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Title:

**NUCLEAR RECEPTORS IN TRANSGENERATIONAL EPIGENETIC INHERITANCE**

Authors: Lilla Ozgyin, Edina Erdős, Dóra Bojcsuk and Balint L. Balint

Affiliation: University of Debrecen, Medical Faculty, Department of Biochemistry and Molecular Biology,  
Genomic Medicine and Bioinformatics Core Facility, Nagyerdei krt 98, 4012 Pf6, Debrecen, Hungary

Corresponding author: Balint L. Balint: lbalint@med.unideb.hu

Abstract

Nuclear Receptors are ligand-activated transcription factors that translate information about the lipid environment into specific genetic programs, a property that renders them good candidates to be mediators of rapid adaptation changes of a species. Lipid-based morphogens, endocrine hormones, fatty acids and xenobiotics might act through this class of transcription factors making them regulators able to fine-tune physiological processes. Here we review the basic concepts and current knowledge on the process whereby small molecules act through nuclear receptors and contribute to transgenerational changes. Several molecules shown to cause transgenerational changes like phthalates, BPA, nicotine, tributyltin bind and activate nuclear receptors like ERs, androgen receptors, glucocorticoid receptors or PPAR $\gamma$ . A specific subset of observations involving nuclear receptors has focused on the effects of environmental stress or maternal behaviour on the development of transgenerational traits. While these effects do not involve environmental ligands, they change the expression levels of Estrogen and glucocorticoid receptors of the second generation and consequently initiate an altered genetic program in the second generation. In this review we summarize the available literature about the role of nuclear receptors in transgenerational inheritance.

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Keywords: nuclear receptors, epigenetic, transgenerational, Estrogen, Glucocorticoids

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8 **1 Introduction on Nuclear Receptors**  
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10 While nuclear receptors (NR) were discovered more than 25 years ago (Giguere et al., 1988), the ligands  
11 that act through this transcription factor (TF) superfamily have been known for decades, e.g. Estrogen was  
12 discovered 85 years ago in 1929 by Adolf Butenand and Edward Doisy. In the following, years Edward  
13 Kendall isolated hormones from adrenal gland and by identification of cortisone the first medical  
14 application of steroid hormones was carried out. Intense research of the following decades elucidated the  
15 role of steroid hormones in basic physiological processes. The line of discoveries was expanded in the  
16 1980s, by the advances in DNA sequencing and basic molecular biology technologies that allowed cloning  
17 of groups of proteins based on sequence homology. In the last 25 years, we have learned that NRs not only  
18 contribute to a wide variety of physiological and pathological processes but their activity is regulated in a  
19 very complex manner from transcription regulation through protein posttranslational modification and  
20 protein degradation. New findings increasingly support the concept that nuclear receptors are involved in  
21 transgenerational adaptive changes through epigenetic mechanisms.  
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38 **Nuclear Receptors as ligand activated transcription factors**  
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40 The classical view of nuclear receptors is that they are ligand-activated transcription factors that translate  
41 relevant information about the lipid environment into specific genetic programs in specific cells. The  
42 responder cells performing this translation of information from changes in the lipid environment to changes  
43 in gene expression express a specific subset of nuclear receptors that will be activated by some of the  
44 relevant lipids in the environment of the cell called ligands. The ligands are lipophilic substances that cause  
45 conformational changes in the protein and as a result the nuclear receptor will recruit coactivators with  
46 enzymatic activity that will turn on transcription of the regulated genes.  
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56 If transgenerational epigenetic mechanisms allow the adaptation of a species to rapid environmental  
57 changes as expressed in various studies (Burggren) nuclear receptors may likely be implicated in these  
58 adaptive mechanisms. Translating the lipid environment into modified genetic programs by nuclear  
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4 receptors can change developmental, endocrine and metabolic mechanisms of the whole organism as  
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6 described below.  
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10 The sensitivity of a cell to a specific ligand is determined by the specific subset of nuclear receptors  
11 expressed. Epigenetic and environmental factors can modify the expression level of nuclear receptors by  
12 several mechanisms e.g. changing chromatin conformation, DNA methylation. All these mechanisms  
13 together can shift the sensitivity of a cell regarding specific lipids. This mechanism of epigenetic regulation  
14 of expression levels was reported for several nuclear receptors e.g. the change in expression levels of ER  
15 and GR its effect upon maternal care, which has a lifelong effect on the sensitivity of the hypothalamic  
16 adrenocortical (HPA) axis and the overall and lifelong stress response of the offspring (Weaver et al., 2004).  
17 If activated by a specific ligand that binds nuclear receptors, a cell will perform a particular genetic  
18 programme based on the chromatin regions that are in an open chromatin conformation in the particular  
19 time point. The number of putative binding sites for a specific nuclear receptor in the human genome is by  
20 orders of magnitude higher than sites bound by a specific transcription factor. In the case of ER the number  
21 of putative binding sites is estimated to be in the range of one million (Liu and Lauffenburger) but others  
22 have found by bioinformatic tools a number of 71 119 ERE sites (Bourdeau et al., 2004), while the MCF7  
23 cell line has a number of CHIP Seq (Chromatin ImmunoPrecipitation followed by deep Sequencing)  
24 identified Estrogen Receptor (ER) binding sites on the order of tens of thousands (Lin et al., 2007) (Carroll  
25 et al., 2006). The difference between the putative binding sites and the sites occupied in a specific cell  
26 population by the receptor is caused by the accessibility of the binding sites for the available proteins in a  
27 particular cell. Epigenetic mechanisms might change the chromatin conformation of the cell through  
28 chromatin remodelling enzymes and heterochromatinization, triggered by DNA methylation and specific  
29 repressive histone marks (like H3K9 methylation or, H3K27 methylation) (Allis et al., 2006).  
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### 56 **The general structure of NR-s with emphasis on the ER receptors**

57 The general architecture of these receptors has the following features. On the N terminal region usually, we  
58 can locate an activating region (A/B region: AF1) followed by a DNA binding domain (C region: DBD), an  
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4 unstructured hinge region (D) that binds to the ligand-binding domain (E) and on the C terminal end of the  
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6 protein, a second helical-activating region (AF2).  
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#### 10 The N terminal AF1 region

11  
12 The N terminal AF1 region of the ER is one of the most variable regions of the NR family. For example in  
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14 the case of ER $\alpha$  and ER $\beta$  these regions show less than 15% homology compared to the 98% homology of  
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16 their DBDs (Le Romancer et al.). The AF1 regions of the protein are involved in coactivator binding and  
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18 non ligand dependent activation of NRs. These protein stretches are disorganized, unfolded proteins and in  
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20 many cases these regions are post translationally modified. E.g. in the case of the AF1 region for ER $\alpha$  at  
21  
22 least 6 different serine phosphorylation sites were reported, with some of these modifications being  
23  
24 initiated by estradiol binding, and others by different secondary messengers (Le Romancer et al.). The  
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26 activity of ER-s on the AF2 region might be changed by a plethora of signals such as insulin, IGF-I, PMA,  
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28 EGF, ROS and various estrogen-like ligands too. Regarding the estrogen-like activity of bisphenol A  
29  
30 (BPA), the N terminal AF1 region of the ER was shown to be indispensable for the effect in parallel with  
31  
32 the binding of BPA into the ligand-binding domain discussed later (Delfosse et al.).  
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#### 36 The DNA Binding Domain (DBD)

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38 The DBD is responsible for locating the NR in the genome to the recognition site and allows binding of  
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40 zinc fingers to the DNA. According to the classical view, NRs act as dimers and due to this feature, the  
41  
42 recognition site is comprised of two half sites with the classical AGGTCA being considered the recognized  
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44 motif and a spacer of different length is found between the two half sites (Mangelsdorf et al., 1991) (Heery  
45  
46 et al., 1994). The half sites can be in the same orientation and named in this case Direct Repeats or in the  
47  
48 opposite orientation and named Inverted Repeats. The crystal structure of the ER $\alpha$  DBD was reported as  
49  
50 early as 1990 (Schwabe et al., 1990). In the case of ERs, the DNA recognition site is called ERE (Estrogen  
51  
52 Response Element) and consists of a 13-bp palindromic sequence GGTCAnnnTGACC named IR3 (Klinge,  
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54 2001) (Gruber et al., 2004). The DNA binding domain of ER $\alpha$  is subject to phosphorylation both in the  
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56 serine and tyrosine residue modifications that influence the DNA binding and transcriptional activation  
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58 properties of the ER.  
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## The hinge

The hinge region is involved in the dimerization of the NRs and for ER it was described that it might be posttranslationally modified in an estradiol dependent manner like the phosphorylation of the serine (Held et al.) or more interestingly like the acetylation in lysine residues with a position effect. Depending on the site of acetylation, DNA binding and transactivation is increased by acetylation at position K266/288 (Kim et al., 2006) but inhibited by the same posttranslational modification on the minor acetylation site K302/303 (Wang et al., 2001). Recently the methylation of the ER hinge region was reported at position K266 that acts in an inhibitory manner opposing the effect seen by K266 acetylation (Zhang et al.). For a detailed review of ER post translational modifications of ER $\alpha$  see (Le Romancer et al.) Interestingly arginine in position R260 was shown to be methylated by PRMT1 (protein arginine methyltransferase 1) through a non-genomic pathway initiated by Estrogen (Le Romancer et al., 2008) (Le Romancer et al.).

## The LBD and AF2

The ligand-binding domain has a lipophilic ligand-binding pocket capable of binding the lipophilic ligands. Besides this role, the LBD provides the interaction surface for the binding of coactivator or corepressor proteins. It is made of 12  $\alpha$  helices organized in a tree layered sandwich structure where the helix 12 is the AF2 and covers the ligand-binding cavity upon ligand binding. The receptor itself is considered to be in a loose structure stabilized by the protein-protein interactions and chemical skeleton of the ligand. Ligand-binding cavities can vary from 700  $\text{\AA}^3$  in the case of Retinoid X Receptor alpha (RXR $\alpha$ ) to 1500  $\text{\AA}^3$  in the case of Peroxisome Proliferator-activated Receptor gamma (PPAR $\gamma$ ). ROR $\alpha$  (retinoic acid-related orphan nuclear receptor alpha) has a structural lipid incorporated into the molecule (Kallen et al., 2004) and Nurr1 has no ligand-binding cavity at all (Wang et al., 2003).

The binding of ligand into the ligand-binding cavity very much depends on the properties of the particular nuclear receptor. As a general rule we can state that hormone receptors with higher affinity are more specific and have a smaller ligand-binding cavity, while metabolite sensors have larger ligand-binding pockets and very different ligands can bind to them with a lower affinity. 9-cis-retinoic acid fills 75% of the

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4 ligand-binding cavity of the Retinoid Acid Receptor alpha (RAR $\alpha$ ), molecule, while in the case of the  
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6 metabolite sensor PPAR $\gamma$ , the full synthetic agonist rosiglitazone, fills only 25% of the cavity (Gampe et al.,  
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8 2000). Besides regular binding of the ligand into the hydrophobic pocket, non-canonical events can also  
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10 occur. For example, some of the ligands can bind into the ligand-binding pocket in two different  
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12 conformations like the eicosapentanoic acid for PPAR $\delta$  (Xu et al., 1999) or there are reports of covalent  
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14 binding of the ligand into the pocket (Itoh et al., 2008).  
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18 In the case of the ER $\alpha$  ligand-binding cavity the hydrophobic ligand-binding cavity has polar regions on  
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20 opposite ends anchoring the hydroxyl groups of estradiol (Ruff et al., 2000). The volume of the ligand-  
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22 binding cavity is only 450 Å<sup>3</sup> with the estradiol occupying only 250Å<sup>3</sup>. While diethylstilbestrol (DES) has a  
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24 similar binding to the cavity like estradiol, the antagonist tamoxifen displaces the helix 12 (AF2) and  
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26 consequently interferes with the recruitment of coactivators. BPA was shown to occupy the ligand-binding  
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28 pocket in a similar manner as estradiol with a canonical closing of the cavity by helix 12 (Delfosse et al.).  
29  
30 On the other hand, Bisphenol C acts more like tamoxifen displacing the helix 12 and blocking the binding  
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32 of the coactivator proteins.  
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36 ER $\alpha$  LBD was shown to be acetylated, sumoylated, and methylated on several lysine residues,  
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38 phosphorylated on serine, threonine and tyrosine modifications being constitutively present or triggered by  
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40 estradiol or EGF (epidermal growth factor). There were reported palmitoylation sites on the LBD of ER $\alpha$   
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42 and these modifications are crucial for the non-genomic reported effects of estrogen (Adlanmerini et al.)  
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#### 47 **Non-genomic effects mediated by nuclear receptors**

48 Besides the classical view of ligand dependent transcription factors, important and relevant findings have  
49  
50 been reported regarding the membrane bound effects of some of the nuclear receptors. In these cases the  
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52 nuclear receptors are part of specific intracellular signalling pathways. For example, ER $\alpha$  has been shown  
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54 to be palmitoylated and bound to the cytoplasmatic membrane, a feature involved in the normal functioning  
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56 of the ovaries and a mutation in the palmitoylation site causes infertility (Adlanmerini et al.). This activity  
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58 is not mediated through the ligand-binding transcription factor activity of the nuclear receptors but most  
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4 likely involves, besides the palmitoylated ER, other proteins such as the membrane protein GPR30 and the  
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6 SRC pathway (Raz et al., 2008).  
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### 9 **Non-ligand based effects involving nuclear receptors**

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11 Early life events like maternal care and exposure to several other environmental factors have long been  
12  
13 claimed to make substantial contribution to phenotypic traits of the offspring and some of them persist into  
14  
15 adulthood. Although most traits are inherited through genetic material, there is an abundance evidence  
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17 suggesting that another group of mechanisms, non-genomic in nature, that is, not coupled to transmission  
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19 through the primary structure of DNA are involved. These mechanisms involve such modifications like  
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21 DNA methylation, post-translational histone modifications and other regulatory information carriers  
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23 contributing to the precise setting of gene expression patterns while providing plasticity to adequately  
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25 respond to the ever-changing environment. Epigenetic transfer of information describes cell-to-cell as well  
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27 as generation-to-generation inheritance. Various studies in animal models report that variability in the  
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29 sensitive developmental period of pregnancy, including maternal malnutrition, exposure to stressful  
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31 situations or negligent or abusive maternal care during the first week postpartum, has an impact on how  
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33 offspring respond to stressful and novel situations and even after weaning. These behavioural responses are  
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35 collectively linked to altered neuronal development and neuroendocrine features.  
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## 42 **2 Endocrine disruptors and NRs**

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44 Since ERs are the most widely known to be involved in transgenerational inheritance, we will present most  
45  
46 of the data about transgenerational inheritance through the example of ERs. Beside ERs we will present  
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48 examples of other nuclear receptors like PPARs, VDR (Vitamin D Receptor) and TR (thyroid hormone  
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50 receptor).  
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55 Several endocrine disruptors were shown to cause transgenerational changes. Extensive reviews on this  
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57 topic can be found in the literature. In this review we will focus on the role of the nuclear receptors in  
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59 mediating these effects. The most extensively studied endocrine disruptors are BPA, phthalates,  
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61 Vinclozolin.  
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7 **BPA as a model endocrine disruptor**  
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9 BPA is one of the extensively studied endocrine disruptors. BPA is used in plastic ware, medical tubing,  
10 food and water containers and is considered one of the most important endocrine disruptors present in our  
11 environment. Immense quantities of it are produced, approximately 3.2 million tons per year (Matsushima  
12 et al., 2007). A detailed review on BPA and nuclear receptors can be read here (Delfosse et al.)  
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19 BPA is considered to an endocrine disruptor targeting ER $\alpha$ , although significant amounts of data suggest  
20 the involvement of other nuclear receptors in mediating the observed effects. While several endocrine  
21 disruptors were shown to have transgenerational effects, in many cases it is difficult to clearly establish the  
22 target of the molecules and the mode of action of them. Both endocrine hormones and endocrine disruptors  
23 can activate several of the nuclear receptors although at very different concentrations. For example, EC<sub>50</sub> of  
24 estradiol is in the range of 2-5pM for ER $\alpha$ , 7-20pM for ER $\beta$  and 10 $\mu$ M for PXR. BPA seems to be a  
25 relatively promiscuous molecule, having an EC<sub>50</sub> of 4 $\mu$ M for ER $\alpha$  and ER $\beta$ , 2 $\mu$ M for RXR $\alpha$  and more than  
26 10 $\mu$ M for PXR (le Maire et al.) (Matsushima et al., 2007). Besides activating ER $\alpha$ , ER $\beta$ , RXR $\alpha$  and PXR,  
27 several lines of evidence underlie the importance of ERR $\gamma$  in mediating the effects of BPA (Matsushima et  
28 al., 2007). BPA binds to the ERR $\gamma$  molecule and preserves its basal transcriptional activity. In the  
29 meantime, it protects the ERR $\gamma$  molecule against tamoxifen-driven inactivation. The IC<sub>50</sub> for ERR $\gamma$  of the  
30 BPA is 13.4nM, comparable to tamoxifen (10.3 nM) (Takayanagi et al., 2006). Regarding the ERR $\gamma$ , not  
31 only do biochemical data confirm this effect but also the crystal structure of ERR $\gamma$  with BPA is available  
32 (Matsushima et al., 2007). In very high concentrations (in the 100 $\mu$ M range) BPA was shown to activate  
33 AR, although the relevance of these data is questionable due to the fact that 100  $\mu$ M is a concentration far  
34 too high represent an environmental pollutant (Li et al.). Halogenated forms of BPA were shown to activate  
35 PPAR $\gamma$  although in a 100-fold higher concentration than rosiglitazone one of the most potent synthetic  
36 PPAR $\gamma$  agonists (Riu et al.). These findings based on ligand-binding biochemical assays and crystal  
37 structure data contradict some biological studies that reported an Estrogen-like effect at nanomolar  
38 concentrations suggesting the possibility that non-genomic mechanisms might be involved in mediating  
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4 these effects. In order to separate classical Estrogen effects from non-genomic ones, a cell impermeable  
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6 formulation of Estrogen, namely albumin bound estrogen was used (Bouskine et al., 2009).  
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10 In Table 1, we present the concentration of BPA and phthalates used in some experiments showing  
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12 transgenerational effects.  
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#### 15 Potential mechanisms by which BPA acts transgenerationally

16  
17 The epigenetic effect of BPA was shown in the Agouti *vy* mouse model. BPA changed the coat colour of  
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19 the mice due to change in methylation of the transposable sequence in the promoter of the Agouti gene.  
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21 Moreover supplementing the food of the animals with methyl donors or the phytestrogen genistein, the  
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23 effect was blocked (Dolinoy et al., 2007).  
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28 A similar change in DNA methylation was reported by Bromer et al. in mice treated with DES or BPA.  
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30 These molecules through an *in utero* effect changed the HOXA10 expression level. The molecular  
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32 mechanism was shown to decrease DNA methylation of the promoter of HOXA10 and increased ER $\alpha$   
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34 binding to the HOXA10 after *in utero* exposure to BPA. These effects persisted only in the offspring but  
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36 not in the mice treated suggesting a clear transgenerational effect that implies a sensitive window during  
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38 embryological development (Bromer et al.).  
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42 DNA methylation can change the expression level of the ER itself. Neonatal exposure to BPA caused  
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44 hypermethylation of the promoter of ER in rat testes (Doshi et al.). These changes seem to be time- and  
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46 cell-type dependent since in an opposite manner phthalates with estrogenic activity were shown to  
47  
48 demethylate the promoter of the ER gene in MCF-7 and MCF10A cell lines (Kang and Lee, 2005).  
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53 A mechanism that might be responsible for the changes in DNA methylation involves changes in DNA  
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55 methyltransferase expression levels. DNA methyltransferases were decreased by DES (diethylstilbestrol)  
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57 after subcutaneous injection of DES in mice and at least five investigated genomic loci showed decreased  
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59 DNA methylation in the uterus of the investigated mice (Sato et al., 2009). Interestingly the same group  
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4 used similar methods and experimental setup to study the changes in DNA methyltransferase (DNMT)  
5 expression and DNA methylation in epididymis of mice and found altered but increased expression of  
6 DNMT-s. (Sato et al., 2006)  
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12 In some instances the transgenerational change is simply a sensitization of the organism towards a second  
13 signal. As an example, neonatal exposure of rats to BPA resulted in an increased incidence of prostate  
14 intraepithelial neoplasia (Ho et al., 2006). A similar priming effect was observed in BPA or DES exposed  
15 mice, in which progesterone treatment followed by estrogen resulted in a reduced proliferative response in  
16 the uterus (Varayoud et al., 2008).  
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23 Doherty et al. studied the molecular mechanisms by which BPA affects chromatin modifications in the  
24 MCF7 cell line, through and identified an increase in the expression of Enhancer of Zeste Homolog 2  
25 (EZH2) and a concomitant increase in histone H3 trimethylation at lysine 27 (Doherty et al.). The role of  
26 EZH2 in the chromatin modifications by xenoestrogens was confirmed by the Walker group (Bredfeldt et  
27 al.) and complemented with the finding that these xenoestrogens act through the PI3K/AKT pathway  
28 through a non-genomic pathway. ER KO (knock-out) animals failed to present the same effects  
29 highlighting the importance of the ERs in these effects.  
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39 The mechanism of changed hormonal response could be explained by the changed expression of nuclear  
40 receptor coregulators after exposure to BPA. Perinatal exposure to BPA of rats caused changes in the  
41 expression levels of key components of nuclear receptor signalling namely the coactivators and  
42 corepressors that mediate the conformational changes caused by ligand binding towards the transcriptional  
43 machinery. These changes in NCoR and SRC-1 and GRIP expression were seen in protein levels in the  
44 testes of F1, F2 and F3 generations with a reduction in NCoR and increase in GRIP-1 levels. (Salian et al.,  
45 2009)  
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4 **Involvement of PPAR $\gamma$  in transgenerational inheritance**  
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6 Several other nuclear receptors besides ERs were shown to be involved in transgenerational inheritance.

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8 We will present some data about PPAR $\gamma$  and AR.  
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12 Regarding PPAR $\gamma$ , Torday et al. presented data indicating that the asthma phenotype can be transmitted  
13 transgenerationally after *in utero* exposure to smoke in rats. (Krebs et al.; Liu et al.; Liu et al.; Rehan et al.;  
14 Sakurai et al.). They showed that perinatal nicotine exposure induced transmission of childhood asthma  
15 phenotype in F3 generations. In F3 offspring (third-generations of the exposed F0 gestating dams) the  
16 authors investigated pulmonary function, tracheal tension and measured the PPAR $\gamma$  mRNA and protein  
17 levels in cultured fibroblasts, as well. Their results suggest that the functional effects of nicotine are  
18 associated with the increased expression of fibronectin and downregulation of PPAR $\gamma$  expression in  
19 isolated lung fibroblasts.  
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31 Tributyltin (TBT) was used for decades in ship construction as a biocide. It later became evident that it  
32 negatively affects not only algae but several other species as well. Recently it was shown that TBT is a  
33 PPAR $\gamma$  and RXR activator. Kirchner (Kirchner et al.) demonstrated epigenetic changes in the PPAR $\gamma$  target  
34 gene and consequently the Blumberg group (Chamorro-Garcia et al.) aimed to determine whether prenatal  
35 exposure of the environmental obesogen TBT were heritable in F2 and F3 generations. They focused on the  
36 effects of this exposure i.e. on fat depot weights, adipocyte number and size and these studies reported that  
37 prenatal TBT exposure of pregnant animals caused transgenerational effects on adipose depot weight,  
38 adipocyte size and number, having an obesity promoting effect. The increase of the white adipose tissue  
39 depot size in mice occurs via activation of PPAR $\gamma$ . These results show that early-life obesogen exposure  
40 can have lasting effects, and how they could be passed on to subsequent generations as they persisted until  
41 at least the F3 generation. (Chamorro-Garcia et al.)  
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56 **Endocrine disruption of AR signaling**  
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58 Vinclozolin, a fungicide commonly used in agriculture was shown to act as an AR ligand in several studies.

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60 The experiments with the endocrine disruptor vinclozolin were performed both in rats and mice and tested  
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4 equally in the male and female reproductive system, thus engendering many arguments and counter  
5 arguments related to these findings.  
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8 First, Anway et al. studied the effects of vinclozolin with methoxychlor, a pesticide containing metabolites  
9 with estrogenic, anti-estrogenic and antiandrogenic activities (Gaido et al., 1999) (Anway et al., 2005).  
10 Exposure to these chemicals in the late embryonic or early postnatal period causes changes in the sexual  
11 differentiation and reproductive function of the F1 generation (Chapin et al., 1997). Anway et al. treated  
12 only F0 outbred Sprague Dawley (SD) female rats with vinclozolin or methoxychlor and examined the  
13 adult male rats in the subsequent generations (F1 – F4). In the case of vinclozin treatment, the male animals  
14 had increased spermatogenic cell apoptosis and the sperm quality was also negatively affected. A similar  
15 effect was seen in animals after methoxychlor exposure in both F1 and F2 animals. The testis histology in  
16 the vinclozolin treated F3 generation demonstrates a loss of normal spermatogenesis and abnormal  
17 morphology. In another study, the group reported that embryonic exposure with vinclozolin can cause  
18 defects not only in subfertility but also a number of multiple transgenerational disease states or  
19 abnormalities, including the defects of immune system, prostate- and kidney diseases, tumour development,  
20 as well as inflammation, increased blood markers for renal lesion (Anway et al., 2006)  
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36 These findings were questioned by Schneider and his colleagues. In a similar experimental system, they  
37 reported that vinclozolin has no transgenerational effect on the male reproductive system (Schneider et al.,  
38 2008). The effect of vinclozolin on the methylation pattern of imprinted genes in mouse sperm was later  
39 reported by several independent groups (Stouder and Paoloni-Giacobino) (Inawaka et al., 2009) (Guerrero-  
40 Bosagna et al.) (Nilsson et al., 2008).  
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49 In addition to the effects on sperm, an interesting transgenerational effect of vinclozolin treatment was seen  
50 in rats. Females were able to discriminate based on the odor of males exposed to vinclozolin. Both males  
51 and females originating from females treated with vinclozolin in a –F3 generation. Females preferred males  
52 that did not originate from vinclozolin treated progenitors while males did not have this preference (Crews  
53 et al., 2007).  
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4 **Implication of TR in transgenerational inheritance**  
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6 The Shiao group investigated whether transgenerational carcinogenesis involves epigenetic mechanisms.  
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8 The authors observed that the offspring of fathers treated with metal derivatives – in this case with  
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10 Chromium (III) chloride – had higher levels of serum thyroid hormone. In the offspring, some genes that  
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12 play key roles in growth and tumour suppression had changed expression ratios, which correlated with the  
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14 serum triiodothyronine (T3) levels. (Cheng et al., 2004)  
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20 **3 Transgenerational behavioural inheritance involving nuclear receptors**  
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22 Adverse environmental effects in early life, mainly subnormal mother-infant interactions appear to be  
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24 critical to the developing organism, in the area of neuronal development, social behaviour cognitive  
25  
26 abilities and response to acute or chronic stress. This phenomenon has been studied in a wide range of  
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28 species, such as rats, mice, chickens, some non-human primates and also on humans relating natural or  
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30 simulated low-level of maternal care to pathological changes affecting adults. In humans, maternal  
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32 rejection, neglect or abusive behaviour is found to be associated with depression (Batten et al., 2004),  
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34 schizophrenia (Read et al., 2005), anxiety-related disorders (Phillips et al., 2005), diabetes and  
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36 cardiovascular disease (Batten et al., 2004), (Goodwin and Stein, 2004).  
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43 Regarding maternal care, long-term effects affect both male and female pups and seem to be passed  
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45 matrilineally from mothers to female offspring (McCarty and Lee, 1996), (Fairbanks, 1989). The extent of  
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47 maternal care is an inherent feature of each mother and does not substantially differ between litters and is  
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49 normally defined as the frequency of licking and grooming (LG) and arched-back nursing (ABN) or both  
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51 (LG-ABN) in the first few sensitive days of life postpartum (Champagne et al., 2003a). Three distinct  
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53 groups were defined artificially based on naturally occurring LG variations between mothers, namely Low  
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55 LG, Middle LG and High LG dams. High LG mothers are females whose mean LG frequency in days 1-6  
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57 postpartum is greater than 1 SD above the mean, Low LG mothers are females whose mean frequency of  
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59 LG is greater than 1 SD below the mean, and Mid LG mothers are defined as females whose mean  
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4 frequency is within 1 SD of the mean (Champagne et al., 2003a). Several experiments strengthened the  
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6 presumption that licking and grooming has a significant role in programming the pups' later response to  
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8 acute stress and in developing socio-behavioural features for adulthood. For example, the offspring of high  
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10 LG mothers showed modest response to acute stress and were less fearful than pups of low LG mothers  
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12 (Francis and Meaney, 1999). Strikingly, when doing cross-fostering experiments, biological offspring of  
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14 Low LG mothers reared by high LG mothers in the same litter where the same mother reared her own  
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16 biological offspring were not distinguishable from one another regarding stress reactivity (Francis et al.,  
17  
18 1999), (Barbazanges et al., 1996), (Caldji et al., 1998). These experiments clearly underpinned the theory  
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20 of non-genetic transmission of maternal behaviour, making the classification of the foster and not the  
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22 biological dam predictive of the female offspring's stress response and later maternal behaviour. In point of  
23  
24 fact, female offspring reared by the high LG dam exert more frequent LG-ABN compared with pups reared  
25  
26 by low LG mothers (Champagne et al., 2003a). Also, if the frequency of licking/grooming were artificially  
27  
28 induced by a technique called handling, the pups showed significantly lower stress reactivity and  
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30 fearfulness compared to their non-handled counterparts and become High LG mothers (Meerlo et al., 1999),  
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32 (Vallee et al., 1997).

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37 Molecular mechanisms underlying "inheritance" of maternal care and stress resilience patterns have been  
38  
39 extensively investigated in rats and mice. Formation of epigenetic patterns during the first few days after  
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41 birth is critical to stabilize individual-specific neurophysiological attributions. Both neuronal and endocrine  
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43 elements of the HPA axis play a role in multiple layers of stress-reactivity regulation. Two main nuclear  
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45 receptors have been linked to these mechanisms as critical elements of the molecular regulatory system,  
46  
47 namely the GR and ER $\alpha$ . The causative role of corticosteroids in triggering stress and maintaining  
48  
49 responsiveness have long been postulated, so the receptors accepting and translating these signals are  
50  
51 presumably the key molecular players in these mechanisms.

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53 GR mediates the transcriptional effects of corticosteroids, like corticosterone (CORT) in rodents and  
54  
55 cortisol in humans (Rousseau and Baxter, 1979). Mineralocorticoid receptors seem to play the role of a  
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57 regulator of basal cortisol levels and GR comes into the picture when hormone levels are higher (Kolber et  
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59 al., 2008). Stress activates the HPA axis via neuronal circuits in the hypothalamic paraventricular nucleus  
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4 (PVN) where Corticotropin Releasing Hormone (CRH) expression is induced and subsequently plasma  
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6 adrenocorticotropin (ACTH) and corticosteroid levels rise (Kolber et al., 2008). GR receives the  
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8 corticosteroid signal in the brain and acts as an inhibitor to CRH expression to provide a negative feedback  
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10 mechanism to maintain HPA axis homeostasis as demonstrated by findings showing that reduced levels of  
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12 GR mRNA is associated with attenuated stress responsivity (McEwen, 2007) (Tsigos and Chrousos, 2002),  
13  
14 (Keller-Wood and Dallman, 1984). Indeed, variable quality in maternal care seems to shift the function of  
15  
16 the HPA axis with effects on plasma CORT and ACTH levels through altered CRH negative feedback  
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18 sensitivity and Arginine-vasopressin (AVP) expression, triggered by altered hippocampal GR expression  
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20 (Ladd et al., 2004).  
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25 The indispensable role of GR in maintaining physiological stress responses and mental wellbeing has been  
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27 demonstrated using a mouse model overexpressing GR in the brain (Ridder et al., 2005). The strain shows  
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29 no behavioural differences in basal conditions and lower sensitivity to stress-induced alterations compared  
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31 to control animals. A GR underexpressing model, however, after immobilization test showed increased  
32  
33 helplessness and stress coping deficits. Neuroendocrine tests also confirmed decreased CRH feedback-  
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35 sensitivity in the latter model; given after dexamethasone injection, GR+/- mice showed significantly  
36  
37 higher CORT levels than control animals, while GR overexpressing mice showed significantly reduced  
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39 peak levels of CORT (Sapolsky et al., 1984). In rats, biological offspring of pups reared by Low LG  
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41 mothers appeared to show decreased levels of GR in AVP, which would influence HPA axis feedback since  
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43 glucocorticoid sensitivity in the brain is limited by the available intracellular GR (Liu et al., 1997) (Caldji  
44  
45 et al., 1998). Handling experiments increasing mother-infant interactions through more frequent and longer  
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47 LG periods showed that pups exposed to daily handling showed significantly higher binding of 3H-  
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49 dexamethasone in the hippocampus (Meaney et al., 1985) and higher levels of GR. In humans suffering  
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51 from major depression, elevated cerebrospinal fluid CRH levels were observed and diminished GR  
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53 expression or constrained function is responsible for the deficient feedback of cortisol, suggesting that  
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55 corticosteroids affect a wide-range of behavioural concerns in humans as well (Watts, 2005).  
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4 Recent evidence shows that decreased GR expression and derepressed CRH in the PVN is linked to  
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6 increased GR promoter methylation documented in both rodents and humans. Specific exon 1<sub>7</sub> in the  
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8 promoter of the GR gene in rodents and the corresponding human homolog exon 1<sub>F</sub> of *GR* bear the same  
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10 type of methylation pattern if the subject of the study was exposed to adverse early-life events (Radtke et  
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12 al.) (McGowan et al., 2009).  
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16 In rats, Weaver and colleagues showed that differential methylation can be observed comparing offspring  
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18 of Low and High LG mothers in rats, and the alteration in methylation status is also coupled with  
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20 differential NGFI-A binding in brain tissues and is sustained to adulthood. In the pups that lacked LG in the  
21  
22 first days after birth, DNA hypermethylation co-occurs with hypoacetylation of histones at the promoter of  
23  
24 the GR gene. This methylation blocks the binding of nerve growth factor-inducible factor A (NGFI-A)  
25  
26 transcription factor binding to the GR promoter. Interestingly the methylation of the NGFI-A genomic  
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28 binding site could be suspended by central infusion of HDAC (Histone Deacetylase) inhibitor TSA  
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30 (Trichostatin A) during the first days of life (Weaver et al., 2004). TSA infusion not only blocked  
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32 methylation of the specific site but also the group differences in newborn rats caused by high or low level  
33  
34 of LG disappeared.  
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38 Estrogen plays an indispensable role in regulating many aspects of sexual function in most mammals.  
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40 Estrogen is also related to the emergence of maternal care, working in concert with other hormones like  
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42 progesterone and prolactin, with precisely tuned blood levels during pregnancy. Besides hormonal  
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44 components, infant-based stimulation after birth is another critical inductor of maternal care (Rosenblatt,  
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46 1994). Earlier studies showed that introducing Estrogen-benzoate into the Medial Preoptic Area (MPOA)  
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48 of pregnancy-terminated, ovariectomized and hysterectomized female rats stimulates the onset of maternal  
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50 care 2 days after treatment (Siegel and Rosenblatt, 1975). Also, implants of estrogen in the preoptic area  
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52 stimulates maternal behaviour measured by the responsivity of the mother to foster pups in rats (Fahrbach  
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54 and Pfaff, 1986). Interestingly, maternal behaviour could be triggered in gonadectomised male rats after  
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56 hormonal simulation of pregnancy either when estradiol-benzoate capsules were implanted into the MPOA  
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58 or injected into peripheral blood (Rosenblatt and Ceus, 1998). These findings suggested that maternal  
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4 behaviour would be mediated by ER activity. During pregnancy, ER expression is shown to be elevated at  
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6 both the mRNA (DonCarlos, 1996) and protein level (Wagner and Morrell, 1996). ER $\alpha$  KO mice showed  
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8 impairments in maternal care-related behaviours among other specific phenotypic traits (Ogawa et al.,  
9  
10 1998). Mice with MPOA siRNA silencing of ER $\alpha$  have similar phenotypes regarding maternal care than do  
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12 KO mice (Ribeiro et al.). In lactating, High LG female rats, ER $\alpha$  expression is higher in Low LG mothers.  
13  
14 Likewise, adult virgin female offspring of High LG mothers express higher amounts of ER $\alpha$  than those of a  
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16 Low LG mother. Moreover, High LG mothers showed higher estrogen sensitivity compared to Low LG  
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18 mothers, as measured by increased cFos-reactivity (Champagne et al., 2003b). These findings are consistent  
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20 with results claiming that oxytocin is a central component of maternal care and is transcriptionally induced  
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22 by estrogen. In ovariectomized female mice, when estrogen is introduced, only daughters of High LG  
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24 mothers responded to estrogen by increased oxytocin-oxytocin receptor binding (46). The ER $\alpha$ 1b promoter  
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26 seems to be responsible for differential ER $\alpha$  expression, since Low LG mothers showed significantly  
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28 higher promoter methylation than Low LG mothers did, and the methylation site overlaps with a STAT5  
29  
30 motif, which abolishes STAT5 binding based on the ChIP signal (Champagne et al., 2006). ERs seem to  
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32 mediate the maternal care component, while GR regulates behavioural and endocrine stress responsivity  
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34 and both are modulated via behavioural transmission through not clearly identified mechanisms that  
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36 implicate epigenetic components.  
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#### 43 **4 Summary**

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45 In the last couple of years our tools to study epigenetic mechanisms in a whole genome approach at a  
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47 relatively low cost allowed us to fine map the events that occur on the chromatin level in several cell types.  
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49 Large consortia like the ENCODE, FANTOM or the 1000 Genomes Project made significant contribution  
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51 to our understanding on how genes are regulated and what enzymatic steps are involved in this regulation.  
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53 It has to be mentioned that on a gene-to-phenotype approach the results of the investigations are relatively  
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55 modest due to the fact that many pathways in the body are redundant and that several disease states lack  
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57 well performing animal models. In the case of NRs we have accumulated significant amount of data on  
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59 how transcription is regulated by probably a dozen of NRs, but we have to admit that we have very few  
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4 well established transgenerational models that address the role of NRs in transgenerational inheritance.

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7 In order to move further on this road we need to systematically investigate the role of different NRs during  
8 oogenesis and spermatogenesis on the subsequent generations.

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11 In order to better understand the toxicity of some molecules that we use regularly in industrial processes  
12 and that might be endocrine disruptors xenobiotics need to be investigated not only in cellular assays or  
13 animal experiments that monitor toxicity and lethality but also in animal models that are suitable to monitor  
14 transgenerational effects e.g. the Agouti *vy* model that is a methylation sensor.

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17 Regarding ER in special we need to overcome the original concept that ER can act only as a classical NR  
18 since more and more data suggests that post translational modifications, AF1 activation and membrane  
19 bound effects are contributing to the overall function of estrogens. To address these issues we need new  
20 antibodies that recognize modified nuclear receptors and are suitable for advanced technologies like  
21 advanced microscopy and functional genomic investigations.

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24 One of the most promising field of investigations in the next decade will be probably the studies in the field  
25 of neuroscience. While both the nuclear receptor field and the field transcription regulation made  
26 significant contribution to our actual knowledge in the field of metabolism or immune regulation they could  
27 contribute massively to the field of neuroscience too.

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30 In the next couple of years probably by using new transgenerational models from all the previously  
31 mentioned fields we will be able to better understand how our decisions affect on a biological basis the  
32 upcoming generations.

## 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 **5 Figures and Tables**

### 51 52 53 **Figure 1. Genomic and non-genomic effects of ERs**

54 ERs can act on transcription through several pathways. Histone methylation by EZH2 can block  
55 transcription and acetylation by p300 might activate it. DNMT-s are methylating specific regions in the  
56 genome that might block transcripction. EDC stands for endocrine disrupting chemicals.

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4 **Figure 2. Potential mechanisms by which stress behaviour and nuclear receptors might be connected**

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6 Lack of licking and grooming in the first days of life can cause aberrant methylation of the GR regulatory  
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8 region that will have a whole life effect. This aberrant methylation can be prevented by central infusion of  
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10 TSA.

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15 **Table 1 Concentration of BPA and phthalates used in some experiments in which transgenerational**  
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17 **effects were shown**

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23  
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Table 1

Concentration of BPA and ftaletes in some experiments were transgenerational effects were shown

| Agent and dose               | Experimental system  | Observation  | Mechanism   | Reference       |
|------------------------------|--|--|---|-----------------|
| BPA (50 mg/kg)               | Avy mouse, maternal exposure to BPA alters the adult phenotype of the offspring.                                   | Shifted the coat color distribution of viable yellow mouse offspring toward yellow.  | Decreasing CpG methylation in the IAP retrotransposable sequence inserted upstream of the Agouti gene.  | Dolinoy 2007    |
| BPA (0.1 µg/pup)             | Rats exposed to BPA on postnatal days 1 to 5 and prostates investigated at adult age (28 weeks).                   | During the neonatal developmental period susceptibility to precancerous prostatic lesions is increased.                          | Altered methylation patterns of several candidate genes e.g. Pde4d4.  | Ho 2006         |
| BPA (1.2 and 2.4 µg/kg/day ) | Perinatal exposure to BPA of female rats investigation on the testes of male adult offsprings.                     | Subfertility and decreased sperm count and motility were observed in the F2 and F3 generations.                                  | Significant decrease in the testicular expression profile of SRC-1 and NCoR, with an increase in the expression of p/CIP and GRIP-1.  | Salian 2009     |
| BPA (25 µg/kg) DES (5 µg/kg) | Investigations on breast cancer cells and on the mammary glands of ovariectomized rats.                            | Treatment may result in adverse health effects including cancer and other hormonally regulated disorders.                        | Recruitment of ERs and ER-coregulators (MLL1, MLL3, CBP and p300) to the HOTAIR promoter. Changes in the epigenetic modifications (histone H3K4-trimethylation, histone acetylation) leading to HOTAIR dysregulation. | Bhan 2014       |
| BPA (5 mg/kg)                | Exposure to BPA of mice <i>in utero</i> , investigations on female offspring uterus (at 2 or 6 weeks after birth). | Altered Hoxa10 expression in uterus.   | Decreased methylation of the <i>Hoxa10</i> gene led to increased ER binding to the ERE both in vitro and in vivo and rendered the ERE more estrogen responsive.   | Bromer 2010     |
| DES (10 µg/kg)               | Exposure to DES of mice <i>in utero</i> , investigations on female offspring uterus (at 2 or 6 weeks after birth). | Altered Hoxa10 expression in uterus.   | Aberrant methylation in the promoter and intron of Hoxa10; increased levels of mRNA expression of two important DNMTs.  | Bromer 2009     |
| BPA (2.4 µg/kg)              | Neonatal exposure to BPA. Investigations on testis in adult rats.  | Adverse effects on male fertility.   | Hypermethylation of the promoter region of ERalpha and Erbeta. Increased expression of Dnmts.   | Doshi 2011      |
| BBP and DBP                  | MCF-7 and MCF10A human breast cancer cell lines.   | Hypermethylation of promoter CpG islands contributes to the loss of gene function of several tumor-related genes, including ERs. | DNA hypomethylation or -demethylation may modulate the expression of a CpG-island-associated gene (ERalpha).  | Kang 2005       |
| DES (3 µg/pup)               | DES administration to neonatal mice at days 5, 14 and 30. Uteri were excised.                                      | Altered expression of DNMT-s and DNA methylation on Sp1 and Sp3 in uterus and epididymis.  | Changes in methylation patterns.  | Sato 2006, 2009 |

Figure 1

### Non-genomic pathway

### Genomic pathway

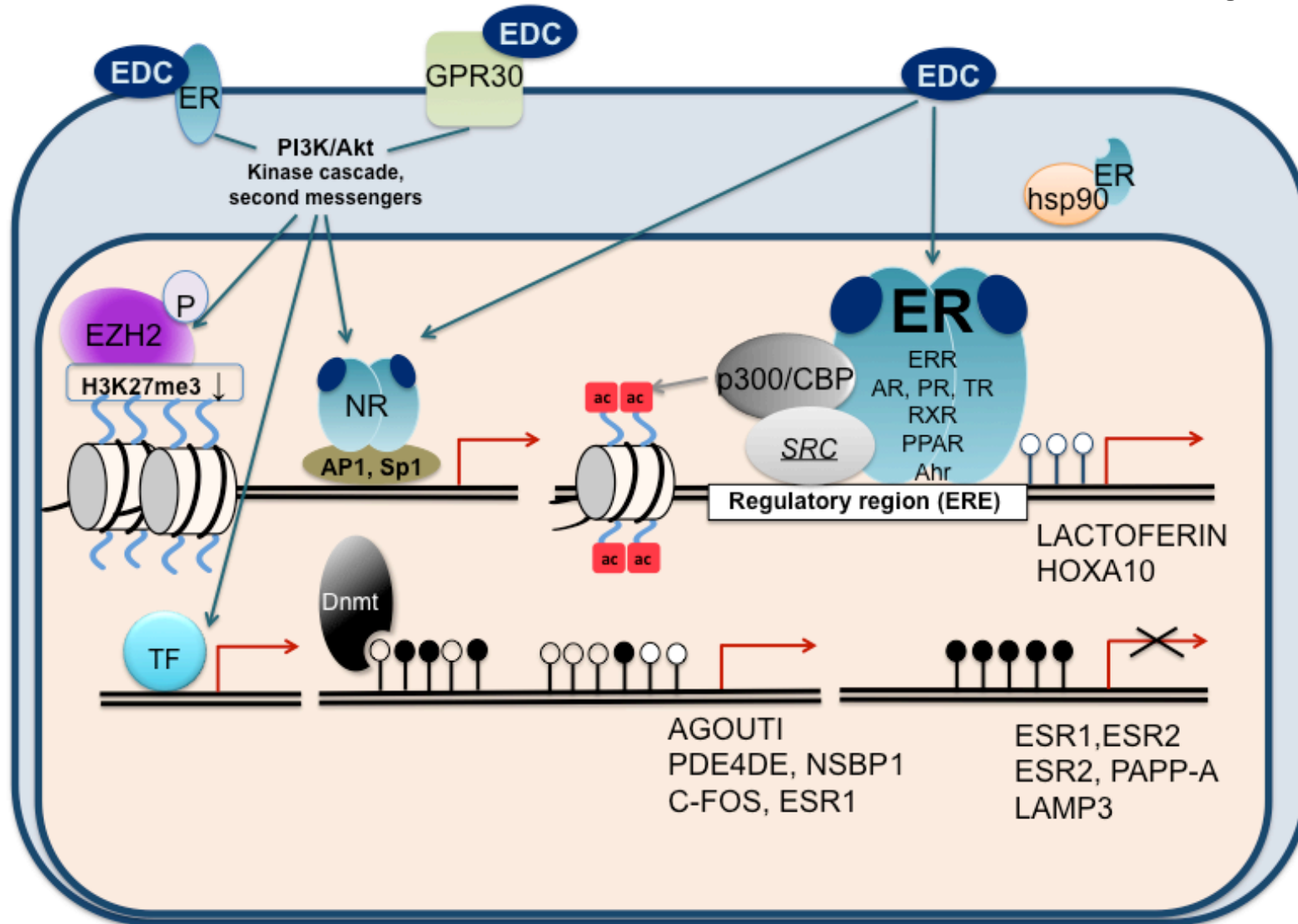


Figure 2  
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