

1 **22q13 microduplication syndrome in siblings with mild clinical phenotype– broadening the clinical and**
2 **behavioral spectrum**

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15 **Short title:** Duplication 22q13 in siblings – a phenotypic and molecular cytogenetic report

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30 **Abstract**

31 Distal duplication 22q (22q13.3 → qter) is a rare condition with only 24 cases described so far. Parental balanced
32 reciprocal translocations and pericentric inversions involving chromosome 22 predispose to the conception of an
33 unbalanced offspring and are more frequently reported than de novo events. The clinical phenotype of patients is
34 highly variable and does not necessarily correlate with the extent of the duplicated segment – short stature,
35 microcephaly, hypertelorism, cleft lip or palate, low-set ears, intellectual deficit seem to be the most consistent
36 features. Familial reoccurrence is extremely rarely reported.

37 Here we report on two siblings with duplication 22q13.3 → qter characterized by array CGH, whose mother is a
38 carrier of a pericentric inversion of chromosome 22. Their relatively mild phenotype consisting of normal head
39 circumference and borderline IQ, their exact same chromosomal breakpoints and duplication size is unique and
40 is the first presentation of such in the literature so far.

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44 **Introduction**

45 Duplication of the distal long arm of chromosome 22 (22q13 → qter) is an infrequently described cytogenetic
46 anomaly, resulting in variable degree of developmental delay and dysmorphism with or without obvious
47 neuropsychiatric symptoms in the affected individuals. To date, only 24 cases have been published, the
48 majority of which were identified by conventional cytogenetics and FISH (Ahn et al., 2014; Bendel et al., 1982;
49 Biesecker et al., 1995; Boyd et al., 2005; Chen et al., 2017; Failla et al., 2007; Feenstra et al., 2006; Fryns et al.,
50 1980; Han et al., 2013; Hou, 2005; Jafri et al., 2011; Johannessen et al., 2019; Magri et al., 2015; Okamoto et al.,
51 2007; Peeters et al., 2008; Petek et al., 2000; Schinzel, 1981; Wieczorek et al., 1998; Wu et al., 2010). Two of
52 the above patients were identified in the frames of large studies designed for unexplained developmental delay
53 and schizophrenia with limited phenotypical description (Ahn et al., 2014; Wu et al., 2010). Han et al. reported
54 the two smallest duplications of 22q13 so far (Han et al., 2013).

55 The molecular cytogenetic classification of pure distal 22q duplications was proposed by Feenstra et al.,
56 distinguishing four groups: large duplication (22q12 →qter), intermediate (22q13.1 →qter), small (22q13.2
57 →qter) and smallest duplication (22q13.3 →qter). They also provided an overview of patients from the literature
58 and their own (Feenstra et al., 2006). They concluded that patients having a duplication extending from 22q13.1
59 →qter show most clinical problems and have a lower survival rate, but even patients with assumingly
60 comparable duplications show great variations, and comparison between them is difficult. The most consistent
61 features are pre- and postnatal growth retardation, cleft palate with or without cleft lip, micrognathia,
62 microcephaly, hypertelorism, low-set ears, congenital heart defect, renal and genital anomalies and hypotonia. In
63 some cases, decreased life expectancy is reported (Feenstra et al., 2006).

64 The majority of cases arise from parental balanced translocations, a smaller proportion of patients are de novo or
65 offspring of a parent carrying a pericentric inversion or insertion of chromosome 22. **Jafri et al. demonstrated**
66 **that during homologous recombination commonly occurring in the process of meiosis, a parent with an inverted**
67 **chromosome 22 has a likelihood to produce a gamete with a rearranged form of the inverted chromosome 22.**
68 **This rearranged form already contains either a deletion or a duplication, resulting in the conception of an**
69 **unbalanced offspring after fertilization.** (Jafri et al., 2011)

70 In 2015, Magri et al. assessed the clinical and cytogenetic/molecular cytogenetic properties of ten patients **with**
71 **duplications distal from 22q13** - the intermediate, small and smallest terminal duplications, and explained the
72 possible molecular mechanism involving a break-induced replication as part of a non-reciprocal translocation
73 event, leading to de novo unbalanced translocation of the distal part of chromosome 22. They also pointed out

74 that patients with apparently the same duplicated region show a wide spectrum of phenotypic variations, and that
75 part of these differences may be due to the different genetic background in which the duplications arise, but also
76 to the different resolution of methods used to evaluate the extent of duplications (Magri et al., 2015). The only
77 six patients with a molecular characterization of the breakpoints and analyzed by an array CGH methodology are
78 those reported by Peeters et al., Failla et al., Chen et al., Okamoto et al., and Magri et al., Johannessen et al.
79 (Chen et al., 2017; Failla et al., 2007; Johannessen et al., 2019; Magri et al., 2015; Okamoto et al., 2007; Peeters
80 et al., 2008).

81 Here we present two siblings with the rare 22q13 →qter duplication and only mild symptoms, characterized by
82 array CGH and FISH, whose mother is a carrier of a pericentric inversion of chromosome 22.

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84 **Case reports**

85 Patient 1. was born to term as the 3rd child of healthy, non-consanguineous, Caucasian parents, with normal
86 weight (2750 g; 10 pc) and length (49 cm; 25 pc), occipitofrontal circumference (OFC) was not recorded. The
87 mother and father are both of normal intellect and work for a living. The first child of the parents is a 24-year-
88 old, clinically healthy male, who works as a basic informatics educator, teaching the elderly computer use. The
89 second child of the parents is Patient 2, an affected male. The mother had a brother, who – to her knowledge –
90 was delivered by forceps, was severely mentally disabled, and lived in a special institution until he died in a fire
91 accident at 26 years of age in the 1990s. He was nonverbal, tall statured and had a long chin. No biological
92 material remained from him.

93 Patient 1., an 18-year-old young woman upon the first examination, was referred to genetic counseling because
94 of obesity, mild developmental delay, secondary amenorrhea and minor morphological anomalies. Menarche
95 was at 16 years, and there was only one menstruation in the following two years. At 16 years, her height was 158
96 cm (10 pc), weight 109 kg (27 kg > 97 pc) (BMI: 43.7). At 19 years, her OFC was 55cm (50pc). There were
97 striae on the skin of the lower abdomen, and acanthosis nigricans on the neck. Prader-Willi syndrome was
98 specifically asked to be ruled out by the endocrinologist, although the mental status and face did not resemble
99 Prader-Willi syndrome. The patient had insulin resistance with normal fasting glucose, so dietary restrictions
100 were prescribed. Central and peripheral sexual hormones were normal, subclinical hypothyroidism was detected
101 (sTSH: 8.24 mU/L (Ref.: 0.3-4.2 mU/L) and was corrected with L-thyroxin. Gynecological examination
102 revealed a normal uterus and ovaries. Brain MRI was normal. Bone age was equal to chronological age.

103 A detailed psychological examination revealed early developmental delay – the patient achieved independent
104 walking at 22 months – and a borderline intellect using the Wechsler Adult Intelligence Scale with uneven
105 performance levels: verbal comprehension index was 93 (average), perceptual organization 69, processing speed
106 68 (both below normal), working memory 74, with a full-scale IQ of 72. She showed signs of performance
107 anxiety, but her task awareness was above average. During the test, she replaced the more difficult, not yet
108 automatized mathematical operations such as multiplication and division with simpler adding and subtracting but
109 came to correct results. No behavioral problems were discovered, she had a conventional, open, friendly
110 personality. According to the psychological evaluation, the patient compensated for her learning difficulties with
111 good verbal skills, successful compensational strategies, and sedulity, and was able to earn a high-school degree
112 with satisfactory grades.

113 Her menstruation cycle returned to normal by 18 years without further medical interference.

114 Morphologically, the patient had hypertelorism, mildly downslanted palpebral fissures, divergent strabismus,
115 and broad eyebrows. The nasal bridge was high, and the nose was prominent, with posteriorly low-inserted
116 columella and a bulbous tip. She had long philtrum and thin upper lip with downturned corners of the mouth
117 (Fig. 1 a). Her hands and feet were small, the fingers distally tapering, with normal bone structure on X-ray
118 (Fig.1b).

119 **Table 1. shows an overview of the clinical features of the two patients.**

120 G-banding reveled a normal female karyotype. The facial features and the mild developmental delay raised the
121 suspicion of Di-George syndrome, therefore a 22q11.2/22q13.3 FISH was performed (DiGeorge/VCFS
122 TUPLE1/22q13 probe mix, Cytocell, Rainbow Scientific Inc., Windsor, CT). but instead of monosomy 22q11.2,
123 trisomy of the 22q13.3 region was detected. Analysis of metaphase chromosomes revealed that one of the
124 chromosomes 22 contains two 22q13.3 regions, one on the short arm and one on the terminal end of the long arm
125 (Fig. 2A). Using array CGH (Affymetrix CytoScan 750K and Affymetrix Chromosome Analysis Suite (ChAS)
126 v2.0 Software, Affymetrix, Thermo Fisher Scientific, Waltham, Massachusetts, US) duplication of chromosome
127 22q13.31-qter was identified, spanning 3327 Kb and 45 genes out of which 28 are OMIM genes. *SHANK3* was
128 encompassed in the trisomy (Fig.2c). **The exact gene content of the duplication, identical in the siblings, with**
129 **MIM numbers is as follows: *FAM19A5* (617499), *BRD1* (604589), *ZBED4* (612552), *ALG12* (607144),**
130 ***CRELD2* (607171), *PIM3* (610580), *IL17REL* (613414), *MLC1* (605908), *MOV10L1* (605794), *PANX2***
131 **(608421), *SELENOO* (607917), *TUBGCP6* (610053), *HDAC10* (608544), *MAPK12* (602399), *MAPK11***
132 **(602898), *PLXNB2* (604293), *PPP6R2* (610877), *SBF1* (603560), *ADM2* (608682), *MIOX* (606774), *NCAPH2***

133 *(611230), SCO2 (604272), TYMP (131222), SYCE3 (615775), CPT1B (601987), CHKB (612395), MAPK8IP2*
134 *(607755), ARSA (607574), SHANK3 (606230), ACR (102480).*

135 The patient's molecular karyotype was: arr[hg19] 22q13.31q13.33(47,870,362-51,197,766)x3.

136

137 Patient 2. is the male sibling of Patient 1., born as the 2nd child of the parents, to term, with 3100 g weight (10-
138 25pc) and 50 cm length (25 pc), OFC was not recorded, but there was no suspicion of microcephaly. According
139 to the mother's memories, he seemed to be developing normally until 3 years of age, when febrile seizures
140 developed, and reoccurred several times until the age of 5 years. On two occasions, the convulsions were life-
141 threatening and required cardiopulmonary resuscitation. He received lamotrigine, later carbamazepine therapy.
142 He was noted to be a reserved child in kindergarten, not engaging in activities with peers. Challenging behavior
143 developed later in his school years: he often lost interest in his classes and walked out without permission,
144 dropping in to other classrooms to chat with schoolmates. He went to normal primary school but needed extra
145 support and education to achieve his degrees, basic grammatical, mathematical and literature skills have been
146 acquired, dyslexia and dysgraphia manifested in learning difficulties, but he graduated from an evening high-
147 school with satisfactory grades. He now works as a restaurant help-out personnel.

148 He was diagnosed with bipolar affective disorder and was prescribed agomelatine and aripiprazole - the latter he
149 omitted because of fatigue, which he attributed to his medication. He has mild sleep disturbance, low frustration
150 tolerance and occasional temper tantrums. Apart from this, he still likes to play with toys that are inappropriate
151 to his age and comprehension, such as toy cars and tying knots on strings. His overall IQ at 18 years of age was
152 79, verbal IQ was 81, performance 79. His head circumference was 59 cm (90 pc).

153 Cytogenetic analysis of peripheral blood showed a normal male karyotype. FISH study with DiGeorge specific
154 probe mix confirmed the trisomy of the 22q13.3 region with the same signal pattern as in his sister. Array CGH
155 was performed also, the breakpoints and the size of the duplicated 22q13.3 region was identical to his sister's
156 duplication (Fig. 2C). Parental FISH testing clearly revealed a pericentric inversion of chromosome 22 with
157 breakpoints 22p13 and 22q13 in the mother with the following karyotype: 46,XX.ish
158 inv(22)(p13q13.3)(p13)(N85A3+)(q13.3)(N85A3-) (Fig. 2B). Based on the mother's FISH result the final
159 karyotype of the probands were: 46,XX.ish rec(22)dup(22q13.3)inv(22)(p13q13)mat and 46,XY.ish
160 rec(22)dup(22q13.3)inv(22)(p13q13)mat. Array CGH performed on the mother's DNA sample showed a small
161 duplication (685 Kb, breakpoints 47,474,613 and 48,159,741.) at the proximal breakpoint of the duplicated
162 region detected in the probands (Fig. 2C). Presumably this segment was duplicated as part of the process that

163 generated the inversion. Based on the gene content (no OMIM genes) and the literature data this duplication does
164 not have phenotypic consequences.

165 The healthy male sibling's FISH testing revealed a normal signal pattern, he was not a carrier of pericentric
166 inversion either.

167 Discussion

168 Pure duplications of chromosome 22q, without concomitant aneusomies of other chromosomes are exceedingly
169 rare. Under-reporting of mild cases may create a selective bias, so the true incidence of the condition remains
170 unknown. Array CGH is a powerful tool in identifying causal copy number changes in patients with intellectual
171 disability, it is considered to be useful even in cases of mild mental retardation. (Coutton et al., 2014; Liang et
172 al., 2008).

173 Most of the reported distal 22q trisomy cases were extensively studied and the clinical features were overviewed
174 in details by Feenstra et al., and Magri et al.(Feenstra et al., 2006; Magri et al., 2015), latter focusing on
175 duplications distal from 22q13. The cases described by Pramparo et al., Rahikkala et al., Samanich et al., and
176 Shimojima et al. should be handled separately, their patients having small interstitial duplications more
177 proximally (22q13.1 to q13.31.), with overall more severe phenotypes. (Pramparo et al., 2008; Rahikkala et al.,
178 2013; Samanich et al., 2012; Shimojima et al., 2009). The frequency of pericentric inversions, excluding the
179 common/polymorphic inversions, is estimated to be 0.12–0.7%. (Gardner et al., 2011). According to Koolen et
180 al., the presence of recurrent proximal breakpoints at 22q13 indicate that these specific regions are prone to
181 recombination that may lead to translocations, inversions, duplications and deletions. (Koolen et al., 2005).
182 Pericentric inversions are balanced rearrangements without phenotypic consequence, however, through
183 recombination between the normal and inverted allele, a parent with an inversion may transmit an unbalanced,
184 rearranged form of the inverted chromosome to the offspring.

185 In this paper we reported the familial recurrence of terminal 22q duplication with two affected siblings
186 originating from maternal pericentric inversion of 22 (p13q13.3). The breakpoints and the size of the detected
187 duplication were exactly the same in Patient 1. and 2., suggesting the same sequence and course of
188 recombination events between the inverted and the normal maternal homologue of chromosome 22. The small
189 duplication found at the breakpoint of 22q13.3 on the maternal chromosome 22, partially overlapping with the
190 proximal breakpoints of the 22q duplication in her children, is likely the result of recombination within the
191 inversion loop.

192 Peeters et al. found evidence for a consistent clinical presentation in 22qter duplication: mild to moderate mental
193 retardation, microcephaly, and similar mild dysmorphic features. (Peeters et al., 2008) Indeed, an overview of
194 the cases in the literature except for those published by Schinzel et al., Jafri et al., and Han et al., report on
195 microcephaly. (Han et al., 2013; Jafri et al., 2011; Schinzel, 1981) In our report, both Patient 1. and 2. have mild
196 dysmorphic features, such as hypertelorism, divergent strabismus, high nasal bridge and a bulbous nose tip and
197 they both developed obesity and learning difficulties. Yet, unlike most patients with distal terminal duplications,
198 they both have normal height and head circumference, and borderline intellect, albeit with the help of long-term
199 developmental therapies. The phenotype seems milder in the young woman than in her brother, as she has no
200 behavioral or adaptive problems. In their recent paper, Han et al. point out features that are the consequence of
201 *SHANK3* overexpression: hyperphagia, seizures, reduced social interactions, and manic-like behavior. They
202 reported the two patients with the smallest duplications so far, yet the neurobehavioral and psychiatric symptoms
203 were quite prominent. (Han et al., 2013) In our case, obesity in both patients and the neuropsychiatric symptoms
204 of Patient 2 –maladaptive behavior, epilepsy, repetitive, monotonous playing and bipolar affective disorder –are
205 highly reminiscent of features reported by Han et al. **Epilepsy as a clinical consequence of *SHANK3***
206 **overexpression was also reported by Jin et al. (Jin et al., 2018) We assume that a gene-dose effect originating**
207 **from the duplication of *SHANK3* in our patients is responsible for the overlapping phenotype, yet recent**
208 **literature points out the modifying effect of post-transcriptional regulation of the *SHANK3* expression.(Choi et**
209 **al., 2015)**
210 In conclusion, our report supports the observation of previous authors that clinical features in the 22qter
211 duplication syndromes show great variability, and the size of the duplicated segment does not always correlate
212 with the phenotypic severity. Our patients are two of the very few published cases of familial recurrence of
213 22qter duplication syndrome characterized by array CGH, and they represent unusually mild phenotypes without
214 microcephaly yet with detectable neuropsychiatric symptoms in one of them.

215

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218

219 **Statement of Ethics**

220 Written informed consent to genetic testing as well as to the presentation of photo material was obtained from all
221 individuals. Although the tests were performed on a diagnostic purpose, ethical approval was also obtained
222 (28676-7/2017/EÜIG)

223

224 **Disclosure statement**

225 The authors have no conflicts of interest to declare.”

226

227 **Author contribution**

228 Katalin Szakszon and Anikó Ujfalusi prepared the manuscript, Anikó Ujfalusi supervised the molecular
229 cytogenetic studies of the patients. Katalin Szakszon is also the clinical geneticist who performed the clinical
230 assessment, examination and genetic counseling of the family. Orsolya Nagy and Beáta Bessenyei performed the
231 molecular karyotyping and the cytogenetic studies. Ádám Borbély was the psychiatrist of Patient 2, Györgyi
232 Lente was psychologist of Patient 1., they assessed the behavioral and intellectual characteristics. Irén Kántor
233 was the endocrinologist who also raised the suspicion of a possible genetic cause of the symptoms. All authors
234 took part in revising the relevant sections.

235

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328

329 **Figure legends**

330 **Figure 1.**

331 Fig. 1A: Patient 1. showing hypertelorism, divergent strabismus, broad eyebrows, high nasal bridge, bulbous tip
332 of the nose, posteriorly low-inserted columella, small hands with tapering fingers, and an overall friendly nature.

333 Fig 1B: Patient 2, brother of Patient 1 with similar facial features. In addition, there is deviation of the nasal
334 septum and a more pronounced antimongoloid slant of palpebral fissures.

335
336 **Figure 2.**

337 A: Result of FISH analysis on metaphase spread of Patient 1. using locus specific probe for 22q11.2 (TUPLE1)
338 (red) and 22q13.3 (N85A3) in green. The latter covers the telomeric end of the SHANK3 gene, allowing for
339 identification of the most distal 22q13.3 rearrangements. The abnormal chromosome 22 contains two 22q13.3
340 signals.

341 B. Result of FISH analysis on metaphase spread of the mother using locus specific probe for 22q11.2 (TUPLE1)
342 (red) and 22q13.3 (N85A3) in green. The inv(22) shows the inverted chromosome 22 and relocation of the
343 22q13.3 region to the short arm.

344 C: Array CGH results of the probands and the mother using Affymetrix 750K platform. The analysis shows the
345 identical duplication of 3327 Kb involving the 22q13.31-22q13.33 region in Patient 1. and 2., with the
346 breakpoints falling between 47,870,362 and 51,197,766. The middle panel shows the smaller duplication
347 detected in the mother's sample containing no OMIM genes. Dotted lines represent copy number states.

348
349 **Table 1.**

350 **Overview of the clinical features of our patients with distal 22q duplication**

351

352 Table 1. Overview of clinical features of our patients with distal 22q duplications

353

| Feature | Patient 1 | Patient 2 |
|-----------------------|----------------------------|---------------------------------|
| Duplicated region | 22q13.3 to qter | 22q13.3 to qter |
| Gender | female | male |
| Age at first referral | 18 years | 22 years |
| Neurology | | |
| Developmental delay | yes | yes, noted after 3 years of age |
| Seizures | no | yes, fever-associated |
| Intelligence | borderline (overall IQ 76) | borderline (overall IQ 79) |
| Microcephaly | no | no |

| | | |
|---|-----------------|-----------------|
| Brain structural abnormality | no | no |
| Face | | |
| Sparse, fine hair | yes | no |
| Hypertelorism | yes | yes |
| Palpebral fissures | downslanted | downslanted |
| Strabism | yes (divergent) | yes (divergent) |
| Wide/high nasal bridge | yes | yes |
| Low-set ears | yes | no |
| Dysplastic ears | no | no |
| Cleft lip/palate | no | no |
| Long philtrum | yes | yes |
| Retrognathia | no | no |
| Skeletal | | |
| Short neck | yes | yes |
| Short stature | no | no |
| Visceral anomaly (renal, cardiac, genital) | no | no |
| Psychiatric problems | no | yes |
| Limbs | small hands | normal |

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