

1 **Spectral evaluation of apple fruit ripening and pigment content alteration**

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7

8 **Abstract**

9 The aim of this study was to study spectral-based investigation methods of apple fruit quality  
10 parameters, for the evaluation of ripeness, water content, and quality monitoring of certain  
11 species by rapid, non-invasive way.

12 The research site is an intensive apple orchard with microirrigation, which located in North  
13 East part of Hungary. Considering ripening characteristics, two antocyanin containing (Gala  
14 Galaxy, Gala Must) and two antocianin free (Early Gold, Golden Reinders) apple species  
15 were studied. Based on the ripening process of the fruits of the four apple species, spectral,  
16 chlorophyll, carotenoid and moisture changes were investigated measuring both the properties  
17 of the skin and the pulp of the fruits.

18 Conforming to the results, carotenoid-chlorophyll ratio is appropriate for the characterization  
19 of ripening process in antocianin free species. Based on the spectral data, 678 nm wavelength  
20 is sensitive for low chlorophyll content, therefore RED interval (678nm) is suitable for  
21 examining the ripeness and ripening process. Though reflectances at 678nm  $\pm$ 30 nm  
22 wavelength showed greater variability for high chlorophyll content, therefore reflectance,  
23 measured on 700 nm can be applicable for monitoring the early stage of ripening and the  
24 pigment content changes. New kind of ripening monitoring spectral index were set up, which  
25 are feasible for surveying pigment changes within ripening process of antocianin free species.

26 The measurement of water-content and the WBI cannot be suitable for monitoring the  
27 ripening, but it can be applied for surveying the moisture content changes during the storage.

28

29 KEYWORDS: apple, chlorophyll, carotenoid, moisture content, spectral properties of fruit

30

## 31 1. Introduction

32

33 The fruit quality can be evaluated with many parameters, such as organoleptic properties  
34 (colour, shape, texture, flavor and aroma), nutritive value, as well as various chemical and  
35 physical characteristics (Lurie 2008). Typically, a large amount of pigment accumulates in the  
36 thick layer of the apple fruit parenchyma which strongly scatters the light. As a result, the  
37 physiological status and the quality of the fruit can be assessed based on the species specific  
38 reflection spectrum. The content of chlorophylls, carotenoids and anthocyanins as well as  
39 their proportions determine fruit color and appearance (Saure, 1990; Abbott, 1999;  
40 Solovchenko et al., 2005) and serve as markers of quality. Pigment changes occur during  
41 ripening, storage and as a result of various stresses (Knee, 1972, 1988; Merzlyak et al., 1997,  
42 1999; Abbott, 1999; Merzlyak and Chivkunova, 2000; Merzlyak et al., 2003). The level of  
43 carotenoids, flavonoids and anthocyanins are further of importance because these compounds  
44 possess antioxidant properties hence they have beneficial effects on human health (Russo et  
45 al., 2000).

46 Color is traditionally measured by destructive techniques, such as isolation and quantitation of  
47 pigments, but in recent decades, spectral based methods have been applied successfully for  
48 testing fruit quality parameters (Knee, 1980; Zude et al., 2006). The spectral -based, non-  
49 destructive technology has several advantages over conventional, destructive and alternate  
50 non-destructive methods. Simplicity, sensitivity, reliability of the method and its high

51 performance are the most important advantages. Significant technological development has  
52 been started in apple fruit quality (ripeness, deterioration) monitoring tests, especially after  
53 the portable optical radiometer was placed on the market with which reliable spectral data can  
54 be supplied from a small fruit surface. Information can be obtained with the help of optical  
55 methods from the fruits on the basis of their reflective properties in the visible and near-  
56 infrared (NIR) wavelength ranges. The fruit reflectance depends on the pigment content  
57 (composition, localization), the cuticle, and the internal optical properties of the fruit (tissue  
58 structure, water content, and other factors) (Gitelson et al., 2003). Pigment sensitivity of the  
59 different spectral bands are often examined. Evaluation of fruit quality with modern  
60 equipment is relatively simple and it does not require expensive and complicated settings  
61 (Geyer et al., 2007). In recent years highly reliable spectral methods are used in fruit sorting  
62 and grading, and in the field of "precision agriculture "(Gitelson et al., 2003).

63

## 64 2. Materials and Methods

65

66 The aim of this research is the spectral evaluation of apple fruit quality parameters, with  
67 which the water content, quality monitoring and fruit ripeness of certain species can be  
68 assessed in rapid, non-invasive way.

69 In order to achieve this goal, not only the spectral profiles of the fruit skin and flesh samples  
70 were measured, but also conventional gravimetric method for water content and destructive  
71 chlorophyll and carotenoid measurements were carried out as a calibration for the spectral  
72 features. The principal component analysis and bivariate correlation statistical methods were  
73 used to select that wavelength which relates to water or chlorophyll content. Tukey variance  
74 analysis was used to determine the differences between different water and chlorophyll  
75 content and detect spectral differences.

76 Field sampling and field studies were carried out in intensive apple orchards with micro-  
77 irrigation system at Farm and Regional Research Institute of the University of Debrecen,  
78 located on the Northern part of Hungary. The spectral sampling and data processing were  
79 made at the Institute of Water and Environmental Management, Faculty of Agricultural and  
80 Food Sciences and Environmental Management, University of Debrecen. Taking into account  
81 the ripening characteristics of the many available apple varieties, two anthocyanin -free, i.e.,  
82 yellow fruit types (Early Gold and Golden Reinders) and two red fruit types containing  
83 anthocyanins (Gala Galaxy and Gala Must ) were examined (Figure 1).

84

85 **Figure 1. Golden Reinders and Gala Must apple at the end of July**

86

87 Two individuals by each varieties, individually 4 pieces of healthy apple fruit were taken so  
88 the number of fruit sample was 8 pieces of each apple variety in a certain sampling period.  
89 Samplings were made four times during the ripening process, in 20 to 25 day steps from the  
90 beginning of July 2013 until complete ripeness. During the fruit ripening process, moisture  
91 carotenoid and chlorophyll content and spectral changes were examined in the the skin and  
92 the pulp four apple varieties.

93 In order to spectrally identify the maturity status of the fruits, moisture and pigment sensitive  
94 wavelength ranges were identified based on the selection spectral channels with high  
95 reflectance variability. Based on their chlorophyll content fruits were separated into 4  
96 maturity groups for each apple variety. The first and second groups represent the mean  
97 spectral properties of unripe fruits, the third group refers to the mean reflectance of medium  
98 ripe fruits, and the fourth group shows the mean spectral characteristics of ripe fruits.

99 Standard deviation curves of spectral profiles were also calculated to evaluate the effect of the  
100 pigment content on reflectance. First, reflectance curves were grouped based on increasing

101 chlorophyll content, then the standard deviation curves of each group were calculated. 0-7  
102  $\mu\text{g/g}$ ; 0-25  $\mu\text{g/g}$ ; 0-30  $\mu\text{g/g}$  chlorophyll content groups were set for red fruits, and 0-10  $\mu\text{g/g}$ ;  
103 0-15  $\mu\text{g/g}$ ; 0-20  $\mu\text{g/g}$ ; 0-25  $\mu\text{g/g}$ ; 0-40  $\mu\text{g/g}$  for yellow fruit varieties.

104 The spectral profiles (reflectance) were measured by laboratory scale AvaSpec 2048  
105 spectrometer at 400 – 1000 nm wavelength interval with 0.6 nm spectral resolution. The  
106 AvaSpec 2048 system consists of one spectrometer, AvaLight-HAL halogen light source  
107 which are joined by a fibre optic with 8  $\mu\text{m}$  diameter and a self-innovated special sampling  
108 box in order to provide dark for measurements.

109 The halogen light source provides constant intensity of light emission on 400-1000 nm. The  
110 special sampling box is used to isolate samples from the permanently changing irradiation of  
111 other light sources (e.g. light bulb or neon or fluorescent lamp).

112 After spectral sampling, the chlorophyll, carotenoid content of fruit skin and moisture content  
113 of fruit was determined. The moisture content of the collected fresh fruit samples were  
114 measured gravimetrically.

115 To determine the total chlorophyll and carotenoid content of the fruits fresh skin samples  
116 were taken. The weight of fruit skin samples were about 100 mg, samples were destructed by  
117 10 ml acetone for extraction and 1 g quartz sand for homogeneity. After extraction the  
118 suspensions were centrifuged at 3000 rev/min for 3 min in Hettich ROTOFIX 32A, and the  
119 clean solution was placed to 2.5 ml quartz cuvette. The absorbance of the solution was  
120 measured by SECOMAN Anthelie Light II. UV-VIS spectrophotometer at 470, 644 and 663  
121 nm wavelength. Based on the absorbances chlorophyll content was calculated by the  
122 followings (Droppa et al., 2003):

123

124 Chlorophyll (a+b)  $\mu\text{g/g}$  fresh weight =  $(20,2 * A_{644} + 8,02 * A_{663}) * V/w,$

125

126 where: V = volume of tissue extract (ml), w = fresh weight of tissue (g), A = absorbance.

127

128 The carotenoid content was calculated by the following equation (Lichenthaler and

129 Wellburn, 1983)

130

131 Carotenoid  $\mu\text{g/g}$  fresh weight =

132  $(1000 * A_{470\text{nm}} - 3.27 (12.21 * A_{663\text{nm}} - 2.81 * A_{644\text{nm}}) - 104 * (20.13 A_{644\text{nm}} - 5.03 A_{663\text{nm}})) / 229$

133

134 According to Merzlyak et al. (1999) two kinds of Plant Senescence Reflectance Index (PSRI)

135 and Browning Reflectance Index (BRI) were calculated for better comparison and

136 identification of the spectral changes during the ripening period:

137 
$$PSRI = \frac{\rho_{678} - \rho_{500}}{\rho_{750}}$$

138 
$$PSRI_{480} = \frac{\rho_{678} - \rho_{480}}{\rho_{750}}$$

139

140 
$$BRI = \frac{1/\rho_{550} - 1/\rho_{700}}{\rho_{750}}$$

141

142 The Water Band Index (WBI) is also calculated. WBI is a reflectance measurement that is

143 sensitive to changes in canopy water status. As the water content of vegetation canopies

144 increase, the strength of the absorption around 970 nm increases relative to that of 900 nm.

145 WBI is defined by the following equation (Champagne et al. 2001):

146

147 
$$WBI = \rho_{900} / \rho_{970}$$

148

149 The principal component analysis and bivariate correlation statistical methods were used to  
150 select that wavelength which relates to water or pigment content. Based on the selected  
151 wavelength ranges, new ripening monitoring indices were set up. Linear regression were  
152 performed between vegetation indices and pigment content as well as between the Water  
153 Band Indices and fruit water content in order to identify spectral based pigment and water  
154 content estimation possibilities. Tukey variance analysis and Student's t-test was used to  
155 determine the differences between different water and pigment content and detect spectral  
156 differences in ripening process (Based on Kolmogorov-Smirnov test variables had normal  
157 distribution.). Statistical evaluation was performed by SPSS 17.0 software.

158

### 159 **3. Results and discussions**

160

#### 161 3.1. Skin pigment content

162 During ripening, the chlorophyll concentration is decreasing. The chlorophyll concentration  
163 of anthocyanin-free varieties (Early Gold and Golden Reinders) were  $31,1 \pm 7,07 \mu\text{g/g}$  in  
164 green, un-ripe state (02 July), while there was  $7,73 \pm 2,81 \mu\text{g/g}$  in ripe state (Sept. 10), and it  
165 continually decreased during the ripening. The decrease in red skinned Gála varieties could  
166 have been detected as well. Chlorophyll concentration was  $19.91 \pm 10.54 \mu\text{g/g}$  in unripe state  
167 and  $4.99 \pm 1.65 \mu\text{g/g}$  in ripe state. The chlorophyll concentration for red fruit apple varieties  
168 were smaller than the green-yellow apple fruit varieties in each measurement time. During  
169 fruit ripening, the chlorophyll concentration reduction was significantly ( $p = 0.032$ ) detectable  
170 only between the ripe and unripe fruits, and among the ripeness stages there were no  
171 significant differences. This was probably due to the heterogeneity of the samples and the  
172 differences among the varieties. However, these differences in chlorophyll concentration were  
173 declined among samples at the time of the ripening, resulting homogenous pigment

174 concentration in ripe fruit skin samples. Paralell to the chlorophyll concentration, the  
175 carotenoid concentration of fruit are continuously decreasing (Figure 2.).

176

177 Figure 2. Correlation between carotenoid and chlorophyll content of apple's skin in the  
178 concerned sampling dates

179

180 The carotinoid concentration of the anthocyanin-free varieties were  $870 \pm 358 \mu\text{g/g}$  in the  
181 case of unripe fruits, and  $340 \pm 39.5 \mu\text{g/g}$  in the case of ripe fruits, in the case Gala varieties  
182 the decrease in carotinoid was also detectable (unripe:  $517 \pm 206 \mu\text{g/g}$ ; ripe:  $358 \pm 70.6 \mu\text{g/g}$ ).  
183 However, the carotenoid concentration remains relatively high even in the case of low  
184 chlorophyll concentration.

185 During the ripening process, the remaining high carotenoid level is well characterized by the  
186 relationship between carotenoid-chlorophyll ratio (car/chl) and the chlorophyll content  
187 (Figure 3). As it was described in more detailed in the research of Merzlyak et al. (2003) such  
188 a relationship between pigment contents reflects the phenomenon of carotenoid retention  
189 and/or accumulation in the progress of apple fruit ripening. The findings showed the time of  
190 fruit ripeness is determined by physiological state, which fruit has attained by date of harvest,  
191 but not the harvest date per se. At the same time Car/chl ratio should be used for  
192 characterization the ripening process in apple rater that the content of each of the pigment  
193 alone (Solovchenko et al., 2005)

194

195 Figure 3. Correlation between carotenoid and chlorophyll ratio and chlorophyll content

196

197 ***3.2. Spectral properties of the apple skin***

198



199 Changes in fruit coloration, thus the differences in the spectral properties of apple skin are  
200 demonstrating well the significant changes in pigment (chlorophyll and carotenoid) content  
201 and their compounds in fruits during ripening process (Figure 4).

202

203 Figure 4. Changes of the fruit skin reflectance properties of Golden Reinders and Gala Galaxy  
204 within the period of fruit ripening (Concentration related to content of chlorophyll)

205

206 Low chlorophyll-containing fruits (both in the case of yellow and red fruit varieties) had high  
207 reflectance (65-80%) in the range of chlorophyll absorption, between 600 nm and 700 nm,  
208 whereas considerable spectral characteristics were not observed in the NIR range with high  
209 reflectance values. Decrease in reflectance (also for unripe fruits) was observed in the range  
210 of 900 to 970 nm, which is realized not the pigment content but it relates to the moisture  
211 content. It also reveals that the low pigment concentration, which is difficult to define  
212 analytically, it can be separated as a depression in the reflectance spectrum of the chlorophyll  
213 and carotenoid absorption zones. Due to the fruit ripening, the pigment concentration is  
214 decreasing, thus reflectance spectrum is successively flattening (Figure 4).

215 Due to the high carotenoids and chlorophyll content, the unripe fruits resulted a high  
216 absorption and low reflectance in the red and blue color range for all investigated varieties.

217 Both the absorption site of the chlorophyll a (on the 678 nm visible reflectance minimum) and  
218 chlorophyll b (like a shoulder shape figure close to 650 nm ranges) can be well recognized.

219 As shown in the diagram, the ripe fruit with low chlorophyll content indicated a high  
220 reflectance at 678 nm. With the increase in chlorophyll content, the reflectance underwent a  
221 marked decline over 7-10  $\mu\text{g/g}$  chlorophyll concentration, and beside higher chlorophyll  
222 concentration, its values has not changed significantly for the effect of further increase in  
223 concentration. This was explained with the effect of the cuticle and the epidermis on

224 reflectance by numerous researchers (BATT and MARTIN 1960; SOLOVCHENKO and  
225 MERZLYAK 2003). Both the combined absorption of chlorophyll b and carotenoids explain  
226 the strong absorption appearing in the blue range (MERZLYAK and CHIVKUNOVA 2000).  
227 Among the high chlorophyll concentrations reflectance data (at 678 nm) was not provable  
228 statistical difference in the cases of anthocyanin-free fruits. This indicates that 678 nm  
229 wavelength is less sensitive to the high chlorophyll values but it can be well characterized the  
230 ripeness. On the other hand, significant variability were showed in the reflectance wavelength  
231 ranges located from 678 nm to  $\pm 30$  nm, which can be applied for monitoring the early stage  
232 of fruit ripening. During ripening for low chlorophyll concentration ( $kl < 10 \mu\text{g/g}$ ), the curve  
233 peak flattens and it tops among 540-600 nm. However, according to Merzlyak et al. (2003),  
234 during the ripening, the anthocyanin levels are growing, which are responsible for the red  
235 coloured pigment in the case of red skinned apple varieties. These are greatly affected the  
236 reflectance of ripen Gala varieties group. The ripen fruit is peculiarity to have high reflectance  
237 (75-80%), in the red range whilst it is low (below 15%) in the green and blue range (previous  
238 Figure). For the group of Gala varieties, significant changes were not observed among the red  
239 and the NIR reflectance values either. This could be explained by the effect of anthocyanin  
240 pigment which has a dominant role in the reflectance features (Merzlyak, 2003). For further  
241 investigation of the spectral characteristics of the fruits, the reflectance data were divided into  
242 several groups by the chlorophyll content. From the yellowing apple varieties, three- and from  
243 the reddening apple varieties five groups were prepared (Figure 5).

244

245 Figure 5. Changes of the standard deviation of fruit skin reflectance of Golden Reinders and  
246 Gala Galaxy within the period of fruit ripening in case of different chlorophyll concentrations

247

248 The first group showed standard deviation of the low chlorophyll containing reflectance data  
249 and the last one contained the reflectance data of all fruits for the yellowing varieties between  
250 0-40  $\mu\text{g/g}$ , and for the Gala groups between 0-35  $\mu\text{g/g}$  chlorophyll-content. Independently  
251 from the pigment content, a very low standard deviation were observed for both fruit types in  
252 the blue range. Due to the chlorophyll absorption characteristics of the red color range, a peak  
253 was realized at 680 nm. Standard deviation of reflectance values of certain sample groups  
254 with middle and high chlorophyll content at 680 nm were confirmed the previously assumed  
255 that this wavelength-range for the low chlorophyll content was sensitive. As the chlorophyll  
256 content is growing, proportionally extending the measured standard deviation reflectance at  
257 680 nm, especially in the direction of the yellow color range. The maximum reflectance NIR  
258 range shows low variability, so the ripening in itself cannot be applied for monitoring the  
259 pigment content changes. Based on the standard deviation changes between the range of 550-  
260 600 nm and the 700-nm wavelength range of the yellowing apple varieties data were  
261 particularly pigment sensitive. Nevertheless, in case of low chlorophyll concentration, a lower  
262 peak can be observed at 510 nm, which may be sensitive to change of carotenoid content.  
263 This sensitivity decreases with the increasing of chlorophyll content, and the peak becomes  
264 smooth. The reason is that the absorption enhances parallel with the increasing chlorophyll  
265 content. So, as the chlorophyll content increases, the spectral properties disappear. This is  
266 determined by ZUR et al. (2000) who had similar results given by examining the pigment  
267 content changes in tree leaves. This range can be useful to determine the ripening stage as  
268 well. It cannot be observed the peak at the afore-mentioned, measured 510 nm for the Gala  
269 varieties group. Significant difference can be measured at the 550 nm range but the spectral  
270 characteristics will be disappeared with the appearance of anthocyanin (parallel with the  
271 decrease in chlorophyll content). Correlation tests were performed between the reflectance,  
272 and the chlorophyll and carotenoid concentration. According to the results of the correlation

273 tests, the maximum reflectance NIR range with low variability are not useful for monitoring  
274 the changes in pigment content (Figure 6).

275

276

277 Figure 6. Correlation between chlorophyll content and reflectance measured at RED NIR  
278 wavelengths

279

280 In the case of examined apple varieties, the total chlorophyll and carotenoid levels are  
281 decreasing in the full ripening stage. This decrease can be well followed spectrally for the  
282 anthocyanin-free apple fruit both the sensitive ranges of chlorophyll and carotenoids in the  
283 500 to 530 nm range (Figure 7).

284

285 Figure 7. Correlation between carotenoid content and reflectance measured at BLUE and  
286 GREEN wavelengths

287

288 Specially, the range at 510 nm is sensitive to the carotenoid content. This occurrence is  
289 confirmed by the results of the correlation test between the chlorophyll content and the  
290 normalized reflectance spectra (Figure 8).

291

292 Figure 8. Correlation between chlorophyll content and reflectance data of Golden  
293 Reinders and Gala Galaxy species

294

295 Regardless of the chlorophyll content, the spectral characteristics of each fruits of the  
296 yellowing and redding apple varieties were used in the study. In case of both the yellowing  
297 and the reddening varieties, very low correlation can be measured in the NIR range (from 720

298 nm to 1000 nm). However, significant difference are detected in the visible range. Maximum  
299 correlation ( $r^2 = 0.7-0.9$ ) was measured for the anthocyanin-free varieties in the orange and  
300 red range (590 to 700 nm). In the case of yellowing apple varieties, two peaks can be  
301 observed in the 430-440 nm and 460-470 nm blue range, which were indicated the absorption  
302 properties of the chlorophyll a and b. However, these two peaks represent smaller  $r^2$  value  
303 than the 600-700 nm range. Below 430 nm, the correlation is strongly reduced. In the case of  
304 anthocyanins containing varieties, maximum ( $r^2=0.5-0.65$ ) can have been detected in the  
305 wavelength range between 640-690 nm. The rapid decline of the  $r^2$  can be observed below  
306 640 nm. The measured low correlation between the reflectance and chlorophyll content shows  
307 that this wavelength range does not contain spectral information for the reddening varieties in  
308 the range of 400 and 600 nm. Based on the correlation test, the near infrared (750 nm) and the  
309 red color range (678 nm) can be suitable for examining the chlorophyll content. However, the  
310 aforementioned reflectance measurement at 680 nm has low variability so rather the 700 nm  
311 reflectance is suggested. But the 678 nm is suitable for monitoring the ripeness because it is  
312 sensitive to the continually decreasing low chlorophyll content during the ripening. The  
313 reflectances of both the chlorophyll sensitive red range and the chlorophyll and carotenoid  
314 sensitive green range are increasing with the ripening of the Gala Must and Gala Galaxy,  
315 meanwhile the pigment content decreasing. Whereas, in the case of Gala varieties group, the  
316 effects of chlorophyll and carotenoid reflectances are greatly changing with the increasing of  
317 anthocyanin level, and the reflectance of the red range is increasing while in the green range a  
318 minimizing can be observed. This is because than the green range is the common  
319 absorption zone of the anthocyanin and chlorophyll while the red range is specifically  
320 sensitive to the amount of chlorophyll. This is proved well on the correlation with the  
321 measured reflectance between 550 and 700 nm wavelengths (Figure 9).

322

323

324 Figure 9. Correlation between the reflectances of antocianin containing and antocianin  
325 free apple species at 550 and 770 nm

326

327 Independently of the chlorophyll content and ripening stages, strong correlation ( $r^2=0.929$ )  
328 was measured for the yellowing apple varieties ( $p=0.000$ ), but this is not be clearly detected  
329 for the red skinned fruit varieties. Confirmed this results, Merzlak et al. (2003) were made  
330 similar conclusions with examining other apple varieties.

331

### 332 3.3. Vegetation indices and pigment content

333

334 In order to monitoring the ripening, the applicability of the spectral indices, which have been  
335 already written in the literature review, were examined for the yellowing apple varieties.  
336 Despite being used the Browning Reflectance Index (BRI) for monitoring the browning, so  
337 deformations and injuries and other stress effects were examined well. The BRI was, among  
338 the studied three indices, which the most reliable was for describe the pigment content  
339 changes during the ripneing (Table 1).

340

341 Table 1. Correlation between carotene, chlorophyll content and spectral indices

		carotene	chlorophyll
PSRI	Pearson correlation	-0.529	-0.546
	Significance level	0.002	0.001
PSRI 480	Pearson correlation	-0.560	-0.619
	Significance level	0.001	0.000
BRI	Pearson correlation	0.710	0.716

	Significance level	0.000	0.000
NCI	Pearson correlation	-0.638	0.650
	Significance level	0.000	0.000
CRMI	Pearson correlation	-0.634	0.633
	Significance level	0.000	0.000
PRMI	Pearson correlation	0.711	0.693
	Significance level	0.000	0.000

342

343 Besides, three new vegetation indices were calculated, which are suitable for monitoring the  
 344 ripening:

345

346 1. *Modifying the NDVI, Normalized Chlorophyll Index was established, which is a*  
 347 *specified index for the chlorophyll content changes during the ripening:*

348

349 
$$NCI = \frac{\lambda_{750} - \lambda_{678}}{\lambda_{750} + \lambda_{678}}$$

350

351 This index is only calculated by the measured maximum absorption of chlorophyll content in  
 352 the red range.

353

354 2. Chlorophyll sensitive Ripening Monitoring Index (CRMI).

355

356 
$$CRMI = \frac{\lambda_{750} - \lambda_{678}}{\lambda_{480}}$$

357

358 This index calculates with the maximum absorption of chlorophyll content measured in the  
359 blue and red ranges.

360

### 361 3. Pigment sensitive Ripening Monitoring Index (PRMI)

362

$$363 \quad PRMI = \frac{\lambda_{750} - \lambda_{678}}{\lambda_{550}}$$

364

365 This index calculates with the maximum absorption of the chlorophyll content measured in  
366 the red range and with the increasingly important effect of reflectance during the carotenoid  
367 ripening.

368

369 The new indices were not resulted a breakthrough against the BRI, but especially the PRMI  
370 index can be an alternative choice of PSRI indices, which can be recommended to monitor the  
371 ripening and the related quality parameters.

372

### 373 **3.4. Fruit moisture content**

374 The pigment content of the pulp was not measured, only the moisture content of it. Significant  
375 differences were not detected among the moisture contents neither within the varieties nor  
376 during the ripening, nor the anthocyanins containing and nor the yellowing varieties  
377 (*Table 2.*).

378

379 Table 2. Statistical differences between water contents of fruit

Species	mean±standard deviation	date of sampling	mean±standard deviation '	colour of the fruit	mean±standard deviation*
---------	-------------------------	------------------	---------------------------	---------------------	--------------------------



Golden Reinders	83.8±4.48 <sup>a</sup>	July 02	81.9±2.59 <sup>a</sup>	yellow	82,1±4,23 <sup>a</sup>
Early Gold	80.6±3.41 <sup>a</sup>	July 20	82.6±3.13 <sup>a</sup>	red	80,6±3,19 <sup>a</sup>
Gala Galaxy	80.8±3.71 <sup>a</sup>	Aug. 15	80.3±6.47 <sup>a</sup>		
Gala Must	80.5±2.84 <sup>a</sup>	Sept. 10	82.3±2.64 <sup>a</sup>		

380 There was no significant difference between the same numeric indices

381 \* based on Student t-test (p<0.05)

382 ' based on analysis of variance (p<0.05)

383

384 Accordingly, 81.56% (± 3.9%) moisture content was typify to the studied fruits. According to  
385 results, the moisture content of the apple fruit are not influenced by the varieties, the  
386 anthocyanin content and the degree of ripeness.

387

### 388 **3.5. Reflectance characteristics of the pulp (sarcocarp)**

389

390 The pulp of the yellowing varieties shows such spectral properties as the spectral  
391 characteristics of the skin at the entire studied wavelength range (400-1000 nm) in the  
392 different stages of ripening. The spectral curves of the pulp of the reddening Gala varieties  
393 showed only the early stages of ripening were similar to those observed on the skin. The  
394 reason is that, the redness and the anthocyanin contents of the fruit appears in the skin, but not  
395 in the pulp. Therefore, the dominant effect of the anthocyanin was not observed to the spectral  
396 characteristics. As a result, the spectral characteristics of the Gala apple are similar to the pulp  
397 characteristics of the yellowing skin apple varieties (Figure 10).

398

399 Figure 10. Effect of fruit ripening of Golden Reinders and Gala Galaxy on pulp reflectance

400

401 It represented well the Pearson's correlation between the spectral curves of the ripe Gala and  
402 the yellowing varieties, which shows a strong correlation ( $r=0.89$  at  $p=0.002$ ).

403 The water content of the pulp was measured as a quality parameter. Therefore the NIR range,  
404 which correlates with the moisture content, was examined in detail. The spectral properties of  
405 the fruit, against the foliage, allow the water band index (WBI) calculation. The theoretical  
406 background of the WBI calculation that increasing in moisture content, greater absorbance is  
407 measured at 970 nm, then at 900 nm (Figure 11).

408

409

410 Figure 11. Water content of orchards of Golden Reinders and Gala Galaxy (percentage  
411 means water content of apple)

412

413 Low correlation can be observed between the moisture content and the reflectance  
414 (Figure 12), which is particularly true in the 400-690 nm range, as well as in a narrow  
415 wavelength range, about the 930 nm.

416

417 Figure 12. Correlation between water content and reflectance

418

419 The average  $r^2$  value is 0.15, between 700 and 810 nm wavelength in the RED-NIR range,  
420 then the deterministic coefficient reaches its minimum at 935 nm. Then there is a sharp  
421 increase in  $r^2$ , and has reached its maximum at 970 nm ( $r^2=0.4$ ) and then falls sharply. Based  
422 on the foregoing, it can be recommended the reflectance at 970 nm for measuring the water  
423 content of the fruits. Apparently, the 930 to 935 nm seems more suitable than the used 900  
424 nm to calculate the WBI index, although the sharp decrease in the reflectance does not occur

425 at 935 nm but it begins at 900 nm. Therefore, the calculation method of the WBI will suitable  
426 for analyzing the moisture content of fruit as well. Based on the significant ( $p=0.021$ ) linear  
427 correlation between the moisture content and the WBI that the water content of the apple fruit  
428 can be well estimated with this index (Figure 13).

429

430 Figure 13. Correlation between water content and WBI index

431

432 The WBI is not applicabe for monitoring the ripeness but it can be used well to track changes  
433 in the moisture content. Monitoring the loss of water during the storage and sorting by the  
434 fruit nutritive values with the spectral methods can be useful for estimating the water content  
435 of apple fruit.

436

#### 437 4. Conclusions

438

439 The fruit carotenoid content continuously decreases together with the chlorophyll content  
440 during the ripening. However, the carotenoid content remains relatively high even for low  
441 chlorophyll concentrations. So the carotenoid/chlorophyll ratio can serve for characterization  
442 of the ripening process. The reflectance of the skin is increasing during the ripening. It  
443 increases dramatically in the yellow color range, due to the increase of carotenoid levels,  
444 while pronounced valley (at 678 nm), which can be seen in the red range, become more  
445 shallow, parallel with the decrease in chlorophyll content during the ripening. The 678-nm  
446 wavelength is sensitive to the low chlorophyll values, so the red range (at 678 nm) may be  
447 suitable for testing the ripeness. The reflectances, which measured from the 678 nm to  
448  $\pm 30$  nm wavelength range, shows greater variability to the high chlorophyll content. Thus the  
449 reflectance measured at 700 nm can be suitable for monitoring the early stages of ripening and  
450 the pigment content. The standard deviation results of the reflectance also support the above

451 findings, adding that the 500 to 530 nm range is sensitive to the carotenoid, which intensifies  
452 with the decrease of the chlorophyll content. Thus it may take part in monitoring the ripening.  
453 This phenomenon cannot be detected to the dominant character of the red apple varieties on  
454 anthocyanin reflectance. Based on this, there is close correlation between the measured  
455 reflectance 550 and 700 nm for the yellowing apple varieties, which also proves clearly the  
456 importance of this two ranges to monitor the ripening. Based on the results, using infrared, red  
457 and yellow color ranges, new ripening monitoring spectral indices have been created, which  
458 can be traced reliably the pigment content changes during the ripening for the yellowing apple  
459 varieties. Based on significant linear correlation between the moisture content and WBI, it  
460 could be established that that the water content of the apple fruit can be well estimated with  
461 the help of WBI. The WBI is not convenient for monitoring the ripeness, but it can be useful  
462 for tracking the changes in moisture content. The water loss of fruits can be monitored simply  
463 during the professional/unprofessional fruit storages in the 900-970 nm ranges, but further  
464 researches need for examining the effect of the storage on the moisture and pigment content.

465

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467

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