1	Poor in vivo efficacy of caspofungin, micafungin and amphotericin B against wild-
2	type Candida krusei clinical isolates does not correlate with in vitro susceptibility
3	results

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19 Abstract

- 20 We determined micafungin, caspofungin and amphotericin B (AMB) MICs and killing
- 21 rates in RPMI-1640 with-and in RPMI-1640 without 50% serum against three C. krusei
- 22 bloodstream isolates. MIC ranges in RPMI-1640 were 0.125-0.25, 0.25 and 0.125-0.5

mg/L, respectively; in RPMI-1640 with 50% serumwith serum, MICs were 64-128-, 8- and 23 24 4-16-fold higher, respectively. In RPMI-1640 micafungin and caspofungin at 1, 4, 16 and 25 32 mg/L as well as AMB at 2 mg/L were fungicidal against all isolates in ≤3.96, ≤4.42 and 26 14.96 hours, respectively. In <u>RPMI-1640 with 50%</u> serum, caspofungin was fungicidal for 27 all three-isolates only at 32 mg/L, micafungin and AMB were fungistatic. In a-neutropenic 28 murine modelmice 5 mg/kg caspofungin and 1 mg/kg AMB were ineffective against two 29 of the three isolates. Thus, in vivo efficacy of echinocandins and AMB is weak or absent 30 against C. krusei. Prescribers treating C. krusei infections with echinocandins should be on 31 the watch out for clinical resistance and therapeutic failure.

32 1. Introduction

33 The primarily fluconazole resistant Candida krusei is one of the most important non-34 albicans Candida species causing life-threatening infections among severely ill patients. 35 Haematological malignancies, neutropenia, solid tumors and recent gastrointestinal surgery 36 are well-known risk factors for invasive infections caused by C. krusei, it is a major pathogen in breakthrough fungemia in patients with fluconazole chemoprophylaxis.^{1,2} For 37 38 many decades, amphotericin B was the only systematically used antifungal agent for the 39 treatment of invasive C. krusei infections; however, in vitro and in vivo data suggest that 40 efficacy of amphotericin B is strongly questionable against C. krusei.³⁻⁶ As echinocandins 41 (anidulafungin, caspofungin and micafungin) show relatively low MIC values and 42 concentration-dependent fungicidal activity against C. krusei in vitro, currently 43 echinocandins are among the preferred antifungals against C. krusei, besides amphotericin B and voriconazole.^{7,8} However, mortality rate due to invasive infections by C. krusei 44 among intensive care unit patients is still unacceptably high (50-70%) even with the widely 45 used echinocandin therapy.9-11 46

formázott: Betűtípus: Dőlt, Betűszín: Automatikus

We cultured 43 *C. krusei* bloodstream isolates from thirteen patients between 2011 and 2016 in our laboratory serving an 1665-bed tertiary care center. After initiation of micafungin or caspofungin therapy, *C. krusei* repeatedly cultured from the bloodstream of four echinocandin-treated patients for up to 18 days (persistent candidemia) suggesting insufficient eradication of this fungus by echinocandins. Notably, all isolates were fully susceptible to echinocandins and amphotericin B by the routinely used Etest interpreted using the CLSI breakpoints.^{12,13}

As echinocandins are highly protein-bound antifungal drugs, the free (thus active) drug concentration may be low, which may, at least partly, be responsible for the poor sterilizing ability of echinocandins.^{7,13,14} This inspired this study in which we determined the *in vivo* efficacy of micafungin, caspofungin and amphotericin B in a neutropenic murine model against *C. krusei* clinical isolates and compared it to *in vitro* activity using killing rates in RPMI-1640 and RPMI-1640 plus 50% serum to model protein binding.

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61 2. Materials and methods

62 2.1. Strains

63 We used three C. krusei isolates, all three isolated prior to antifungal administration from 64 three different intensive care unit patients from different units and years. The first patient 65 (isolate 22910) with acute pancreatitis was treated with caspofungin (Cancidas ®) followed 66 by micafungin (Mycamine ®) for 28 days, and died on day 29 from the first isolation of C. 67 krusei from his bloodstream. After the initial isolation of C. krusei, positive follow-up 68 cultures were found on four different days within the first 15 days. The total number of the 69 positive blood cultures was eight. The second patient (isolate 26513) with ileus and colon 70 resection was treated with caspofungin (Cancidas ®) for 31 days and survived. His follow71 up blood cultures in the next 18 days were positive on five different days. The total number 72 of the positive blood cultures was eight. The third patient (isolate 25193) was neutropenic, 73 suffering from acute myelogeneous leukaemia, and she died on the day the blood was 74 collected and received no antifungals. The first and second patients were not neutropenic at 75 the time of blood culture obtained and they were given standard doses of micafungin or 76 caspofungin (100 mg per day and 70 mg on the first day followed by 50 mg daily, 77 respectively). Isolates were identified with conventional methods (Micronaut-Candida and API ID32C) and MALDI Biotyper (Bruker, Bremen, Germany).¹⁵ 78

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80 2.2 Susceptibility testing

81 Micafungin pure powder was kindly provided by Astellas while caspofungin and 82 amphotericin B pure powders were purchased from Sigma (Budapest, Hungary). MICs in 83 RPMI-1640 and RPMI-1640 plus 50% serum (serum from a human male, type AB, Sigma, 84 Budapest, Hungary) were determined using the standard broth macrodilution method at 35 85 °C.¹⁶⁻²⁰ For caspofungin and micafungin in RPMI-1640 and in RPMI-1640 plus 50% 86 serum, drug concentrations ranged between 0.015-8 and 0.5-32 mg/L, respectively. 87 Amphotericin B concentrations were 0.125-8 mg/L for both media. MIC values were read 88 visually after 24 h.12 For micafungin and caspofungin we used the partial inhibition 89 criterion (the lowest concentration that produced a prominent decrease in turbidity 90 compared to the drug-free control). In case of amphotericin B the total inhibition criterion 91 was used. C. parapsilosis ATCC 22019 and C. krusei ATCC 6258 strains were used as 92 quality control strains.12

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94 2.3. Time-kill studies

95 Activity of micafungin and caspofungin against C. krusei clinical isolates were determined 96 in RPMI-1640 with and without 50% human serum at 1, 4, 16 and 32 mg/L concentrations using a starting inoculum of ~10⁵ cells/ml in a final volume of 10 ml.¹⁷⁻²⁰ Activity of 97 98 amphotericin B was determined at 0.5, 1 and 2 mg/L in both media as well.^{3,21} Aliquots of 100 µl were removed after 0, 4, 8, 12, 24 and 48 hours of incubation, tenfold serial 99 dilutions were prepared, and samples of dilutions (4x30 µl) were plated onto a single 100 Sabouraud dextrose agar (SDA) plate and incubated at 35 °C for 48 hours.¹⁶⁻²⁰ All 101 102 experiments were performed twice in both media.

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104 2.4. Analysis of in vitro data

Antifungal activity was defined as fungicidal when at least 99.9% reduction in viable cell
 count was observed as compared to the starting inoculum.⁶

107 Killing kinetics was analysed in both media (RPMI-1640 and RPMI-1640 plus 50% serum), as described previously.^{6,18,19} Briefly, an exponential equation was fitted to the 108 109 mean data at each time point: $N_t = N_0 \times e^{-kt}$, where N_t is the number of viable yeasts at time 110 t, N_0 is the number of viable yeasts in the initial inoculum, k is the killing rate, and t is the 111 incubation time. Negative and positive k values indicate growth and killing, respectively. 112 The goodness of fit for each isolate was assessed by the r^2 value ($r^2 > \pm 0.8$). The mean times 113 to achieve the fungicidal endpoint (T99.9=3/k) were calculated from the k values for each 114 isolate and concentrations in both media.^{6,18,19}

Killing kinetics for different isolates was compared using one-way ANOVA with Tukey's
post-testing in RPMI-1640 or <u>RPMI-1640 plus 50% serum</u>. The effect of the same drug
concentration in RPMI-1640 and <u>RPMI-1640 plus 50% serum</u> was analyzed using paired *T*tests (with Welch's correction, where appropriate).^{18,19}

119 2.5. In vivo studies

120 Groups of seven to nine male BALB/c mice (23-25 g) were immunosuppressed with four doses of cyclophosphamide, i.e. 4 days before infection (150 mg/kg), 1 day before 121 122 infection (100 mg/kg), 2 days postinfection (100 mg/kg) and 5 days postinfection (100 mg/kg).¹⁷⁻¹⁹ The Guidelines for the Care and Use of Laboratory Animals was strictly 123 124 followed during maintenance of the animals; experiments were approved by the Animal 125 Care Committee of the University of Debrecen (permission no. 12/2014). Mice were 126 inoculated intravenously through the lateral tail vein with an infectious dose of 4-4.5x10⁶ 127 CFU/mouse. Inoculum density was confirmed by plating serial dilutions onto SDA plates. 128 At the beginning of the therapy (day 1) fungal kidney burden was determined after dissection of 4-5 untreated mice in case of each isolate (day 1 control burden).¹⁹ 129

Five-day intraperitoneal treatment with daily 5, 10 and 1 mg/kg caspofungin (Cancidas®), micafungin (Mycamine®) and amphotericin B (Fungizone), respectively, against wild-type clinical isolates were started after 24 hours postinfection. Five and 10 mg/kg caspofungin and micafungin correspond to 70 mg and 200-300 mg daily doses in humans, respectively, which are higher than the currently recommended daily doses.²²⁻²⁹

On day six after infection, all mice were sacrificed; both kidneys were removed, weighed and homogenized aseptically. Homogenates were diluted tenfold; aliquots of 0.1 ml of the undiluted and diluted (1:10) homogenates were plated onto SDA plates and incubated at 35 °C for 48 h. The lower limit of detection was 50 CFU/g of tissue. Statistical analysis of the kidneys tissue burden was performed using the Kruskal-Wallis test with Dunn's post-test for multiple comparisons.¹⁷⁻¹⁹

141 **3. Results**

142 *3.1. In vitro* studies

143 3.1.1. MIC results in RPMI-1640 and RPMI-1640+50% serum

144	In RPMI-1640 MIC values for the quality control strains were within the published
145	acceptable ranges. ¹² MICs for clinical isolates are presented in Table 1. In RPMI-1640 all
146	three isolates were susceptible to micafungin and caspofungin (susceptibility breakpoints
147	for C. krusei are ≤0.25 mg/L for both drugs). ¹³ In <u>RPMI-1640 plus 50% serum</u> , micafungin
148	and caspofungin MICs were 64-128 and 8 times higher than in RPMI-1640, respectively.
149	In RPMI-1640, MIC ranges for amphotericin B were 0.12-0.5 mg/L which is lower than
150	the suggested susceptibility break-point (1 mg/L).8,12 In <u>RPMI-1640 plus 50% serum</u> ,
151	amphotericin B MIC values were increased 4-16-fold (Table 1).
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153 3.1.2. Killing activity of micafungin in RPMI-1640 and RPMI-1640+50% serum

Growth rate (i.e. the times to achieve 1 log increase in CFU compared to the starting inoculum) for the control isolates in RPMI-1640 and <u>RPMI-1640 plus 50% serum</u> was similar (P>0.05). The mean times to achieve 99.9% (T99.9) growth reduction from the starting inocula at different antifungal concentrations are shown in Table 2.

158 In RPMI-1640, at 1, 4, 16 and 32 mg/L micafungin was rapidly fungicidal against clinical

159 isolates (all three were killed \leq 3.96 hours) (Table 2). Killing activity of micafungin was

160 concentration independent in cases of isolates 26513 and 25193 (p>0.05). Numerically, the

161 highest *k* value (1.536 1/h) was found in case of isolate 22910 at 16 mg/L (Fig. 1).

In <u>RPMI-1640 plus 50% serum</u>, growth curves were similar to controls at 1 mg/L. At 4 and I63 16 mg/L micafungin produced only transient CFU decreases, but the mean *k* values were always negative. Positive *k* values were noticed only at 32 mg/L (CFU decreases were -1.48-2.78 CFU/mL) (Fig. 1).

167 3.1.3 Killing activity of caspofungin in RPMI-1640 and RPMI-1640+50% serum

168 Caspofungin in RPMI-1640 was fungicidal within 4.42 hours against clinical isolates 169 (Table 2). Killing activity of caspofungin against isolate 22910 was concentration 170 independent. For isolates 25193 and 26513 the highest k values were measured at 1 and 4 (1.23 1/h for both concentrations) and 4 mg/L (1.30 1/h), respectively. In RPMI-1640 plus 171 172 50% serum, with the exception of 1 mg/L, killing rates were positive and increased with 173 concentrations (concentration-dependent killing). Killing activity of caspofungin in RPMI-174 1640 plus 50% serum decreased at 4, but increased at 32 mg/l compared to RPMI-1640 for 175 all clinical isolates.

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177 3.1.4. Killing activity of amphotericin B in RPMI-1640 and RPMI-1640+50% serum

In RPMI-1640, 0.5 mg/L amphotericin B produced positive k values for isolates 22910 and
25193. Though k values at 1 mg/L were positive (0.07-0.14 1/h) the CFU decreases were
weak (1.06-1.48 CFU/mL). At 2 mg/L, amphotericin B was fungicidal within 14.69 hours
against all three isolates (Table 2, Fig. 1).

In <u>RPMI-1640 plus 50% serum</u>, at 1 mg/L positive *k* value was observed only in case of isolate 25193 (0.014 1/h), while at 2 mg/L *k* values for all three isolates were positive (0.109-0.168 1/h). However, the *k* values are much lower than in case of echinocandins. In RPMI-1640, amphotericin B killing activity at 2 mg/L was significantly higher than in <u>RPMI-1640 plus 50% serum</u> (Table 2, Fig. 1).

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188 3.2. In vivo experiments

All three isolates showed weak replication ability in the untreated neutropenic mice. Incases of isolates 22910 and 26513, the mean fungal tissue burdens decreased on day 6 as

- 191 compared to day 1; the decreases were higher than one log in case of isolate 26513. In case
- 192 of isolate 25193, the mean fungal tissue burdens on day 6 increased only slightly (less than
- 193 1 log) comparing to day 1 (Fig 2).
- 194 Caspofungin and amphotericin B were effective against isolate 25193 (p<0.05); the CFU
- 195 decreases were lower than 1 log compared to untreated controls (on day 6) and were
- 196 similar to day 1 control burden. Micafungin did not show any activity in this model
- 197 system. Against isolates 22910 and 26513 none of the tested drugs showed activity.
- 198

199 4. Discussion

200 Theoretically, the *in vitro* fungicidal activity of echinocandins and amphotericin B against 201 Candida species is considered advantageous as they expected to eradicate fungal cells rapidly from infected tissues and the bloodstream.^{3,6-8,13,14,30} This drives their increasing 202 203 preference for treating severe fungal infections. However, the high protein binding 204 decreases the unbound, thus active drug concentration in the blood and tissues, leading to lower drug exposure and potentially to therapeutic failure.^{7,13,14,21,30,31} Previous in vitro data 205 206 support this hypothesis, because in the protein-free RPMI-1640 medium used in standard 207 susceptibility testing, echinocandins as well as amphotericin B are more frequently 208 fungicidal at low drug concentrations than in RPMI-1640 plus 50% serum, indicating the 209 clinical relevance of the protein binding.^{5,16-20,30,31} Moreover, in a preclinical study with 210 micafungin, in vivo efficacy showed poorer correlation with MICs by standard RPMI-1640 than by serum-based susceptibility tests.32 211

It was previously suggested that determination of MIC alone in case of caspofungin is misleading and may misclassify wild-type *C. krusei* or *C. glabrata* clinical isolates as nonwild-type or resistant.³³ Therefore, currently micafungin or anidulafungin MICs in RPMI-1640 are recommended as best predictors for the clinical efficacy of all three echinocandins against *Candida* species, not excepting *C. krusei*. However, in our experiments standard MICs and killing studies with micafungin in RPMI-1640 yielded misleading results, falsely suggesting efficacy against *C. krusei in vivo*.

- In our study adding 50% serum to RPMI-1640 did not influence the growth rate of the
- 220 three C. krusei isolates, indicating that 50% serum did not inhibit C. krusei. In contrast,
- 221 other authors noticed very poor growth of three C. krusei isolates (as well as of <u>C. glabrata</u>
- 222 and <u>C. lusitaniae</u>) in RPMI-1640 plus 50% serum even after seven days.³⁴ The explanation

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223 for this difference is unknown. However, the cited study used the CLSI broth microdilution

method for MIC determination, and the smaller final volume (0.2 mL) in their experiment may have influenced the growth of fungi.

226 In this study we have found significant differences in the killing rates of echinocandins in 227 RPMI-1640 and RPMI-1640 plus 50% serum against C. krusei. RPMI-1640 plus 50% 228 serum more profoundly decreased killing activity of micafungin than that of caspofungin 229 as compared to RPMI-1640 (Fig. 1., Table 2). The difference is probably due to the 230 difference between the protein binding for micafungin (99.9%) and caspofungin 231 (97.5%).^{8,13,14} Our *in vitro* results in RPMI-1640 plus 50% serum (negative k values at ≤ 16 232 mg/L) proved to be a good predictor for the lack of in vivo efficacy even of elevated daily 233 micafungin dose, as micafungin never decreased the fungal tissue burden against any of the 234 tested C. krusei isolates. This result is concordant to our previous in vitro findings with 235 different C. krusei bloodstream isolates.16

236 In RPMI-1640 plus 50% serum, in vitro activity of caspofungin decreased less markedly as 237 positive k values were noticed even at 4 mg/L which is the trough concentration using the standard or elevated daily doses.²²⁻²⁴ However, the efficacy of caspofungin was found to be 238 239 unreliable against C. krusei in vivo in the neutropenic murine model (i.e. only one of three 240 isolates responded to the 5 mg/kg daily dose). A previous study of our group with two different isolates showed a statistically demonstrable efficacy of caspofungin 5 mg/kg 241 daily in a similar model system.¹⁸ However, in the present study the two isolates derived 242 243 from persistent candidemia showed weak in vivo replication ability (as indicated by 244 comparison of fungal tissue burdens on day 1 and 6), and weak efficacy of echinocandins against slowly replicating cells is a well known phenomenon.^{7,13,14} Moreover, other factors 245 (i.e. neutropenia, slow drug penetration into inflamed tissues, etc.) may strongly influence 246 therapeutic outcome.8,35 247

Amphotericin B used at clinically attainable concentration showed weak *in vitro* killing activity against *C. krusei* regardless of test media, which was confirmed *in vivo* as well.³⁻⁶ Our results correlate with previous *in vitro* and *in vivo* findings that amphotericin B is not superior to echinocandins for the treatment of invasive infections caused by *C. krusei*.⁸ However, currently there is no alternative in echinocandin resistant cases.^{3,10,13}

253 In conclusion, standard RPMI-1640-based susceptibility tests did not provide reliable 254 information on the *in vivo* efficacy of micafungin or caspofungin against wild-type C. 255 krusei clinical isolates. Serum based susceptibility testing methods were good predictors of 256 the in vivo efficacy of micafungin, while neither the standard not the serum based method 257 were good predictors in case of caspofungin. Our in vivo results strongly correlate with the 258 currently reported experience that efficacy of echinocandins may be poor against C. krusei 259 in some clinical situations. This does not mean echinocandin resistance, but reflects the 260 lower activity of the cell-wall active echinocandins on a fungal species slowly replicating 261 in vivo. Our results suggest that prescribers treating C. krusei infections with echinocandins 262 should be on the watchout for clinical resistance and therapeutic failure.

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377 Figure legends

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379 Figure 1

Killing rates of micafungin (MICA), caspofungin (CAS) and amphotericin B (AMB) and the
corresponding adjusted regression lines (dashed lines) against three *Candida krusei*bloodstream isolates in RPMI-1640 (RPMI) and RPMI-1640 plus 50% serum (Serum).
Positive and negative *k* values indicate the decreases and increases, respectively, in viable cell
numbers.

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Figure 2

Kidney tissue burden of severely neutropenic BALB/c mice infected intravenously with three *C. krusei* isolates. Intraperitoneal caspofungin (CAS), micafungin (MICA) and amphotericin B (AMB) (5, 10 and 1 mg/kg, respectively) daily treatments were started 24 hours after the infection. The bars represent the medians. Level of statistical significance compared to the control population (day six) is indicated at P<0.05 (*).

Table 1. *Candida krusei* isolates and MICs of micafungin (MICA), caspofungin (CAS) and

amphotericin B (AMB) in RPMI-1640 and RPMI-1640 plus 50 % serum (50% serum)

		MIC (mg/L)					
Isolates	Media	MICA	CAS	AMB			
22910	RPMI-1640	0.25	0.25	0.5			
	50% serum	16	2	2			
26513	RPMI-1640	0.12	0.25	0.5			
	50% serum	16	2	2			
25193	RPMI-1640	0.25	0.25	0.12			
	50% serum	16	2	2			

Table 2. Time (h) to reach 99.9% (T99.9) growth reduction from the starting inocula at
different micafungin, caspofungin and amphotericin B (AMB) concentrations (mg/L) in
RPMI-1640 (RPMI) and RPMI-1640 plus 50 % serum (serum). NR means that fungicidal
effect (99.9% growth reduction) was not reached.

Time (hours)												
Isolate	Media	Micafungin (mg/L)		Caspofungin (mg/L)				AMB (mg/L)				
		1	4	16	32	1	4	16	32	0.5	1	2
22910	RPMI	3.04	3.03	1.95	3.96	2.94	2.59	2.59	3.04	NR	NR	8.67
	Serum	NR	NR	NR	NR	NR	NR	2.71	2.71	NR	NR	NR
26513	RPMI	2.72	2.76	2.85	2.84	4.25	2.31	2.74	3.81	NR	NR	9.18
	Serum	NR	NR	NR	NR	NR	NR	3.22	3.12	NR	NR	NR
25193	RPMI	3.38	3.91	3.07	2.95	2.43	2.43	4.42	3.04	NR	NR	14.69
	Serum	NR	NR	NR	NR	NR	NR	NR	3.04	NR	NR	NR