MeOH/H₂O, 75:25; $1.5\,\mathrm{ml/min})$ to afford $\mathbf{6}$ (2.0 mg, 14.5 min). Fractions 11 and 13 were first purified on silica gel CCs (200-300 mesh, CH₂Cl₂/EtOAc, 10:1), followed by silica gel CCs (10-40 µm, CH₂Cl₂/MeOH, 100:1). The subsequent RP-HPLC purification gave 7 (1.5 mg, 19 min) and 8 (2.0 mg, 14 min) from fraction 11 with an eluent of MeOH/H₂O (60:40, 1.5 ml/min), and 5 (2.0 mg, 16.5 min) from fraction 13 with an eluent of MeOH/H₂O (70:30, 1.0 ml/min).

Pachybasin (1). Yellow powder; m.p. 175–176 °C; ¹H NMR (500 MHz, CDCl₃): δ = 7.10 (s, 1H, H-2), 7.64 (s, 1H, H-4), 8.29 (ov, 2H, H-5/H-8), 7.79 (ov, 2H, H-6/H-7), 12.56 (s, 1H, 1-OH), 2.46 (s, 3H, H-11) ppm; ¹³C NMR (125 MHz, CDCl₃): δ = 162.8 (C, C-1), 124.1 (CH, C-2), 148.6 (C, C-3), 120.8 (CH, C-4), 133.7 (C, C-4a), 127.4 (CH, C-5), 134.4 (CH, C-6), 134.2 (CH, C-7), 126.8 (CH, C-8), 133.2 (C, C-8a), 188.1 (C, C-9), 114.1 (C, C-9a), 182.7 (C, C-10), 133.4 (C, C-10a), 22.2 (CH₃, C-11) ppm; EIMS *m/z* 238 [*M*]⁺ (100), 223 (14), 210 (18), 181 (33), 165 (9), 152 (25), 105 (12), 76 (18).

1, **7**-*dihydroxy-3-methyl-9*, **1***O*-*anthraquinone* (**2**). Yellow powder; m.p. 263–265 °C; ¹H NMR (500 MHz, Acetone-d₆): δ = 7.12 (s, 1 H, H-2), 7.57 (s, 1 H, H-4), 8.12 (d, *J* = 10.0, 1 H, H-5), 7.30 (d, *J* = 10.0, 1 H, H-6), 7.65 (s, 1 H, H-8), 12.50 (brs, 1 H, 1-OH), 2.47 (s, 3 H, H-11) ppm; ¹³C NMR (125 MHz, [D]6Acetone): δ = 163.2 (C, C-1), 123.7 (CH, C-2), 149.7 (C, C-3), 120.9 (CH, C-4), 134.6 (C, C-4a), 130.8 (CH, C-5), 122.8 (CH, C-6), 164.7 (C, C-7), 113.2 (CH, C-8), 136.4 (C, C-8a), 189.3 (C, C-9), 115.1 (C, C-9a), 181.5 (C, C-10), 126.6 (C, C-10a), 22.1 (CH₃, C-11) ppm; EIMS *m/z* 254 [*M*]⁺ (100), 239 (17), 226 (24), 197 (25), 181 (11), 169 (14), 141 (13), 115 (16).

Phomarin (3). Yellow powder; m.p. 264–266 °C; ¹H NMR (500 MHz, [D]6Acetone): δ = 7.13 (s, 1H, H-2), 7.57 (s, 1H, H-4), 7.60 (d, J=2.5 Hz, 1H, H-5), 7.28 (dd, J=10.0, 2.5 Hz, 1H, H-7), 8.18 (d, J=10.0 Hz, 1H, H-8), 12.89 (brs, 1H, 1-OH), 2.47 (s, 3 H, H-11) pm; ¹³C NMR (125 MHz, Acetone-d₆): δ = 163.2 (C, C-1), 124.5 (CH, C-2), 148.9 (C, C-3), 120.9 (CH, C-4), 134.5 (C, C-4a), 113.7 (CH, C-5), 165.1 (C, C-6), 122.3 (CH, C-7), 130.5 (CH, C-8), 126.0 (C, C-8a), 188.2 (C, C-9), 114.8 (C, C-9a), 183.1 (C, C-10), 136.9 (C, C-10a), 22.0 (CH₃, C-11) ppm; EIMS m/z 254 [M]⁺ (100), 239 (8), 226 (23), 197 (29), 181 (13), 169 (13), 141 (15), 115 (18).

1-Hydroxy-3-hydroxymethyl-9,10-anthraquinone (4). Yellow powder; m.p. 264–266 °C; ¹H NMR (500 MHz, [D]6Acetone): δ = 7.33 (s, 1 H, H-2), 7.77 (s, 1 H, H-4), 8.23 (m, 1 H, H-5), 7.92 (ov, 2 H, H-6/H-7), 8.29 (m, 1 H, H-8), 12.59 (s, 1 H, 1-OH), 4.77 (s, 3 H, H-11) ppm; ¹³C NMR (125 MHz, Acetone-d₆): δ = 163.7 (C, C-1), 121.3 (CH, C-2), 154.2 (C, C-3), 117.8 (CH, C-4), 134.6 (C, C-4a), 127.9 (CH, C-5), 135.7 (CH, C-6), 135.2 (CH, C-7), 127.5 (CH, C-8), 134.2 (C, C-8a), 189.2 (C, C-9), 115.6 (C, C-9a), 182.8 (C, C-10), 134.4 *Chirality* DOI 10.1002/chir

(C, C-10a), 63.74 (CH₂, C-11) ppm; EIMS m/z 254 $[M]^+$ (100), 225 (93), 197 (27), 180 (16), 152 (28), 139 (33), 115 (23), 105 (17). **Coniothyrinone** A (5). Yellow powder; m.p. 238–240 °C; [α]20 D = –50 (c 0.18, MeOH); CD (CH₃CN, c 3.6 × 10⁻⁴): $\lambda_{max}(\varepsilon)$ = 339 (–3.46), 326 (–3.69), 268 (–8.35), 229 (–5.02), 213sh (10.97), 201 (15.16) nm; IR (film): v_{max} = 3290, 2921, 2854, 1629, 1567, 1046, 849, 767 cm⁻¹; UV (MeOH): $\lambda_{max}(\log \varepsilon)$ = 222 (4.20), 244 (3.92), 271 (4.18), 309 (3.59), 336 (3.74) nm; ¹H NMR and ¹³C NMR in [D]6DMSO, see Table 1; HREIMS: **T1** m/z calcd for C₁₅H₁₆O₅: 276.0998; found 276.1000 [M]⁺. **Coniothyrinone** B (6). Colorless powder; m.p. 237–239 °C; [α]20 D = –4 (c 0.12, MeOH); CD (CH₃CN, c 0.76 × 10⁻⁴): $\lambda_{max}(\varepsilon)$ = 337 (–2.51), 325sh (–2.29), 293sh (0.49), 267 (10.65), 234 (3.30), 216

(-2.51), 325sh (-2.29), 293sh (0.49), 267 (10.65), 234 (3.30), 216 (-23.77), positive CE below 200 nm; IR (film): v_{max} = 3313, 2924, 2851, 1635, 1574, 1049, 850, 784 cm⁻¹; UV (MeOH): $\lambda_{max}(\log \epsilon)$ = 207 (3.62), 220 (4.30), 243 (3.64), 267 (4.07), 292 (3.22), 331 (3.61) nm; ¹ H NMR and ¹³C NMR in [D]6DMSO, see Table 1; HRESIMS: *m/z* calcd for C₁₅H₁₇O₄: 261.1127; found 261.1127 [*M*-H]⁺.

TABLE 1. NMR data for coniothyrinones A and B (5, 6) in [D] 6DMSO^a

	5		6	
No.	$\delta_{\rm H}$, m, J in Hz	δ _C , m	$\delta_{\rm H}$, m, <i>J</i> in Hz	δ _C , m
1		163.3, s		163.4, s
2		118.3, d	6.63, s	116.6, d
	6.73, s			
3	\mathbf{V}	150.0, s		148.7, s
4		122.6, d	7.10, s	118.2, d
	6.80, s	1 1 2 2		1 10 0
4a		146.2, s	0.07	149.9, s
5α	F 07	128.8, d	2.67, m	39.8, t
50	5.67, s		1.91 - 11.0	
op c		199.1 4	1.31, q, 11.8 2.55 m	60 F d
0	5.67 0	152.1, u	5.55, 111	69.5, u
7~	5.67, 8	73.6 d	1.22 m	25.4.t
10	118 hr e	75.0, u	1.55, 111	JJ.4, l
78	4.10, 01 5		2.07 m	
7 μ 8α		74.5 t	2.07, m 2.40, ov	249 t
86		7 1.0, t	1.35 ov	21.0, t
op	4.05, dd, 10.3, 3.0		1.00, 01	
8a		45.6, d	2.37, ov	49.7, d
	3.29, dd, 10.3, 9.2			
9		208.8, s		206.1, s
9a		113.9, s		113.8, s
10		69.3, d	4.54, dd, 11.8, 8.0	72.4, d
	4.85, br s			
10a		44.2, d	1.85, qd, 11.8, 3.2	46.9, d
	2.97, dt, 9.2, 2.6			
11		22.0, q	2.34, s	22.0, q
	2.34, s			
1-OH	11.00		12.50, s	
C 011	11.89, s		0.70 1.45	
0-0H			3.70, a, 4.3	
<i>i-</i> 0H	119 hr a			
٥ OU	4.12, Dr S			
0-UH	179 br a			
10 이번	4.12, DI S		472 4 80	
10-0H	156 br e		4.12, u, 0.0	
	4.00, 01 8			

 $^{\rm a}{\rm Assignments}$ made by DEPT, $^{1}{\rm H}{}^{-1}{\rm H}$ COSY, HSQC, HMBC, and NOESY experiments.

Coniothyrinone *C* (7). Colorless powder; m.p. 250–252 °C; [α]20 D = -2 (*c* 0.12, MeOH); CD (CH₃CN, *c* = 2.88 × 1 0⁻⁴): $\lambda_{max}(\varepsilon)$ = 340sh (-2.15), 326 (-2.25), 263 (-7.01), 234sh (-1.49), 217 (14.72), 199 (-1.78) nm; IR (film): v_{max} = 3350, 2919, 2851, 1633, 1489, 1064, 897, 781, 744 cm⁻¹; UV (MeOH): $\lambda_{max}(\log \varepsilon)$ = 221 (3.52), 234 (2.97), 265 (3.78), 284 (2.52), 330 (3.39) nm; ¹H NMR and ¹³C NMR in [D] 6DMSO, see Table 2; HRESIMS: *m/z* calcd for C₁₅H₁₇O₅: 277.1078; found 277.1078 [*M*-H]⁺.

Coniothyrinone *D* (8). Colorless powder; m.p. 253-255 °C; [α]20 D = -2 (*c* 0.14, MeOH); CD (CH₃CN, *c* = 3.60×10^{-4}): $\lambda_{max}(\varepsilon)$ = 360sh (-1.98), 340 (-5.55), 306 (7.48), 269 (1.24), 254sh (-0.37), 241 (-1.47), 230 (3.05), 213sh (-18.75), 204 (-21.84) nm; IR (film): ν_{max} = 3360, 2923, 2854, 1676, 1614, 1046, 860, 757 cm⁻¹; UV (MeOH): λ_{max} (log ε) = 226 (3.90), 243 (3.66), 260 (3.85), 284 (3.22), 318 (3.46) nm; ¹ H NMR and ¹³C NMR in [D]6DMSO, see Table 2; HREIMS: *m/z* calcd for C₁₅H₁₈O₅: 278.1154; found 278.1155 [*M*]⁺.

Agar Diffusion Test for Biological Activity

Compounds **1–8** were dissolved in acetone at a concentration of 2 mg/ ml. 25 μ l of the solution (0.05 mg) were pipetted onto a sterile filter disc (Schleicher & Schuell, 9 mm), which was placed onto an appropriate agar growth medium for the respective test organisms and subsequently sprayed with a suspension of the test organisms.¹⁵ The test organisms were the Gram-negative bacterium *E. coli*, the Gram-positive bacterium *B. megaterium* (both grown on NB medium), the fungi *M. violaceum*, *B. cinerea*, and *S. tritici*, and the alga *C. fusca* (fungi and alga were grown on MPY medium). Reference substances were ketoconazole, penicillin, and streptomycin. Commencing at the outer edge of the filter disc, the radius of zone of inhibition was measured in mm. These microorganisms were chosen because they are nonpathogenic and had in the past proved to be accurate initial test organisms for antibacterial, antifungal, and antialgal/herbicidal activities.

Computational Section

Mixed torsional/low mode conformational searches were carried out by means of the Macromodel 9.7.211¹⁸ software using Merck Molecular Force Field (MMFF) with an implicit solvent model for chloroform and water. Geometry reoptimizations at B3LYP/6-31G(d) level of theory applying no or a PCM solvent model for AcN and DMSO followed by TDDFT calculations using various functionals (B3LYP, BH&HLYP, CAM-B3LYP) and TZVP basis set were performed using the Gaussian 09¹⁹ package. Boltzmann distributions were estimated from the ZPVE-corrected B3LYP/6-31 G(d) energies of the optimized conformer geometries obtained at the same level of theory in the gas-phase calculations, and from the B3LYP/6-31 G(d) or the B3LYP/TZVP energies in the PCM calculations. ECD spectra were generated as the sum of Gaussians²⁰ with 3000, 2700, and 2100 cm⁻¹ half-height width (corresponding to ca. 12, 11, and 8 nm at 200 nm), using dipole-velocity computed rotational strengths for conformers above 5%. The MOLEKEL²¹ software package was used for visualization of the results.

RESULTS AND DISCUSSION

The fungus *Coniothyrium* sp. was cultivated on biomalt agar medium for 4 weeks, and then extracted with ethyl acetate. The crude extract was fractionated on silica gel, followed by Sephadex LH-20 column chromatography and reversed-phase HPLC to afford compounds 1–9.

On the basis of detailed spectroscopic analysis and by comparison with reported data, four known hydroxyanthraquinone analogues (1–4) were readily determined as 1-hydroxy-3methyl-9,10-anthraquinone (pachybasin, 1),^{22,23} 1,7-dihydroxy-3-methyl-9,10-anthraquinone (2),²⁴ 1,6-dihydroxy-3-methyl-9, 10-anthraquinone (phomarin, 3)²⁴, and 1-hydroxy-3-hydroxymethyl-9,10-anthraquinone (4).²⁵ The major metabolite pachybasin (1) was purified from the fungus *Trichoderma harzianum* ETS 323 with much higher yield²³ and is mainly used as a yellow pigment. To the best of our knowledge, no biological activity of the four known analogues has yet been reported.

Coniothyrinone A (5) was isolated as a yellow powder, and its solution showed optical activity. The molecular formula $C_{15}H_{16}O_5$ was established by HREIMS, indicating eight double bond equivalents. The IR spectrum showed absorption bands of a hydroxyl group (3290 cm⁻¹), a carbonyl group (1629 cm⁻¹), and a typical tetrasubstituted aromatic system (3027, 1567, 1497, 1452, 849, 767 cm⁻¹). This evidence was in agreement

TABLE 2. NN	IR data for	r coniothyrinones	C and D (7	7, 8) in [D]6DMSO [*]	
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	7		8		
No.	$\delta_{\rm H}$, m, J in Hz	δ _C , m	$\delta_{\rm H}$, m, J in Hz	δ _C , m	
1		161.6, s		156.5, s	
2	6.70, s	116.6, d	6.85, s	121.5, d	
3		147.8, s	,	138.2, s	
4	6.70, s	121.0, d	7.14, s	117.4, d	
4a		146.2, s		132.0, s	
5α	2.05, q, 12.5	30.8, t	1.55, q, 12.5	27.7, t	
5β	1.45, dt, 12.5, 3.5		1.93, dt, 12.5, 4.0		
6	3.44, br d, 12.5	70.2, d	3.50, ov	70.1, d	
7	3.85, br s	67.9, d	3.81, br s	67.3, d	
8α	2.34, dt, 12.5, 3.5	31.0, t	2.33, ddd, 12.5, 4.0, 3.0	34.5, t	
8β	1.35, m, 12.5, 2.5		1.37, dt, 12.5, 2.0		
8a	3.02, dt, 12.5, 3.5	37.2, d	2.06, m	40.0, d	
9		207.4, s	4.74, d, 10	71.8, d	
9a		112.6, s		127.5, s	
10	4.34, br s	68.6, d		197.8, s	
10a	1.91, tt, 12.5, 2.5	41.7, d	2.36, ddd, 12.5, 12.0, 4.0	46.4, d	
11	2.31, s	21.5, g	2.26, s	20.6, q	
1-OH	12.49, s			, 1	
6-OH	4.45, br s				
7-OH	4.34, br s		4.26, br s		
10-OH	5.27, d, 6.0		·		

^aAssignments made by DEPT, ¹H-¹H COSY, HSQC, HMBC, and NOESY experiments

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T2

with the observation of signals in the ¹³C NMR and DEPT spectra for one carbonyl group ($\delta_{\rm C}$ 208.8), three secondary oxygenated carbons ($\delta_{\rm C}$ 69.26, 73.65, 74.47), six aromatic carbons $(\delta_{C} 163.29, 118.34, 149.96, 122.55, 146.22, 113.94)$, and one double bond ($\delta_{\rm C}$ 128.83, 132.07) (Table 1), accounting for six double bond equivalents. The remaining double bond equivalents were due to two additional rings in the molecule. Comparison of the 13 C NMR spectrum of 5 with that of 1 immediately revealed similar signals related to ring A, whereas the signals related to rings B and C were completely different. The presence of a chelated proton resonating at 11.89 and methyl protons at 2.34 in ¹H NMR spectrum supported the connection of ring A to the C-9 carbonyl. Analysis of the ¹H-¹H COSY spectrum readily established the proton F1 sequence of ring C and H-10 (Fig. 1). Diagnostic HMBC correlations from H-10 to C-4 and C-8a, and from H-8a to C-9 and C-9a, led to the connection of the two subunits to give the planar structure of 5.

The relative configuration of the chiral centers in rings B and C were suggested by a NOE experiment in combination with the analysis of the ¹H.¹H coupling constant, aided by conformational analysis. As shown on the lowest-energy conformer (64.8%) of **5**, clear NOE effects between H-7 and H-8a, and between H-8 and H-10a, indicated the 1,3-diaxial **F2 Q2** arrangement of the two pairs of protons (Fig. 2). The large coupling constants between H-8a and both H-8 and H-10a (${}^{3}J_{8,8a}$ = 10.3 Hz, ${}^{3}J_{8a,10a}$ = 9.2 Hz) further supported the above assignment, and consequently suggested the *trans*-annulation of ring B and C. The NOE effect with of H-10 H-4 as well as the small ${}^{3}J$ values (2.6 Hz) between H-10 and H-10a suggested the β *equatorial* orientation of H-10. Thus the (7*S**,8*S**,8*aR**, 10*S**,10*aS**) relative configuration was determined.

The absolute configuration of coniothyrinone A (5) was determined by electronic circular dichroism (ECD) measurements aided by TDDFT ECD calculations.^{10–12,26} The ECD spectrum of 5 showed four main ECD bands: negative ones at 326, 268, and 229 nm and a positive one at 201 nm. Lacking a reliable semiempirical ECD rule or ECD reference compound, the solution TDDFT ECD calculation protocol was used to



Fig. 1. 1 H- 1 H COSY (bold) and selected HMBC (arrow) correlations for 5.



Fig. 2. Key NOESY correlations of **5** presented on the lowest-energy computed conformer (64.8%). Interatomic distances in this conformer were found as 2.45 Å for H-4–H-10, 2.43 Å for H-10–H-10a, 2.69 Å for H-10a–H-8, and 2.51 Å for H-8a–H-7.

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Fig. 3. Experimental ECD spectrum of **5** in acetonitrile compared with the CAM-B3LYP/TZVP Boltzmann-averaged spectrum calculated for the solution conformers of the (7*S*,8*S*,8*aR*,10*S*,10*aS*)-enantiomer. Bars represent rotational strength values for the lowest-energy conformer.

determine the absolute configuration. The MMFF conformational search of coniothyrinone A (5) afforded three conformers in a 21 kJ/mol range, the B3LYP/6-31 G(d) reoptimization of which resulted in two slightly different conformers (64.8% and 34.8%) above the 0.5% population (Fig. S1). The two conformers differed in the orientation for the proton of the axial 10-OH group; the fused carbocyclic ring of both had M helicity with a positive $\omega_{4a,10,10a,8a}$ torsional angle (24.3° for the lowest-energy conformer). The TDDFT ECD spectra of the (7S,8S,8aR, 10S,10aS)-enantiomer were calculated with the TZVP basis set and three functionals (B3LYP, BH&HLYP, CAM-B3LYP) and all of them reproduced well the experimental ECD spectrum, with CAM-B3LYP giving the best agreement. Thus the absolute configuration of coniothyrinone A (5) was determined as (-)-(7S,8S,8aR, 10S,10aS). The characteristic long-wavelength band was determined by two overlapping ECD transitions at 316 and 307 nm, from which the 316 nm one was a $\pi - \pi^*$ transition, while the 307 nm one was of $n-\pi^*$ type (CAM-B3LYP). The overlapping $\pi - \pi^*$ and $n - \pi^*$ transitions had the same sign contribution to the long-wavelength CE, although the $\pi - \pi^*$ transition was more intense.

Coniothyrinone B (6) was isolated as a colorless powder; its solution showed optical activity. The molecular formula Q4104 of C15H18O4, established by HRESIMS, indicated that it contains two more protons and one less oxygen compared to 5. The ¹H and ¹³C NMR signals of 6 resembled those of **5** in rings A and B, with the difference mainly resting in ring C. The double bond and one of the secondary alcohols in ring C of **5** were replaced by two methylenes in **6** (Table 1). The remaining hydroxyl group was assigned to C-6 due to the proton spin system of H-10/H-10a/H₂-5/H-6/H₂-7/H₂-8/ H-8a, deduced from the ¹H-¹H COSY spectrum. The transannulation of rings B and C was established on the basis of the ³J value between H-8a and H-10a (11.8 Hz). The β orientation of H-6 was indicated by the obvious NOE effect between H-6 and H-10a, while the α arrangement of H-10 was deduced from the NOE effect between H-10 and H-8a (Fig. 4), and Q511F4 from the ${}^{3}J$ value (11.8 Hz) between H-10 and H-10a (Table 1), allowing the assignment of the relative configuration as $(6S^*, 8aS^*, 10R^*, 10aS^*).$

Similar to coniothyrinones A (5), the ECD spectrum of coniothyrinone B (6) showed a negative CE for the 337-nm band, while the high-energy transitions at 267, 234, and 216 nm had opposite CEs to the corresponding ones of coniothyrinone A (5). The DFT reoptimization of the six MMFF



Fig. 4. Key NOESY correlations of coniothyrinone B (6) represented on the lowest-energy computed conformer. Interatomic distances in this conformer were found as 2.65 Å for H-10a–H-8β, 2.61 Å for H-10a–H-6, 2.63 Å for H-8β–H-6, 2.52 Å for H-10–H-8a, 2.52 Å for H-10–H-5α, 2.60 Å for H-8a–H-5α, and 2.63 Å for H-8a–H-7α.



Fig. 5. Experimental ECD spectrum of **6** in acetonitrile compared with the BH&HLYP/TZVP Boltzmann-averaged spectrum calculated for the solution conformers of the (6*S*,8*aS*,10*aS*,10*R*)- enantiomer. Bars represent rotational strength values for the lowest-energy conformer.

conformers of (6S,8aS,10R,10aS)-**6** resulted in three conformers above the 5% population, which differed only in the orientation of the 6-OH; their fused carbocyclic ring had *M* helicity (Fig. S2). The TDDFT ECD spectra calculated for the three low-energy conformers (6S,8aS,10R,10aS)-**6** gave good agreement with the experimental curve, with the BH&HLYP/TZVP showing the closest similarity (Fig. 11). Thus, the absolute configuration of coniothyrinone B (**6**) was assigned unambiguously as (–)-(6S,8aS,10R,10aS).

It is noteworthy that the C-8a annulation points of **5** and **6** are homochiral, although their CIP descriptors are different, which explains the same negative long-wavelength CE of **5** and **6**. In contrast, the contiguous benzylic chirality center (C-10) has opposite absolute configuration in **5** and **6**, which is responsible for the near-mirror image ECD curves below 300 nm. On the basis of BH&HLYP/TZVP calculation, the long-wavelength negative transition is governed by two oppositely signed transitions at 311 and 308 nm, the former of which is a negative π - π * transition.

Coniothyrinone C (7) has a molecular formula of $C_{15}H_{18}O_5$, as determined by HRESIMS; thus it possessed an additional oxygen atom compared to 6. Comparison of the ¹H and ¹³C NMR data of 7 with those of 6 revealed close similarity of rings A and B, while ring C contained an additional secondary hydroxyl group at C-7. The *trans*-annulation of rings B and C was indicated by the large ³J value between H-8a and H-10a (12.5 Hz). The NOE correlations of H-10a with H-6, H-8 β and H-10, and of H-6 with H-7 and H-8 β , suggested the β configura-F6 tion of these protons (Fig. 6). The small coupling constants observed between H-10 and H-10a, and between H-7 and both



Fig. 6. Key NOESY correlations of coniothyrinone C (**7**) represented on the lowest-energy computed conformer. Interatomic distances in this conformer were found as 2.40Å for H-4–H-10, 2.43Å for H-10–H-10a, 2.65Å for H-10a–H-8β, 2.63Å for H-10a–H-6, 2.66Å for H-8β–H-6, 2.43Å for H-8β–H-7, 2.42Å for H-6–H-7, and 2.56Å for H-8α–H-5α.

H-6 and H-8 (Table 2) supported the above conclusion and afforded the $(6R^*, 7S^*, 8aS^*, 10S^*, 10aS^*)$ relative configuration.

Coniothyrinone C (**7**) showed negative CEs at 326, 263, and 234 nm, and a positive one at 217 nm; i.e., the same ECD pattern as coniothyrinone A (**5**). This suggested that **5** and **7** are homochiral at the chiral centers of C-8a, C-10a, and C-10, which, on the basis of the known relative configuration, allowed determination of the absolute configuration of **7** as (-)-(6R,7S,8aS,10S,10aS).

Coniothyrinone D (8) was isolated as a colorless powder, and its solution showed optical activity. The molecular formula was established as $C_{15}H_{18}O_5$ by HRESIMS. The ¹H-¹H COSY spectrum gave a similar proton spin system to that of **7**. However, this spin system was attached to C-9a instead of C-4a as suggested by the HMBC correlation from H-9 to C-9a. Moreover, the HMBC correlations from both H-4 and H-10a to C-10 assigned the ketone carbonyl group at C-10, and consequently settled the planar structure of the compound (Fig. 7). The lack **F7** of the chelated hydroxyl proton signal in **8** corroborated well with the above conclusion. The NOE cross peaks between H-10a and H-6, and H-8 and H-9, indicated the β *axial* orientation of these protons (Fig. 8). The *trans*-diaxial arrangement **F8** of H-8a and H-9 was deduced from their large proton coupling



Fig. 7. ¹H-¹H COSY (bond) and selected HMBC (arrow) correlations for 8.



Fig. 8. Key NOESY correlations of coniothyrinone D (8) represented on the lowest-energy computed conformer. Interatomic distances in this conformer were found as 2.63 Å for H-10a–H-9, 2.63 Å for H-10a–H-8 β , 2.65 Å for H-10a–H-6, 2.63 Å for H-8 β –H-6, and 2.62 Å for H-8 α –H-5 α .

constant (${}^{3}J_{8a,9} = 10 \text{ Hz}$), while the *trans*-annulation of rings B and C was further confirmed by the ${}^{3}J$ value (12.0 Hz) of H-8a and H-10a. H-7 was assigned as an equatorial proton due to its NOE effect with both H-6 and H-8a. Thus, the relative configuration was determined as $(6R^*, 7S^*, 8aS^*, 9R^*, 10aS^*)$.

The ECD spectra of coniothyrinone D (8) was completely different from those of **5–7**, which is attributed to the change of the relative position of the carbonyl group. Moreover, although the 1-OH was hydrogen bonded to the carbonyl oxygen in 5-7, this was not possible for 8, which was also reflected in the position of the long-wavelength ECD transitions. An intense negative $n-\pi^*$ ECD band appeared at 340 nm and a positive $\pi - \pi^*$ one at 306 nm, i.e., the two longwavelength transitions were considerably separated, allowing the safe identification of the $n-\pi^*$ CE. The DFT reoptimization of 13 MMFF conformers of (6R,7S,8aS,9R,10aS)-8 reduced the number of conformers to two (66.7% and 22.8%) above the 5% population, which had both M helicity and differed only in the orientation of the 6-OH (Fig. S3). The TDDFT ECD spectra were calculated for the two low-energy conformers with three functionals; the BH&HLYP/TZVP F9 method gave the best agreement (Fig. 9) allowing the determination of absolute configuration as (-)-(6R,7S,8aS,9R,10aS).

Coniothyrinones A-D (5-8) possess a fused tetralone [3,4-dihydronaphtelene-1(2H)-one] chromophore with various on patterns, quite a widespread phenomenon among roducts.^{29–37} Although there are $n-\pi^*$ helicity rules correlating the helicity of the fused hetero-ring to of the carbonyl $n-\pi^*$ ECD transition in dihydroisocou-^{8,39} flavanones,⁴⁰ 3-hydroxyflavanones,⁴⁰ 2-alkylchro- 41 and isoflavanones, ⁴² similar correlation has not nd for tetralone derivatives, which can also be viewed c aryl ketone. Moreover, some of the reported examved inconsistent relationship between the helicity of nonaromatic ring and the sign of the long-wavelength ton effect (CE).^{29-31,36} Since the tetralone chromoconformationally rigid in coniothyrinones A-D (5-8) e trans-annulation with ring C and has different substiterns, the ECD study of these derivatives can serve as ce for the stereochemical studies of related derivahough the fused ring B of **5–8** has *M* helicity elength ECD transitions of the compounds h corroborating with the recent finding of

M. violaceum

7

10

8

6

8

6

11

0

7.5

7.5

7.5

et al.,⁴³ the ECD calculations revealed that the application of a universal $n-\pi^*$ helicity rule is not feasible. In compounds 5-7, where the tetralone carbonyl oxygen was hydrogen bonded to the phenolic hydroxyl group, the ${}^{1}L_{b}$ and $n-\pi^{*}$ CEs overlap, making the use of the helicity rule ambiguous. In



Fig. 9. Experimental ECD spectrum of 8 in acetonitrile compared with the BH&HLYP/TZVP Boltzmann-averaged spectrum calculated for the solution conformers of the (6R,7S,8aS,9R,10aS)- enantiomer. Bars represent rotational strength values for the lowest-energy conformers.



26	substitution patterns,
27	natural products. ^{29–37}
28	available correlating
29	the sign of the carbon
30	marines, ^{38,39} flavanon
31	manones, ⁴¹ and isofla
32	been found for tetralo
33	as a cyclic aryl ketone
34	ples showed inconsist
35	the fused nonaromatic
36	$n-\pi^*$ Cotton effect (C
37	phore is conformation
38	due to the trans-annula
39	tution patterns, the EC
40	a reference for the st
41	tives. Although the fus
42	long-wavelength ECD
43	tive CE, corroboratin
44	
45	
46	
47	
48	
49	No.
50	1
51	2
52	3
53	4
54	5
55	67
56	8
57	Penicillin
58	Streptomycin
59	Ketoconazole
60	Acetone
61	250 6.4
62	^a 50 μg of the test or control inhibition are given in more
63	minipiuon are given in mm.
	Chirality DOI 10.1002/chir

TABLE 3. Agar diffusion assay

Fungi

I helicity and to ounds had ne ling of Evider ion assays for	the Fig. 10. ga-trile; coniot nte coniothyrin	Solution ECD spectra of coniothyrinones A–D (5–8) in acetoni- nyrinone A (5 , black curve), coniothyrinone B (6 , grey curve), one C (7 , dashed curve), coniothyrinone D (8 , dotted curve).				
Fungi			Bacteria	Alga		
B. inerea	S. tritici	E. coli	B. megaterium	C. fusca		
0	0	0	7	0		
0	6	11	11	7.5		
9	7	11	11	0		
9	7.5	15	16	0		
12.5	6	7.5	8	0		
0	6	6	10	0		
0	5	7.5	10	0		
0	5	6	10	0		
0	8	10	26	0		
0	6	0	13	0		
9	13	0	0	0		
0	0	0	0	0		

he test or control substances dissolved in acetone were applied to a filter disc and sprayed with the respective test organism. Radii of the zones of re given in mm.



Chart 1. Structures 1-8 isolated from Coniothyrium sp.

contrast, the long-wavelength ECD transition of **8**, where the carbonyl oxygen has no possibility for intramolecular hydrogen bonding, is of pure $n-\pi^*$ origin. In conclusion, ECD calculations are strongly recommended for the configurational assignment of tetralone derivatives whenever close analogues are not avail-**F10** able for ECD reference (Fig. 10).

Compounds 1–8 were tested in an agar diffusion assay
for their antifungal, antibacterial, and algicidal properties
toward *Microbotryum violaceum*, *Botrytis cinerea*, *Septoria tritici*, *Escherichia coli*, *Bacillus megaterium*, and *Chlorella* **T3** *fusca* (Table 3). Compounds 2–8 displayed antimicrobial
activity in the test. In particular, compound 4 displayed potent
antibacterial activity against both the Gram-positive bacterium *B. megaterium* and the Gram-negative bacterium *E. coli*.
Compounds 3 and 5 showed strong antifungal activity against *M. violaceum* and *B. cinerea*. The major metabolite pachybasin
(1) was only weakly active against the Gram-positive bacterium

C140 Q6 B. megaterium. Chart 1

Q7

CONCLUSIONS

The discovery of an array of hydroxyanthraquinone derivatives demonstrates the productivity of the fungus and represents an example of chemical diversity. Apparently, the cluster of the new metabolites, coniothyrinones A–D (**5–8**), may biogenetically derive from the common hydroxyanthraquinones **1–4** by reduction on one of the keto groups in ring B and hydrogenation and/or H₂O addition on the double bond of ring C, whereas ring A of these molecules remains intact. Stereochemical study of the isolated derivatives including conformational analysis and TDDFT ECD calculation revealed the origin of subtle differences in their solution ECD spectra and allowed the correlation of the stereochemistry to the characteristic ECD transitions.

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- If you intend to annotate your proof by means of hard-copy mark-up, please refer to the proof mark-up symbols guidelines. If manually writing corrections on your proof and returning it by fax, do not write too close to the edge of the paper. Please remember that illegible mark-ups may delay publication.

Whether you opt for hard-copy or electronic annotation of your proofs, we recommend that you provide additional clarification of answers to queries by entering your answers on the query sheet, in addition to the text mark-up.

Query No.	Query	Remark
Q1	AUTHOR: Please supply a substantially shortened version of the article title to be used as a running head for your article.	
Q2	AUTHOR: Figure 3 was not cited in the text. An attempt has been made to insert the figure into a relevant point in the text – please check that this is OK. If not, please provide clear guidance on where it should be cited in the text.	
Q3	AUTHOR: p. 10 of mss PDF, lines 51-53: "The NOE effect with of H-10 H-4 as well as the small 3 J values (2.6 Hz) between H-10 and H-10a suggested the β equatorial orientation of H-10."Again, something appears to be missing from this sentence or perhaps some words were misplaced? Please revise.	
Q4	AUTHOR: P. 9 of mss PDF, lines 49-50: "The molecular formula of $C_{15}H_{18}O_4$, established by HRESIMS, indicated that it contains two more protons and one less oxygen compared to 5."	
Q5	AUTHOR: Figure 5 was not cited in the text. An attempt has been made to insert the figure into a relevant point in the text – please check that this is OK. If not, please provide clear guidance on where it should be cited in the text.	
Q6	AUTHOR: Chart 1 was not cited in the text. An attempt has been made to insert the figure into a relevant point in the text – please check that this is OK. If not, please provide clear guidance on where it should be cited in the text.	
Q7	AUTHOR: Please check capturing of Acknowledgement if correct.	
Q8	AUTHOR: References 27 and 28 do not appear to be called out in text. Please add callouts or delete the references and renumber the remainder.	
Q9	AUTHOR: Please note that there is no text callout for Figure 3 or Figure 5. Please provide. Note also that there is a callout for Figure 11, approximately where Figure 5 should be – and there is no Figure 11.	
Q10	AUTHOR: Are there words missing from this sentence? Protons of what? One less oxygen what? Please clarify.	
Q11	AUTHOR: Please provide volume.	
Q12	AUTHOR: Please provide volume.	
Q13	AUTHOR: Please provide initials for Zia-Ullah, for reference 4.	

Query No.	Query	Remark
Q14	AUTHOR: Please provide volume.	
Q15	AUTHOR: Please provide volume.	
Q16	AUTHOR: Please provide initials for Zia-Ullah, for reference 12.	
Q17	AUTHOR: Please provide volume.	
Q18	AUTHOR: Please check this presentation if correct.	
Q19	AUTHOR: Reference "27" has not cited in the text. Please indicate where it should be cited; or delete from the reference list and renumber the references in the text and reference list.	
Q20	AUTHOR: Reference "28" has not cited in the text. Please indicate where it should be cited; or delete from the reference list and renumber the references in the text and reference list.	
Q21	AUTHOR: Please provide volume.	
Q22	AUTHOR: Please provide volume.	
Q23	AUTHOR: Please provide volume.	
Q24	AUTHOR: Please provide volume.	

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USING e-ANNOTATION TOOLS FOR ELECTRONIC PROOF CORRECTION

Required software to e-Annotate PDFs: <u>Adobe Acrobat Professional</u> or <u>Adobe Reader</u> (version 7.0 or above). (Note that this document uses screenshots from <u>Adobe Reader X</u>) The latest version of Acrobat Reader can be downloaded for free at: <u>http://get.adobe.com/uk/reader/</u>

Once you have Acrobat Reader open on your computer, click on the Comment tab at the right of the toolbar:



3. Add note to text Tool – for highlighting a section to be changed to bold or italic.



Highlights text in yellow and opens up a text box where comments can be entered.

How to use it

- Highlight the relevant section of text.
- Click on the Add note to text icon in the Annotations section.
- Type instruction on what should be showed

4. Add sticky note Tool – for making notes at specific points in the text.



Marks a point in the proof where a comment needs to be highlighted.

How to use it

- Click on the Add sticky note icon in the Annotations section.
- Click at the point in the proof where the comment should be inserted
- I ype instruction on what should be changed regarding the text into the yellow box that appears.



- Type the comment into the yellow box that appears.

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7. Drawing Markups Tools – for drawing shapes, lines and freeform annotations on proofs and commenting on these marks.

Allows shapes, lines and freeform annotations to be drawn on proofs and for comment to be made on these marks..

How to use it

- Click on one of the shapes in the Drawing Markups section.
- Click on the proof at the relevant point and draw the selected shape with the cursor.
- To add a comment to the drawn shape, move the cursor over the shape until an arrowhead appears.
- Double click on the shape and type any text in the red box that appears.



For further information on how to annotate proofs, click on the Help menu to reveal a list of further options:

